7051

Access DB# 56426

SEARCH REQUEST FORM

Scientific and Technical Information Center

Rush.

Requester's Full Name: _Charles Kyle_   Examiner # : _72313_   Date: _12/10/2001_

Art Unit: _2164_   Phone Number 30 _54458_   Serial Number: _09/289550_

Mail Box and Bldg/Room Location: _PK2 5B50_ Results Format Preferred (circle): (PAPER) DISK E-MAIL

If more than one search is submitted, please prioritize searches in order of need.
****

Please provide a detailed statement of the search topic, and describe as specifically as possible the subject matter to be searched. Include the elected species or structures, keywords, synonyms, acronyms, and registry numbers, and combine with the concept or utility of the invention. Define any terms that may have a special meaning. Give examples or relevant citations, authors, etc, if known. Please attach a copy of the cover sheet, pertinent claims, and abstract.

Title of Invention: _User Interface for Electronic Trading System_

Inventors (please provide full names): _Richard W. Friessen, Peter C. Hart_

Earliest Priority Filing Date: _4/09/1999_

For Sequence Searches Only Please include all pertinent information (parent, child, divisional, or issued patent numbers) along with the appropriate serial number.

I need information on trading systems having bids and asks which display information on all bids and offers to all traders. Prior art systems do not provide anymore than best bid or lowest offer for traders' views. Showing all bids and offers in the trading interface allows all traders to understand and analyze the market. Best case art would show such information in the format of enclosed figure 3B, elements 304(9), 300(10) etc. I enclose my prior 892 and copies of claims as amended. Also see enclosed Garcia US 6 272 474. See keywords highlighted on claims for concepts

****

12-10-01, A11:13 IN

METHOD AND APPARATUS FOR PROCESSING SECURITIES TRANSACTIONS
PROCEDE ET APPAREIL PERMETTANT DE TRAITER DES TRANSACTIONS PORTANT SUR DES
TITRES

17/TI/32   (Item 20 from file: 349)

METHOD FOR ONLINE DISPLAY AND NEGOTIATION OF CARGO RATES
PROCEDE D'AFFICHAGE EN LIGNE ET NEGOCIATION DE TARIFS DE CARGAISON

17/TI/33   (Item 21 from file: 349)

METHODS, CONCEPTS AND TECHNOLOGY FOR A VIRTUAL SHOPPING SYSTEM CAPABLE OF
ASSESSING NEEDS OF A CUSTOMER AND RECOMMENDING A PRODUCT OR SERVICE
BASED ON SUCH ASSESSED NEEDS
PROCEDES, CONCEPTS ET TECHNOLOGIE POUR SYSTEME D'ACHAT VIRTUEL CAPABLE
D'EVALUER LES BESOINS D'UN CLIENT ET DE RECOMMANDER UN PRODUIT OU UN
SERVICE SUR LA BASE DE CES BESOINS

17/TI/34   (Item 22 from file: 349)

METHOD FOR FACILITATING A CONTINUOUS MARKET AUCTION SYSTEM
PROCEDE FACILITANT UN SYSTEME DE VENTE AUX ENCHERES PERMANENT

17/TI/35   (Item 23 from file: 349)

MORTGAGE AUCTION PROCESS MODEL
MODELE D'UN PROCESSUS D'ENCHERES HYPOTHECAIRES

17/TI/36   (Item 24 from file: 349)

ELECTRONIC DOCUMENT STORAGE AND RETRIEVAL SYSTEM AND METHOD
SYSTEME ET PROCEDE DE RECUPERATION ET DE STOCKAGE DE DOCUMENTS
ELECTRONIQUES

17/TI/37   (Item 25 from file: 349)

BID MESSAGE PROCESSING FOR REAL-TIME AUCTIONS
TRAITEMENT DE MESSAGES D'OFFRES POUR VENTES AUX ENCHERES EN TEMPS REEL

17/TI/38   (Item 26 from file: 349)

METHOD AND SYSTEM FOR DELIVERING AND REDEEMING DYNAMICALLY AND ADAPTIVELY
CHARACTERIZED PROMOTIONAL INCENTIVES ON A COMPUTER NETWORK
PROCEDE ET SYSTEME PERMETTANT DE DISTRIBUER ET D'ECHANGER DES INCITATIONS
PROMOTIONNELLES CARACTERISEES DE MANIERE DYNAMIQUE ET ADAPTATIVE SUR UN
RESEAU

17/TI/39   (Item 27 from file: 349)

SYSTEMS, METHODS AND COMPUTER PROGRAM PRODUCTS FOR ELECTRONIC TRADING OF
    FINANCIAL INSTRUMENTS
SYSTEMES, METHODES ET PROGRAMMES INFORMATIQUES DESTINES A LA NEGOCIATION
    ELECTRONIQUE D'INSTRUMENTS FINANCIERS

17/TI/40   (Item 28 from file: 349)

COMPUTER METHOD AND SYSTEM FOR INTERMEDIATED EXCHANGES OF COMMODITIES
PROCEDE INFORMATIQUE ET SYSTEME POUR ECHANGE DE BIENS MOBILIERS ET
    MATERIELS A L'AIDE D'UN INTERMEDIAIRE

17/TI/41   (Item 29 from file: 349)

A METHOD OF HOLDING AN AUCTION AND USES OF THE METHOD
PROCEDE DE VENTE AUX ENCHERES ET SES UTILISATIONS

17/TI/42   (Item 30 from file: 349)

DISTRIBUTED MATCHING SYSTEM FOR DISPLAYING A BOOK OF CREDIT FILTERED BIDS
    AND OFFERS
SYSTEME D'APPARIEMENT REPARTI POUR L'AFFICHAGE DE SOUMISSIONS ET D'OFFRES
    FILTRES EN FONCTION D'UN LIVRE DE CREDIT

17/TI/43   (Item 31 from file: 349)

METHOD AND SYSTEM FOR PERFORMING AUTOMATED FINANCIAL TRANSACTIONS INVOLVING
    FOREIGN CURRENCIES
PROCEDE ET SYSTEME PERMETTANT D'EXECUTER DES TRANSACTIONS FINANCIERES
    AUTOMATIQUES EN DEVISES ETRANGERES
?

?show files;ds
File 350:Derwent WPIX 1963-2001/UD,UM &UP=200172
        (c) 2001 Derwent Info Ltd
File 344:CHINESE PATENTS ABS APR 1985-2001/Oct
        (c) 2001 EUROPEAN PATENT OFFICE
File 347:JAPIO OCT 1976-2001/Aug(UPDATED 011203)
        (c) 2001 JPO & JAPIO
File 371:French Patents 1961-2001/BOPI 200148
        (c) 2001 INPI. All rts. reserv.

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Set      Items    Description
S1       105990   AUCTION? OR BIDDING? OR TRADING OR MATCHING OR BUY(5N)SELL
S2       2548925  DISPLAY? OR INTERFACE? OR UI OR GUI OR SCREEN OR SHOW OR S-
                  HOWS OR SHOWING OR VISUALI? OR VIEW? OR GRAPH?
S3       474      (ALL OR EVERY OR EACH OR COMPLETE OR ENTIRE OR TOTAL OR WH-
                  OLE OR AGGREGAT? OR GROSS OR TOTALITY)(3W)(BID OR BIDS OR OFF-
                  ER OR OFFERS)
S4       573      (ALL OR EVERY OR EACH OR COMPLETE OR ENTIRE OR TOTAL OR WH-
                  OLE OR AGGREGAT? OR GROSS OR TOTALITY)(3W)(TRADERS OR MERCHAN-
                  TS OR DEALERS OR PLAYERS OR MERCHANDISERS OR VENDORS OR VENDE-
                  RS OR SPECULATORS)
S5       397961   ICON OR ICONS OR ICONIC OR PICTORIAL? OR SIGN OR SYMBOL? OR
                  BAR OR BARS OR SLIDE()RULE? ? OR CANDLE?
S6       28       S2(6N)S3
S7       52       S2(6N)S4
S8       1        S6 AND S7
S9       2        S3 AND S4
S10      1        S9 NOT S8
S11      10       S1 AND S6
S12      1        S1 AND S7
S13      11       S11:S12 NOT (S8:S9)
S14      267      S1 AND S2(5N)S5
S15      1        S14 AND PN=US 6272474
S16      18       S14 AND IC=G06F-017/60
S17      38       S14 AND IC=G06F-017
S18      38       S16:S17
S19      37       S18 NOT S13
S20      0        S14 AND IC=G06F-015/30
S21      12       S14 AND IC=G06F-017/30
S22      1        PN=EP 388162
S23      406      S1 AND S2(20N)S5
S24      1        S22 AND S23
S25      15       S23 AND IC=G06F-017/30
S26      48       S23 AND IC=G06F-017
S27      33       S26 NOT S25
S28      41       S23 AND IC=G06F-015
S29      38       S28 NOT S26
?
```

US 6,272,474 B1
Found in EIC
search as well
as by Examiner.

WO 9/54039 A2
found in EIC
search

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?show files;ds
File 348:EUROPEAN PATENTS 1978-2001/NOV W04
         (c) 2001 European Patent Office
File 349:PCT FULLTEXT 1983-2001/UB=20011206,UT=20011129
         (c) 2001 WIPO/Univentio
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Set	Items	Description
S1	67347	AUCTION? OR BIDDING? OR TRADING OR MATCHING OR BUY(5N)SELL
S2	871844	DISPLAY? OR INTERFACE? OR UI OR GUI OR SCREEN OR SHOW OR S-HOWS OR SHOWING OR VISUALI? OR VIEW? OR GRAPH?
S3	1840	(ALL OR EVERY OR EACH OR COMPLETE OR ENTIRE OR TOTAL OR WH-OLE OR AGGREGAT? OR GROSS OR TOTALITY)(3W)(BID OR BIDS OR OFF-ER OR OFFERS)
S4	1488	(ALL OR EVERY OR EACH OR COMPLETE OR ENTIRE OR TOTAL OR WH-OLE OR AGGREGAT? OR GROSS OR TOTALITY)(3W)(TRADERS OR MERCHAN-TS OR DEALERS OR PLAYERS OR MERCHANDISERS OR VENDORS OR VENDE-RS OR SPECULATORS)
S5	472982	ICON OR ICONS OR ICONIC OR PICTORIAL? OR SIGN OR SYMBOL? OR BAR OR BARS OR SLIDE()RULE? ? OR CANDLE?
S6	179	S2(6N)S3
S7	177	S2(6N)S4
S8	11	S1 AND S6 AND S7
S9	120678	S2(20N)S5
S10	927	S1(20N)S9
S11	92	S10 AND S3
S12	68	S4 AND S10
S13	57	S11:S12 AND IC=(G06F-015 OR G06F-017)
S14	63	S8 OR S13
S15	52	S13 NOT S8
S16	48	S11:S12 AND IC=(G06F-017/30 OR G06F-017/60 OR G06F-015/30)
S17	43	S16 NOT S8

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?
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?t13/4/all

 13/4/1   (Item 1 from file: 350)
DIALOG(R)File 350:Derwent WPIX
(c) 2001 Derwent Info Ltd. All rts. reserv.

IM- *Image available*
AA- 2001-625482/200172|
XR- <XRPX> N01-466229|
TI- Disguised price **bidding** in online **auctions** , where submitted bids
    are ordered on a basis of a comparative bid parameter used by an
    originator of an **auction** , and the ordinal rank of a bid is reported
    to the bidder|
PA- FREEMARKETS INC (FREE-N) |
AU- <INVENTORS> KINNEY S E; LEVIS J P; STEVENS R G|
NC- 087|
NP- 002|
PN- WO 200154040 A1 20010726 WO 2001US2233 A 20010124 200172 B|
PN- AU 200131094 A 20010731 AU 200131094   A 20010124 200172|
AN- <LOCAL> WO 2001US2233 A 20010124; AU 200131094 A 20010124|
AN- <PR> US 2000490868 A 20000124|
FD- WO 200154040 A1 G06F-017/60
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    EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS
    LT LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR
    TT UA UG UZ VN YU ZA ZW
    <DS> (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS
    LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZW
FD- AU 200131094 A G06F-017/60   Based on patent WO 200154040|
LA- WO 200154040(E<PG> 37) |
DS- <NATIONAL> AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE ES
    FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU
    LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT UA
    UG UZ VN YU ZA ZW|
DS- <REGIONAL> AT; BE; CH; CY; DE; DK; EA; ES; FI; FR; GB; GH; GM; GR; IE;
    IT; KE; LS; LU; MC; MW; MZ; NL; OA; PT; SD; SE; SL; SZ; TR; TZ; UG; ZW|
AB- <PN> WO 200154040 A1|
AB- <NV> NOVELTY - Method of conducting an electronic online **auction**
    between potential bidders, comprises the steps of: (a) receiving bids
    from bidders; (b) ranking the bids in order of attractiveness; and (c)
    for **each** **bid** , **displaying** the rank determined in step (b) to the
    bidder who made the bid.|
AB- <BASIC> DETAILED DESCRIPTION - INDEPENDENT CLAIM is also included for
    the following:computer program
        USE - For online electronic **auctions** .
        ADVANTAGE - Allows users to conduct a sealed **bidding** online
    auction , where the value of the winning bid is hidden from other
    bidders, by enabling the **auction** system to disguise the actual value
    of bids from bidders. The bidders only know the ordinal rank of their
    bids rather than the value of other bidder's bids. Competitive
    interaction occurs when bids are received that change the ordinal rank,
    thereby inducing a competitive response.
        DESCRIPTION OF DRAWING(S) - The flow chart shows a disguised
    bidding ordering process.
        pp; 37 DwgNo 6/6|
DE- <TITLE TERMS> DISGUISE; PRICE; BID; **AUCTION** ; SUBMIT; BID; ORDER;
    BASIS; COMPARE; BID; PARAMETER; **AUCTION** ; ORDINAL; RANK; BID|
DC- T01; T05|
IC- <MAIN> G06F-017/60|
MC- <EPI> T01-H07C5E; T01-J05A1; T01-J05A2; T01-S03; T05-L02|
FS- EPI||

13/4/2   (Item 2 from file: 350)
DIALOG(R)File 350:Derwent WPIX
(c) 2001 Derwent Info Ltd. All rts. reserv.

IM- *Image available*
AA- 2001-483169/200152|
XR- <XRPX> N01-357647|
TI- Computer-based interactive processing and display of information such
    as **trading** transactions|
PA- HOUSTON STREET EXCHANGE INC (HOUS-N) |
AU- <INVENTORS> FREGEAU P M; GETMAN F W; SLUDER K|
NC- 093|
NP- 002|
PN- WO 200154039 A2 20010726 WO 2001US2105 A 20010122 200152 B|
PN- AU 200136503 A 20010731 AU 200136503 A 20010122 200171|
AN- <LOCAL> WO 2001US2105 A 20010122; AU 200136503 A 20010122|
AN- <PR> US 2000488278 A 20000120|
FD- WO 200154039 A2 G06F-017/60
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    SG SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW
    <DS> (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS
    LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZW
FD- AU 200136503 A G06F-017/60   Based on patent WO 200154039|
LA- WO 200154039(E<PG> 97) |
DS- <NATIONAL> AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ DE
    DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC
    LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI
    SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW|
DS- <REGIONAL> AT; BE; CH; CY; DE; DK; EA; ES; FI; FR; GB; GH; GM; GR; IE;
    IT; KE; LS; LU; MC; MW; MZ; NL; OA; PT; SD; SE; SL; SZ; TR; TZ; UG; ZW|
AB- <PN> WO 200154039 A2|
AB- <NV> NOVELTY - Several network subscribers are connected to a network
    system, and rated according to predetermined criteria for fulfilling
    terms of **trading** transactions. Central system application and
    databases are accessed to retrieve **screen display** containing a list
    of **all** outstanding **bids** to **buy** and offer to **sell**, and a bid or
    offer is selected by responding to the bid or offer, and then saved in
    the system database. Transaction between network subscribers is
    automatically completed according to the rating assigned. |
AB- <BASIC> DETAILED DESCRIPTION - An interactive display screen is used to
    present data information with respect to the totality of bids and
    offers for the commodity that is posted by the system subscribers.
    Access to the database is through a system application layer software
    that is resident at the system Web servers (108).
        USE - For processing and displaying in real time, large amounts of
    trading information in an interactive manner along with extrinsic
    information desired by system user.
        ADVANTAGE - Invention enables network (or system) subscribers (or
    users) to access and manipulate **trading** data information centrally
    available to the network subscribers, to display the **trading** data
    information in a **trading** friendly manner, to interact responsively
    with one or more other network subscribers to take actions with respect
    to certain displayed data information.
        DESCRIPTION OF DRAWING(S) - Diagram shows an embodiment of the
    present invention.
        Web servers (108)
        Internet information server (110)
        Transaction server (112)
        Database servers (114)
        pp; 97 DwgNo 1/29|
DE- <TITLE TERMS> COMPUTER; BASED; INTERACT; PROCESS; DISPLAY; INFORMATION;

December 10, 2001 2 14:25

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      TRADE; TRANSACTION|
DC- T01|
IC- <MAIN> G06F-017/60|
MC- <EPI> T01-J05A|
FS- EPI||
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13/4/3   (Item 3 from file: 350)
DIALOG(R)File 350:Derwent WPIX
(c) 2001 Derwent Info Ltd. All rts. reserv.

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IM- *Image available*
AA- 2001-457154/200149|
XR- <XRPX> N01-338842|
TI- Allocating method for display space on web page in computer system
    identifying advertisements to be allocated to on-line display space
    after receiving multiple bids each indicating bid amount and
    advertisement|
PA- AMAZON.COM INC (AMAZ-N)|
AU- <INVENTORS> BEZOS J P; LOPEZ G; SPIEGEL J R|
NC- 093|
NP- 002|
PN- WO 200135291  A2 20010517 WO 2000US29957 A  20001031 200149 B|
PN- AU 200113549  A  20010606 AU 200113549   A  20001031 200152|
AN- <LOCAL> WO 2000US29957 A 20001031; AU 200113549 A 20001031|
AN- <PR> US 99437815 A 19991110|
FD- WO 200135291  A2 G06F-017/60
    <DS> (National): AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU
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    KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE
    SG SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW
    <DS> (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS
    LU MC MW MZ NL OA PT SD SE SL SZ TZ UG ZW
FD- AU 200113549  A  G06F-017/60    Based on patent WO 200135291|
LA- WO 200135291(E<PG> 53)|
DS- <NATIONAL> AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ DE
    DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC
    LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI
    SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW|
DS- <REGIONAL> AT; BE; CH; CY; DE; DK; EA; ES; FI; FR; GB; GH; GM; GR; IE;
    IT; KE; LS; LU; MC; MW; MZ; NL; OA; PT; SD; SE; SL; SZ; TZ; UG; ZW|
AB- <PN> WO 200135291 A2|
AB- <NV> NOVELTY - The method involves receiving multiple bids indicating a
    bid amount and an advertisement. A request is received to provide a web
    page to a user. A received bid is selected based at least in part on
    review of bid amounts. The advertisement of the selected bid is added
    to the web page. The selecting of a received bid is performed after
    receiving the request.|
AB- <BASIC> USE - For conducting electronic commerce, esp. for identifying
    advertisements to be allocated to on-line display space.
       ADVANTAGE - Allows user to make use of vast amount of information
    available on web to make more informed buy and sell decisions.
       DESCRIPTION OF DRAWING(S) - The figure shows components of the
    display space system and sub-components of the schedule advertising
    component.
       pp; 53 DwgNo 6A, 6B/14|
DE- <TITLE TERMS> ALLOCATE; METHOD; DISPLAY; SPACE; WEB; PAGE; COMPUTER;
    SYSTEM; IDENTIFY; ADVERTISE; ALLOCATE; LINE; DISPLAY; SPACE; AFTER;
    RECEIVE; MULTIPLE; BID; INDICATE; BID; AMOUNT; ADVERTISE|
DC- T01|
IC- <MAIN> G06F-017/60|
MC- <EPI> T01-H07C3C; T01-H07C5E; T01-J05A1; T01-J05A2|
FS- EPI||
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13/4/4 **(Item 4 from file: 350)**
DIALOG(R)File 350:Derwent WPIX
(c) 2001 Derwent Info Ltd. All rts. reserv.

IM- *Image available*
AA- 2001-432414/200146|
XR- <XRPX> N01-320474|
TI- Montage for an automated market system for **trading** securities
    including receiving additional aggregate size quotes for security using
    a graphical user interface to display multiple price levels|
PA- NASDAQ STOCK MARKET INC (NASD-N)|
AU- <INVENTORS> BERKELEY A R; KETCHUM R G; ZEIGLER A I|
NC- 094|
NP- 002|
PN- WO 200122315   A2 20010329 WO 2000US25658 A 20000919 200146 B|
PN- AU 200140208   A   20010424 AU 200140208   A   20000919 200147|
AN- <LOCAL> WO 2000US25658 A 20000919; AU 200140208 A 20000919|
AN- <PR> US 99401875 A 19990923|
FD- WO 200122315   A2 G06F-017/60
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    KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE
    SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW
    <DS> (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS
    LU MC MW MZ NL OA PT SD SE SL SZ TZ UG ZW
FD- AU 200140208   A   G06F-017/60   Based on patent WO 200122315|
LA- WO 200122315(E<PG> 27)|
DS- <NATIONAL> AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ DE
    DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC
    LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI
    SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW|
DS- <REGIONAL> AT; BE; CH; CY; DE; DK; EA; ES; FI; FR; GB; GH; GM; GR; IE;
    IT; KE; LS; LU; MC; MW; MZ; NL; OA; PT; SD; SE; SL; SZ; TZ; UG; ZW|
AB- <PN> WO 200122315 A2|
AB- <NV> NOVELTY - The **GUI** (70) has two regions, **displaying** **aggregated**
    bids and **offers** (72) and a region with lines (72b,72c) displaying
    information for the next two best bids and offers (74) regardless of
    price difference from an inside quote. The current quote montage (74)
    also includes information relating to the inside bid and ask price with
    the aggregated total and agency amounts at that price of market makers
    (MM1,MM2...).|
AB- <BASIC> DETAILED DESCRIPTION - INDEPENDENT CLAIMS are included for a
    method of **trading** securities, for an electronic market, for a GUI and
    for a computer program product for operating the market.
        USE - Order entry and display in electronic markets.
        ADVANTAGE - Minimizing disclosure of identity of **trading**
    interests.
        DESCRIPTION OF DRAWING(S) - The drawing shows an order entry GUI
        GUI (70)
        Current quote montage (74)
        pp; 27 DwgNo 4/7|
DE- <TITLE TERMS> MONTAGE; AUTOMATIC; MARKET; SYSTEM; TRADE; SECURE;
    RECEIVE; ADD; AGGREGATE; SIZE; SECURE; GRAPHICAL; USER; INTERFACE;
    DISPLAY; MULTIPLE; PRICE; LEVEL|
DC- T01; T05|
IC- <MAIN> G06F-017/60|
MC- <EPI> T01-H07C5E; T01-J05A1; T01-J12B; T01-S03; T05-L02|
FS- EPI||

13/4/5   **(Item 5 from file: 350)**

DIALOG(R)File 350:Derwent WPIX
(c) 2001 Derwent Info Ltd. All rts. reserv.

IM- *Image available*
AA- 2001-122650/200113|
XR- <XRPX> N01-090095|
TI- Transactional information display method for electronic **trading**
    system, involves displaying bid icon, corresponding to bid of items, at
    location along axis of values|
PA- EPIT INC (EPIT-N)|
AU- <INVENTORS> FRIESEN R; HART P C|
NC- 085|
NP- 002|
PN- WO 200062187 A2 20001019 WO 2000US9369 A 20000407 200113 B|
PN- AU 200040801 A 20001114 AU 200040801   A 20000407 200113|
AN- <LOCAL> WO 2000US9369 A 20000407; AU 200040801 A 20000407|
AN- <PR> US 99289550 A 19990409|
FD- WO 200062187 A2 G06F-017/00
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    LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK TJ TM TR TT UA
    UG UZ VN YU ZA ZW
    <DS> (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS
    LU MC MW NL OA PT SD SE SL SZ TZ UG ZW
FD- AU 200040801 A G06F-017/00   Based on patent WO 200062187|
LA- WO 200062187(E<PG> 52)|
DS- <NATIONAL> AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE ES
    FI GB GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV
    MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK TJ TM TR TT UA UG UZ
    VN YU ZA ZW|
DS- <REGIONAL> AT; BE; CH; CY; DE; DK; EA; ES; FI; FR; GB; GH; GM; GR; IE;
    IT; KE; LS; LU; MC; MW; NL; OA; PT; SD; SE; SL; SZ; TZ; UG; ZW|
AB- <PN> WO 200062187 A2|
AB- <NV> NOVELTY - A bid icon corresponding to bid of items, is displayed
    at a location along a first axis of values corresponding to the value
    of the bid. An offer icon, corresponding to an offer type order for
    items, is displayed at a location along the first axis of values
    corresponding to the value of the offer.|
AB- <BASIC> DETAILED DESCRIPTION - An order token whose size is adjustable
    by the user is provided to reflect the quantity of the order. An order
    icon is moved to a location corresponding to the value of the order. A
    request for an order is received responsive to user action, specifying
    quantity of items and value for the order. A requested order icon
    corresponding to the specified quantity and value information is
    displayed. INDEPENDENT CLAIMS are also included for the following:
        (a) method for placing an order for an item;
        (b) method of displaying **trading** activity of traders;
        (c) program product;
        (d) method for conducting the buying and selling of items;
        (e) system for conducting the buying and selling of item;
        (f) method for providing an interface to electronic **trading**
    system;
        (g) user interface for electronic **trading** system
        USE - For providing graphical user interface in electronic **trading**
     systems.
        ADVANTAGE - Allows remote trader to view trends in the orders for
    an item. Provides the **trading** information in an easy to see and
    interpret **graphical** format. Each client terminal **displays** **all** of
    the outstanding **bids** and offers for an item. Enables the trader to
    anticipate demand for the item.
        DESCRIPTION OF DRAWING(S) - The figure shows the block diagram of
    electronic **trading** system.
        pp; 52 DwgNo 1/11|

DE- <TITLE TERMS> INFORMATION; DISPLAY; METHOD; ELECTRONIC; TRADE; SYSTEM;
    DISPLAY; BID; CORRESPOND; BID; ITEM; LOCATE; AXIS; VALUE|
DC- T01; T05|
IC- <MAIN> G06F-017/00|
MC- <EPI> T01-H07C5; T01-J05A1; T01-J12D; T05-L01D|
FS- EPI||

 13/4/6   (Item 6 from file: 350)
DIALOG(R)File 350:Derwent WPIX
(c) 2001 Derwent Info Ltd. All rts. reserv.

IM- *Image available*
AA- 2001-080052/200109|
XR- <XRPX> N01-061000|
TI- Electronic online **auctioning** method for industrial purchasers,
    involves transmitting net present value bid information enabling
    bidders to view relative comparison of net present value bids submitted
    by them|
PA- FREEMARKETS ONLINE INC (FREE-N)|
AU- <INVENTORS> BECKER D J; BERNARD A F; HECKMANN D C; KINNEY S E; MEAKEM G
    T; RAGO V F; RUPP W D; STEVENS R G|
NC- 089|
NP- 002|
PN- WO 200058896 A2 20001005 WO 2000US8387 A 20000331 200109 B|
PN- AU 200041815 A 20001016 AU 200041815   A 20000331 200109|
AN- <LOCAL> WO 2000US8387 A 20000331; AU 200041815 A 20000331|
AN- <PR> US 99282156 A 19990331|
FD- WO 200058896 A2 G06F-017/60
    <DS> (National): AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE
    DK DM EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK
    LR LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL
    TJ TM TR TT TZ UA UG UZ VN YU ZA ZW
    <DS> (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS
    LU MC MW NL OA PT SD SE SL SZ TZ UG ZW
FD- AU 200041815 A G06F-017/60   Based on patent WO 200058896|
LA- WO 200058896(E<PG> 32)|
DS- <NATIONAL> AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK DM
    EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS
    LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM
    TR TT TZ UA UG UZ VN YU ZA ZW|
DS- <REGIONAL> AT; BE; CH; CY; DE; DK; EA; ES; FI; FR; GB; GH; GM; GR; IE;
    IT; KE; LS; LU; MC; MW; NL; OA; PT; SD; SE; SL; SZ; TZ; UG; ZW|
AB- <PN> WO 200058896 A2|
AB- <NV> NOVELTY - A net present bid value is generated using net present
    value bid information received from bidder, which represents sum of
    series of payments over contract term segments discounted to present
    value using preset structure. The net present value bid information
    enabling bidders to view relative comparison of net present value bids
    submitted by them, is transmitted to the bidders.|
AB- <BASIC> DETAILED DESCRIPTION - The net present value bid information
    includes multi-segment **bidding** parameters defining pattern of
    payments over several contact term segments. The parameters are unit
    bid, contract length, contract quantity, price value and price
    reduction value. INDEPENDENT CLAIMS are also included for the
    following:
        (a) system for conducting electronic online **auction** ;
        (b) method for participating in electronic online **auction** ;
        (c) computer program product
        USE - For e.g. conducting business-to-business **bidding**   **auctions**
    for industrial purchasers.
        ADVANTAGE - Increases competitive dimensions upon which **auctions**
    is run by incorporating time value of money into **bidding** process.

Since time value of money is considered, a bidder offering steeper discounts in early years of multiple year contract look relatively more attractive than bidder whose discounts are offered in later years. The net present value methodology reduces all possible options over multiple years to one number for comparison purposes.
    DESCRIPTION OF DRAWING(S) - The figure **shows** the calculation of **total** net present value **bid** using base year bid, quantity and annual percentage discounts.
    pp; 32 DwgNo 6/8|
DE- <TITLE TERMS> ELECTRONIC; METHOD; INDUSTRIAL; TRANSMIT; NET; PRESENT; VALUE; BID; INFORMATION; ENABLE; VIEW; RELATIVE; COMPARE; NET; PRESENT; VALUE; BID; SUBMIT|
DC- T01|
IC- <MAIN> G06F-017/60|
MC- <EPI> T01-H07C5E; T01-J05A; T01-S03|
FS- EPI||

  13/4/7   (Item 7 from file: 350)
DIALOG(R)File 350:Derwent WPIX
(c) 2001 Derwent Info Ltd. All rts. reserv.

IM- *Image available*
AA- 2000-115965/200010|
XR- <XRPX> N00-087814|
TI- Electronic **bidding** method for selling or purchasing consumer products
    |
PA- BARZILAI N (BARZ-I); DAVIDSON R (DAVI-I)|
AU- <INVENTORS> BARZILAI N; DAVIDSON R|
NC- 001|
NP- 001|
PN- US 6012045   A 20000104 US 97886492   A 19970701 200010 B|
AN- <LOCAL> US 97886492 A 19970701|
AN- <PR> US 97886492 A 19970701|
FD- US 6012045   A G06F-017/60|
LA- US 6012045(27)|
AB- <PN> US 6012045 A|
AB- <NV> NOVELTY - Several customer computers connected with a telecommunication system, accesses electronically established virtual showroom providing information regarding product, selling price and minimum opening bid price. Bids from bidders are posted on the products and services during bid period and selects highest bid as accepted bid. The bids greater than lowest high bid from single bidder are excluded.|
AB- <BASIC> DETAILED DESCRIPTION - The system electronically consummate sale of one of several products to highest bidder and accepts electronic payment of funds from the bidder. The system **displays** electronically **all** winning **bids** to all customers with a predetermined number of non-winning bids proximate to winning bids.
    USE - For how to new and non-registered customers how to carry out an electronic bid, **auction** and sales of consumer products.
    ADVANTAGE - A member can access his or her account activity file or screen from home page thereby establishing a user friendly bid, **auction** and sale system. Permits user to place a reasonable number of bids on a single product or service and provides entertainment to actual and potential customers.
    DESCRIPTION OF DRAWING(S) - The figure shows membership program which is accessed by a customer or a call center system.
    pp; 27 DwgNo 3/10|
DE- <TITLE TERMS> ELECTRONIC; BID; METHOD; SELL; PURCHASE; CONSUME; PRODUCT
    |
DC- T01; T05|
IC- <MAIN> G06F-017/60|
MC- <EPI> T01-H07C5; T01-J05A; T01-S02; T05-L02|

FS- EPI||

 13/4/8   (Item 8 from file: 350)
DIALOG(R)File 350:Derwent WPIX
(c) 2001 Derwent Info Ltd. All rts. reserv.

IM- *Image available*
AA- 1998-456711/199839|
XR- <XRPX> N98-356461|
TI- On-line **auction** conducting method using computer network for fine
     art, collectible, real estate - involves allowing data transmission
     between bidders of remote locations and central computer through
     network, thereby enabling individual to participate in **auction** |
PA- HEALTH HERO NETWORK INC (HEAL-N)|
AU- <INVENTORS> BROWN S J|
NC- 001|
NP- 001|
PN- US 5794219   A 19980811 US 96603131   A 19960220 199839 B|
AN- <LOCAL> US 96603131 A 19960220|
AN- <PR> US 96603131 A 19960220|
FD- US 5794219   A G06F-017/60|
LA- US 5794219(14)|
AB- <BASIC> US 5794219 A
         The method involves registering a number of **bidding** groups having
     total bid in a central computer. Then bids entered from the remote
     computers are received by the central computer. Each bid includes a bid
     amount and bid designation. The bid designation indicates a particular
     group among the **bidding** groups.
         Each bid amount is then contributed to the total bid of the
     selected group. To all the bidders, a graphical picture and a
     description of current item being **auctioned** are **displayed** . Then, a
     group having largest **total** **bid** at the end of the **bidding** session
     is declared as the winning group.
         USE - For various association, institution and museum.
         ADVANTAGE - Permits individual bidders to pool their bids for
     desired item. Displays updated bid information in real time for
     bidders. Increases amount of funds of charity. Increases number of
     participant. Avoids use of only internet and modem.
         Dwg.7/10|
DE- <TITLE TERMS> LINE; **AUCTION** ; CONDUCTING; METHOD; COMPUTER; NETWORK;
     FINE; ART; REAL; ESTATE; ALLOW; DATA; TRANSMISSION; REMOTE; LOCATE;
     CENTRAL; COMPUTER; THROUGH; NETWORK; ENABLE; INDIVIDUAL; PARTICIPATING;
     AUCTION |
DE- <ADDITIONAL WORDS> **INTERNET** ; **ETHERNET** |
DC- T01|
IC- <MAIN> G06F-017/60|
MC- <EPI> T01-H07C5E; T01-H07C5S; T01-J05A|
FS- EPI||

 13/4/9   (Item 9 from file: 350)
DIALOG(R)File 350:Derwent WPIX
(c) 2001 Derwent Info Ltd. All rts. reserv.

AA- 1983-814753/198345|
XR- <XRPX> N83-202719|
TI- Stock exchange automation using computer - by storing data records
     related to each security and **displaying** **all** actual **bids** and
     estimates of all potential customers|
PA- BRADDOCK W D (BRAD-I)|
AU- <INVENTORS> BRADDOCK W D|
NC- 001|

NP- 001|
PN- US 4412287   A 19831025   198345 B|
AN- <PR> US 82418297 A 19820915; US 75581840 A 19750529|
FD- US 4412287   A |
LA- US 4412287(22)|
AB- <BASIC> US 4412287 A
      The computer to match **buy** and **sell** orders for a number of
   stocks. An open board simultaneous **trading** environment is simulated
   through two stages. The first stage is an order accumulation period
   which is continuously in operation except except for one stock in the
   second stage. The second stage is an extremely rapid sequential call
   through.
      All orders for a given stock are available to customers during the
   first stage. During the second stage market orders are matched with
   market orders, then market orders are traded against limit orders as
   the **trading** price changes within controlled ranges. The system will
   also process stop orders, and other specialised transactions.|
DE- <TITLE TERMS> STOCK; EXCHANGE; AUTOMATIC; COMPUTER; STORAGE; DATA;
   RECORD; RELATED; SECURE; DISPLAY; ACTUAL; BID; ESTIMATE; POTENTIAL;
   CUSTOMER|
DC- T01|
IC- <ADDITIONAL> G06F-015/20|
MC- <EPI> T01-J05|
FS- EPI||

 13/4/10   (Item 1 from file: 347)
FN- DIALOG(R)File 347:JAPIO|
CZ- (c) 2001 JPO & JAPIO. All rts. reserv.|
TI- AMUSEMENT DEVICE AND CONTROLLER
PN- 2000-126460 -JP 2000126460 A-
PD- May 09, 2000 (20000509)
AU- FUJIMOTO KENTA; NISHIHARA MIKITO
PA- SNK KK
AN- 11-155965   -JP 99155965-
AN- 11-155965   -JP 99155965-
AD- June 03, 1999 (19990603)
PR- 10-341127 [JP 98341127], JP (Japan), November 12, 1998 (19981112)
A63F-013/00; G09G-005/00; G10G-001/02; G10H-001/00
AB- PROBLEM TO BE SOLVED: To enable a game to be progressed with musical
      instrument playing feeling by forming a controller into a musical
      instrument with plural operating parts in a game in which a game
      player gains points by operating the controller so as to match with
      images on a display **screen** and music from a speaker. SOLUTION:
      Each of game **players** looks into an image on a **display** 4 of the
      game device body 1 holding a controller 2, for instance, in a shape
      like a guitar on a stage 12 and listens in music from a speaker 5 and
      operates operation buttons of the controller 2 so as to match with
      the image and the music to gain and add points. The controller 2 is
      in the shape like the guitar and has five string buttons placed in a
      straight line on points corresponding to the string parts and one
      picking button at a place corresponding to a picking part. The game
      is progressed with guitar playing feeling by operating buttons 13, 14
      matching with a scroll display on the display 4. COPYRIGHT:
      (C)2000,JPO

 13/4/11   (Item 2 from file: 347)
FN- DIALOG(R)File 347:JAPIO|
CZ- (c) 2001 JPO & JAPIO. All rts. reserv.|
TI- **AUCTION** SYSTEM FOR AUTOMOBILE AND THE LIKE
PN- 01-211171 -JP 1211171 A-
PD- August 24, 1989 (19890824)

AU- KANO SHUNZO
PA- JIYANETSUTO KK [000000] (A Japanese Company or Corporation), JP (Japan)
AN- 63-036704 -JP 8836704-
AN- 63-036704 -JP 8836704-
AD- February 19, 1988 (19880219)
IC- -4- G06F-015/28; G06F-015/21
CL- 45.4   (INFORMATION PROCESSING -- Computer Applications)
SO- Section: P, Section No. 963, Vol. 13, No. 520, Pg. 32, November 21,
    1989 (19891121)
AB- PURPOSE: To sell a commodity at **auction** on a real time basis by
    providing a data memory means to store picture and character
    information concerning a next **auction** at a terminal computer.

    CONSTITUTION: The picture and character information of an automobile
    is transmitted from a host computer 1 through a repeating computer 2
    to a terminal computer 4. The transmitted information is displayed to
    the display device of the computer 4 as the **auction** information for
    a prescribed time, and during displaying, the next information is
    stored into the memory means of the computer 4. For a **displayed
    auction** price, **each** purchaser inputs a **bid** sign to express
    whether or not he has a will to purchase it through a bid key. The
    tendered bid sign is inputted to the computer 1, while, until its
    price rises up to the desired price of a seller inputs a slow-down
    key, the price is automatically bade up.

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?t15/4/

15/4/1   (Item 1 from file: 350)
DIALOG(R)File 350:Derwent WPIX
(c) 2001 Derwent Info Ltd. All rts. reserv.

IM- *Image available*
AA- 2001-601224/ **200168** |
XR- <XRPX> N01-448449|
TI- Internet based stock information provision method for traders, involves
    displaying trade/stock information based on which traders are able to
    determine **trading** pattern of agents|
PA- GARCIA C B (GARC-I)|
AU- <INVENTORS> GARCIA C B|
NC- 001|
NP- 001|
PN- US 6272474   B1 20010807 US 99246304   A 19990208 200168 B|
AN- <LOCAL> US 99246304 A 19990208|
AN- <PR> US 99246304 A 19990208|
LA- US 6272474(12)|
AB- <PN> **US 6272474** B1|
AB- <NV> NOVELTY - Stock information comprising bid and ask offers, size of
    bid/ask offers and identity of agents making each offer and trade
    information including volume, time and price of each trade are
    received. The received information is displayed on a **display   screen**
    comprising bid/ask trade **bars** , based on which traders are able to
    determine the **trading** pattern of agents.|
AB- <BASIC> USE - For providing stock information such as National
    Association of Securities Dealers Automated Quotation (NASDAQ) level II
    information to traders through internet.
        ADVANTAGE - Enables traders to determine **trading** patterns of the
    market makers in selected stocks, thereby increasing the probability of
    traders of buying the stocks at low price and selling high.
        DESCRIPTION OF DRAWING(S) - The figure **shows** the **candlestick**
    price-volume chart selected for a particular agent.
        pp; 12 DwgNo 6/6|
DE- <TITLE TERMS> BASED; STOCK; INFORMATION; PROVISION; METHOD; DISPLAY;
    TRADE; STOCK; INFORMATION; BASED; ABLE; DETERMINE; TRADE; PATTERN;
    AGENT|
DC- T01|
IC- <MAIN> G06F-017/60|
MC- <EPI> T01-J05A|
FS- EPI||
?

?t19/ti/all

19/TI/1   (Item 1 from file: 350)
DIALOG(R)File 350:(c) 2001 Derwent Info Ltd. All rts. reserv.

  Internet based stock information provision method for traders, involves
  displaying trade/stock information based on which traders are able to
  determine trading pattern of agents

19/TI/2   (Item 2 from file: 350)
DIALOG(R)File 350:(c) 2001 Derwent Info Ltd. All rts. reserv.

  Data segment presenting method in internet, involves producing and
  executing template on computing device by storing coordinates of
  specified data segment and address of data source

19/TI/3   (Item 3 from file: 350)
DIALOG(R)File 350:(c) 2001 Derwent Info Ltd. All rts. reserv.

  Visual display unit to indicate state of financial stock market trading
  shows bull and bear characters

19/TI/4   (Item 4 from file: 350)
DIALOG(R)File 350:(c) 2001 Derwent Info Ltd. All rts. reserv.

  Financial trading system includes program evaluation engine which
  determines whether market news satisfies user criteria

19/TI/5   (Item 5 from file: 350)
DIALOG(R)File 350:(c) 2001 Derwent Info Ltd. All rts. reserv.

  Service contract assistance method for service auction system, involves
  perusing list of symbols which show desired contract price and person
  who input desired contract price

19/TI/6   (Item 6 from file: 350)
DIALOG(R)File 350:(c) 2001 Derwent Info Ltd. All rts. reserv.

  Local area communication system for commercial transaction, communicates
  with mobile device of consumer to receive and transmit proposed offer
  information from local merchants

19/TI/7   (Item 7 from file: 350)
DIALOG(R)File 350:(c) 2001 Derwent Info Ltd. All rts. reserv.

  Virtual trading floor system in an interactive decision support system
  for monitoring and responding to system and market events displayed as a
  three-dimensional view

19/TI/8   (Item 8 from file: 350)
DIALOG(R)File 350:(c) 2001 Derwent Info Ltd. All rts. reserv.

  Computer readable medium for word processing system, has condensed lexion
  database with data tree having nodes containing reading pair
  identification number and instructions for mapping reading pair ID number
  array

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19/TI/9   (Item 9 from file: 350)
DIALOG(R)File 350:(c) 2001 Derwent Info Ltd. All rts. reserv.

 Data processing system for computer implemented financial charting
 system, determines intra-market element processed for performing
 graphical display as a chart with bars

19/TI/10   (Item 10 from file: 350)
DIALOG(R)File 350:(c) 2001 Derwent Info Ltd. All rts. reserv.

 Transactional information displaying method for electronic trading of
 semi-fungible goods, involves displaying several book axes in single
 window to represent orders placed for respective semi-fungible goods

19/TI/11   (Item 11 from file: 350)
DIALOG(R)File 350:(c) 2001 Derwent Info Ltd. All rts. reserv.

 Symbol searching system for data processor, converts input name of
 article into numerical value and searches corresponding symbol in a
 memory which stores numerical values and matching symbols

19/TI/12   (Item 12 from file: 350)
DIALOG(R)File 350:(c) 2001 Derwent Info Ltd. All rts. reserv.

 Information presentation for electronic commerce transaction, involves
 displaying products search parameters and services matching entered
 parameters, and total cost of selected products in different display
 areas

19/TI/13   (Item 13 from file: 350)
DIALOG(R)File 350:(c) 2001 Derwent Info Ltd. All rts. reserv.

 Search algorithm in search system used for retrieving text information in
 world wide web

19/TI/14   (Item 14 from file: 350)
DIALOG(R)File 350:(c) 2001 Derwent Info Ltd. All rts. reserv.

 Input data display apparatus for displaying data with icon
 representing data content

19/TI/15   (Item 15 from file: 350)
DIALOG(R)File 350:(c) 2001 Derwent Info Ltd. All rts. reserv.

 Slot machine with further prizes for increased user playability

19/TI/16   (Item 16 from file: 350)
DIALOG(R)File 350:(c) 2001 Derwent Info Ltd. All rts. reserv.

 Integrated image information display method for use by attorneys, bankers
 and insurance industry personnel

19/TI/17   (Item 17 from file: 350)

Computerised solid using identification system for use by doctor,
pharmacist, paramedics - matches bar code data on medication item with
data in medication identifier code database in computer, based on which
item is identified and corresponding item information are displayed

19/TI/18   (Item 18 from file: 350)

Comparison method of attributes in object oriented database management
system - involves comparing attribute values for non-designated parts
with corresponding attribute values for baseline part and thereby
displaying matching and non- matching attribute values

19/TI/19   (Item 19 from file: 350)

 Graphic   symbol editing and processing method - involves editing
 graphic   symbol using recognition result which is obtained from ranking
 of symbol

19/TI/20   (Item 20 from file: 350)

Computer aided auction   bidding apparatus - includes user interface
which includes icons which aid auctioneer during auction and visual
display which displays current bidding price in number of currencies

19/TI/21   (Item 21 from file: 350)

Software evaluation support system for software development - uses case
tools and displays processed flow of data during execution of software
and execution situation as tables

19/TI/22   (Item 22 from file: 350)

Card suspending management system for supervisory control of various
installations - has control part which controls management mode of
installation based on card suspending information table

19/TI/23   (Item 23 from file: 350)

Data searching appts - has control unit which displays desired data
searched based on predetermined key word, in overlapped area

19/TI/24   (Item 24 from file: 350)

Transmission data monitoring and delivery system for information
processing appts e.g. word processor - uses stage display processing part
to process each stage display, based on order of stage

19/TI/25   (Item 25 from file: 350)
DIALOG(R)File 350:(c) 2001 Derwent Info Ltd. All rts. reserv.

File management method within computer system - adding new full path name
of file to history queue when OS opens, creates or re-names file,
searching queue for matching path name with new window title and
displaying icon on screen when OS signals application processor of
new window

19/TI/26   (Item 26 from file: 350)
DIALOG(R)File 350:(c) 2001 Derwent Info Ltd. All rts. reserv.

New event selection and scheduling for facility using softwareprogram -
storing predefined and recurring facility attribute associated with
graphical icon on PC, matching facility requirement with one icon
previously created and dropping on on-line scheduler program for
searching facilities for new event schedu

19/TI/27   (Item 27 from file: 350)
DIALOG(R)File 350:(c) 2001 Derwent Info Ltd. All rts. reserv.

Item information loading system for merchandise - stores item information
in one data a base and shelf identification information in another

19/TI/28   (Item 1 from file: 347)
DIALOG(R)File 347:(c) 2001 JPO & JAPIO. All rts. reserv.

BUILDING DESIGNING DEVICE

19/TI/29   (Item 2 from file: 347)
DIALOG(R)File 347:(c) 2001 JPO & JAPIO. All rts. reserv.

AUTOMATIC **AUCTION** METHOD

19/TI/30   (Item 3 from file: 347)
DIALOG(R)File 347:(c) 2001 JPO & JAPIO. All rts. reserv.

SYMBOL DICTIONARY GENERATING METHOD AND SYMBOL DICTIONARY RETRIEVING METHOD

19/TI/31   (Item 4 from file: 347)
DIALOG(R)File 347:(c) 2001 JPO & JAPIO. All rts. reserv.

CHASSIS DISPLAY BODY AND CHASSIS DISPLAY METHOD

19/TI/32   (Item 5 from file: 347)
DIALOG(R)File 347:(c) 2001 JPO & JAPIO. All rts. reserv.

SYMBOL   GRAPHIC   EDITING PROCESSING METHOD

19/TI/33   (Item 6 from file: 347)
DIALOG(R)File 347:(c) 2001 JPO & JAPIO. All rts. reserv.

DOCUMENT PROCESSOR AND ITS METHOD, AND STORAGE MEDIUM

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19/TI/34   (Item 7 from file: 347)
DIALOG(R)File 347:(c) 2001 JPO & JAPIO. All rts. reserv.

SUPPORTING DEVICE FOR TRAIN OPERATION CONTROL

19/TI/35   (Item 8 from file: 347)
DIALOG(R)File 347:(c) 2001 JPO & JAPIO. All rts. reserv.

INFORMATION PRESENTING DEVICE

19/TI/36   (Item 9 from file: 347)
DIALOG(R)File 347:(c) 2001 JPO & JAPIO. All rts. reserv.

INFORMATION DISPLAY DEVICE

19/TI/37   (Item 1 from file: 371)
DIALOG(R)File 371:(c) 2001 INPI. All rts. reserv. All rts. reserv.
Title: SYSTEME INFORMATIQUE DE GESTION DE VENTES AUX ENCHERES EN TEMPS REEL
?

?t19/4/7,9,10,14,19,20,29

19/4/7   (Item 7 from file: 350)
DIALOG(R)File 350:Derwent WPIX
(c) 2001 Derwent Info Ltd. All rts. reserv.

IM- *Image available*
AA- 2001-465674/200150|
XR- <XRPX> N01-345451|
TI- Virtual **trading** floor system in an interactive decision support
    system for monitoring and responding to system and market events
    displayed as a three-dimensional view|
PA- NEW YORK STOCK EXCHANGE (NYST-N)|
AU- <INVENTORS> ALLEN A E; HICKS M; SEGAL D|
NC- 020|
NP- 002|
PN- WO 200155968 A2 20010802 WO 2001US3066 A 20010131 200150 B|
PN- FR 2804526   A1 20010803 FR 20011340   A 20010131 200150|
AN- <LOCAL> WO 2001US3066 A 20010131; FR 20011340 A 20010131|
AN- <PR> US 2000179296 P 20000131|
FD- WO 200155968 A2 G06T-011/20
    <DS> (National): JP
    <DS> (Regional): AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE
    TR|
LA- WO 200155968(E<PG> 35)|
DS- <NATIONAL> JP|
DS- <REGIONAL> AT; BE; CH; CY; DE; DK; ES; FI; FR; GB; GR; IE; IT; LU; MC;
    NL; PT; SE; TR|
AB- <PN> WO 200155968 A2|
AB- <NV> NOVELTY - A dashboard (301) provided below a three-dimensional
    view of a virtual **trading** floor (202) **displays** two-dimensional
    numerical and **symbolic** information of interest and can be separated
    into a system dashboard (302), a user selected dashboard (303) and a
    business dashboard (304), while containers on the floor area contain
    three-dimensional graphical information of interest. A group container
    (308) graphically displays statistics for a group of stock or other
    items, while navigation and display settings are provided through a
    control station.|
AB- <BASIC> DETAILED DESCRIPTION - AN INDEPENDENT CLAIM is included for a
    method and system for displaying data representing operation of an
    exchange.
        USE - Displaying a three-dimensional model of a virtual **trading**
    floor.
        ADVANTAGE - Allowing rapid assimilation of large amounts of
    information as events occur.
        DESCRIPTION OF DRAWING(S) - The drawing shows the system
        Dashboard (301)
        Virtual **trading** floor (202)
        Group container (308)
        pp; 35 DwgNo 3/9|
DE- <TITLE TERMS> VIRTUAL; TRADE; FLOOR; SYSTEM; INTERACT; DECIDE; SUPPORT;
    SYSTEM; MONITOR; RESPOND; SYSTEM; MARKET; EVENT; DISPLAY; THREE;
    DIMENSION; VIEW|
DC- T01|
IC- <MAIN> G06F-017/50 ; G06T-011/20|
IC- <ADDITIONAL> G06F-017/60 ; G06T-017/00|
MC- <EPI> T01-J10C|
FS- EPI||

19/4/9   (Item 9 from file: 350)
DIALOG(R)File 350:Derwent WPIX
(c) 2001 Derwent Info Ltd. All rts. reserv.

IM- *Image available*
AA- 2001-458912/200150|
XR- <XRPX> N01-340235|
TI- Data processing system for computer implemented financial charting
    system, determines intra-market element processed for performing
    graphical   display as a chart with **bars** |
PA- GOHEDGE ASSET MANAGEMENT LTD (GOHE-N)|
AU- <INVENTORS> CHONG K F R; LI V C P|
NC- 026|
NP- 001|
PN- EP 1109122   A2 20010620 EP 2000310672   A 20001201 200150 B|
AN- <LOCAL> EP 2000310672 A 20001201|
AN- <PR> US 99465336 A 19991217|
FD- EP 1109122   A2 G06F-017/60
    <DS> (Regional): AL AT BE CH CY DE DK ES FI FR GB GR IE IT LI LT LU LV
    MC MK NL PT RO SE SI TR|
LA- EP 1109122(E<PG> 31)|
DS- <REGIONAL> AL; AT; BE; CH; CY; DE; DK; ES; FI; FR; GB; GR; IE; IT; LI;
    LT; LU; LV; MC; MK; NL; PT; RO; SE; SI; TR|
AB- <PN> EP 1109122 A2|
AB- <NV> NOVELTY - Data representing high and low prices traded by market
    during predetermined time interval is calculated by analyzing **trading**
    activity. **Trading** activity data is analyzed to determine intra-market
    element indicating price range during high and low **trading** activities
    or price interval during highest **trading** activity. Intra-market data
    element is processed for performing **graphical   display** as chart with
    bars . |
AB- <BASIC> DETAILED DESCRIPTION - An INDEPENDENT CLAIM is also included
    for method of analyzing price activities.
       USE - Data processing system for computer implemented financial
    charting system for charting movements of financial market traded
    instruments, used by traders and analysts for predicting future price
    movements.
       ADVANTAGE - Quantifies and overlays intra-market information on a
    chart and eliminates the need for observing and memorizing intra-market
    information by retrieving intra-market information instantly.
    Facilitates analysis of time series behavior as well as their
    relationships with usual OHLC. Enables to form new **trading** insights
    easily and to develop new technical analysis theories. Provides a new
    chart which resembles existing chart, so that traders who are used to
    wear the latter will not find new chart unfamiliar but rather more
    informative.
       DESCRIPTION OF DRAWING(S) - The figure **shows** the appearance of
    bar in the **graphical** chart.
       pp; 31 DwgNo 2A/19|
DE- <TITLE TERMS> DATA; PROCESS; SYSTEM; COMPUTER; IMPLEMENT; FINANCIAL;
    CHART; SYSTEM; DETERMINE; INTRA; MARKET; ELEMENT; PROCESS; PERFORMANCE;
    GRAPHICAL; DISPLAY; CHART; BAR|
DC- T01|
IC- <MAIN> **G06F-017/60** |
MC- <EPI> T01-J05A|
FS- EPI||

 19/4/10   (Item 10 from file: 350)
DIALOG(R)File 350:Derwent WPIX
(c) 2001 Derwent Info Ltd. All rts. reserv.

IM- *Image available*
AA- 2001-441109/200147|
XR- <XRPX> N01-326345|
TI- Transactional information displaying method for electronic **trading** of

semi-fungible goods, involves displaying several book axes in single
window to represent orders placed for respective semi-fungible goods|
PA- EPIT INC (EPIT-N)|
AU- <INVENTORS> FRIESEN R W; KLIZAS M C|
NC- 087|
NP- 002|
PN- WO 200116852 A2 20010308 WO 2000US40797 A 20000830 200147 B|
PN- AU 200112500   A 20010326 AU 200112500   A 20000830 200147|
AN- <LOCAL> WO 2000US40797 A 20000830; AU 200112500 A 20000830|
AN- <PR> US 99151468 P 19990830|
FD- WO 200116852 A2 G06F-017/60
    <DS> (National): AE AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CU CZ DE
    DK EE ES FI GB GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS
    LT LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK TJ TM TR TT
    UA UG UZ VN YU ZA ZW
    <DS> (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS
    LU MC MW MZ NL OA PT SD SE SL SZ TZ UG ZW
FD- AU 200112500 A G06F-017/60   Based on patent WO 200116852|
LA- WO 200116852(E<PG> 53)|
DS- <NATIONAL> AE AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CU CZ DE DK EE
    ES FI GB GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU
    LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK TJ TM TR TT UA UG
    UZ VN YU ZA ZW|
DS- <REGIONAL> AT; BE; CH; CY; DE; DK; EA; ES; FI; FR; GB; GH; GM; GR; IE;
    IT; KE; LS; LU; MC; MW; MZ; NL; OA; PT; SD; SE; SL; SZ; TZ; UG; ZW|
AB- <PN> WO 200116852 A2|
AB- <NV> NOVELTY - A graphical user interface (1200) displays separate book
    axes (1208) to represent orders placed for respective semi-fungible
    goods in same window (1204). Order **icons** (1220) are **displayed** along
    the book axes to represent bid and offer for the semi-fungible goods.|
AB- <BASIC> DETAILED DESCRIPTION - INDEPENDENT CLAIMS are also included for
    the following:
        (a) user interface;
        (b) Computer readable medium;
        (c) Buying method of semi-fungible goods
        USE - For electronic **trading** of semi-fungible goods in stock
    market.
        ADVANTAGE - Since the user interface displays information about
    several semi-fungible goods on a single screen, a trader is allowed to
    view markets for the different semi-fungible goods simultaneously.
    Thereby **trading** decisions can be made quickly and efficiently.
        DESCRIPTION OF DRAWING(S) - The figure shows the screen shot of the
    user interface for **trading** semi-fungible goods.
        Graphical user interface (1200)
        Window (1204)
        Book axes (1208)
        Order icons (1220)
        pp; 53 DwgNo 12/15|
DE- <TITLE TERMS> INFORMATION; DISPLAY; METHOD; ELECTRONIC; TRADE; SEMI;
    FUNGIBLE; GOODS; DISPLAY; BOOK; AXIS; SINGLE; WINDOW; REPRESENT; ORDER;
    PLACE; RESPECTIVE; SEMI; FUNGIBLE; GOODS|
DC- T01|
IC- <MAIN> **G06F-017/60** |
MC- <EPI> T01-C02B1; T01-J05A2; T01-S03|
FS- EPI||

 19/4/14   (Item 14 from file: 350)
DIALOG(R)File 350:Derwent WPIX
(c) 2001 Derwent Info Ltd. All rts. reserv.

IM- *Image available*
AA- 2000-041097/200004|

XR- <XRPX> N00-031192|
TI- Input data **display** apparatus for **displaying** data with **icon** representing data content|
PA- CASIO COMPUTER CO LTD (CASK)|
AU- <INVENTORS> MATSUO S; TAKASU A|
NC- 026|
NP- 002|
PN- EP 962870   A2 19991208 EP 99110594   A 19990601 200004 B|
PN- JP 11353318   A 19991224 JP 98157657   A 19980605 200011|
AN- <LOCAL> EP 99110594 A 19990601; JP 98157657 A 19980605|
AN- <PR> JP 98157657 A 19980605|
FD- EP 962870   A2 G06F-015/02
    <DS> (Regional): AL AT BE CH CY DE DK ES FI FR GB GR IE IT LI LT LU LV MC MK NL PT RO SE SI
FD- JP 11353318   A G06F-017/30|
LA- EP 962870(E<PG> 23); JP 11353318(15)|
DS- <REGIONAL> AL; AT; BE; CH; CY; DE; DK; ES; FI; FR; GB; GR; IE; IT; LI; LT; LU; LV; MC; MK; NL; PT; RO; SE; SI|
AB- <PN> EP 962870 A2|
AB- <NV> NOVELTY - A match between input data and a keyword stored in an icon data storage unit is detected. Icon data stored in the icon storage unit corresponding to a keyword detected to match the input data, is added to the input data and displayed.|
AB- <BASIC> DETAILED DESCRIPTION - A number of icon data elements and keywords respectively corresponding to the icon data elements are stored in an icon data storage unit. **Matching** between the data input by the data input unit, and a keyword stored in the icon data storage unit is detected. Icon data stored in the icon data storage unit, corresponding to a keyword which is detected to match the data input by the data input section, is added to the input data, and the obtained data is displayed. INDEPENDENT CLAIMS are included for a display control method for **displaying** input data with an **icon** representing the contents of the data; a recording medium storing a computer-readable display control program for **displaying** input data together with an **icon** representing data content.
    USE - **Displaying** input data with an **icon** representing the contents of the data.
    ADVANTAGE - An icon appropriate to data contents can be automatically added to the data, such that data can be displayed to allow the user to recognize the contents at a glance.
    DESCRIPTION OF DRAWING(S) - The drawing shows a data communications system constructed by combining a watch with a display according to the invention.
    Watch apparatus (1)
    Display section (2)
    Transceiver section (3)
    Loudspeaker (4)
    Mode switching keys (5a-5d)
    Computer (6)
    pp; 23 DwgNo 1/14|
DE- <TITLE TERMS> INPUT; DATA; DISPLAY; APPARATUS; DISPLAY; DATA; REPRESENT ; DATA; CONTENT|
DC- T01|
IC- <MAIN> G06F-015/02; **G06F-017/30** |
IC- <ADDITIONAL> G06F-003/00; **G06F-017/60** |
MC- <EPI> T01-C04B; T01-J01; T01-J12D; T01-M06A1A; T01-S03|
FS- EPI||

 19/4/19   (Item 19 from file: 350)
DIALOG(R)File 350:Derwent WPIX
(c) 2001 Derwent Info Ltd. All rts. reserv.

IM- *Image available*
AA- 1998-516699/199844|
XR- <XRPX> N98-404035|
TI- **Graphic symbol** editing and processing method - involves editing
    graphic symbol using recognition result which is obtained from
    ranking of symbol|
PA- MEIDENSHA CORP (MEID)|
NC- 001|
NP- 001|
PN- JP 10228541   A 19980825 JP 9729918   A 19970214 199844 B|
AN- <LOCAL> JP 9729918 A 19970214|
AN- <PR> JP 9729918 A 19970214|
FD- JP 10228541   A G06T-007/00|
LA- JP 10228541(4)|
AB- <BASIC> JP 10228541 A
        The method involves reading a drawing document, optically. An
    outline information detector (11) recognises the outline information of
    the **graphic symbol** . The recognised outline information is stored
    into a dictionary using an outline information detector (12). Pattern
    matching of both the outline information are done and a ranking
    attachment is formed based on the obtained recognition distances.
        The ranking attachment is done from the nearer distance and the
    ranking of the symbol code is decided. The symbol code for several
    dozens of places are chosen from the ranking and the recognition
    result, is obtained which is used for editing the **graphic symbol** .
        ADVANTAGE - Corrects incorrect recognition **graphic symbol** ,
    quickly. Reduces editing time.
        Dwg.1/6|
DE- <TITLE TERMS> GRAPHIC; SYMBOL; EDIT; PROCESS; METHOD; EDIT; GRAPHIC;
    SYMBOL; RECOGNISE; RESULT; OBTAIN; RANK; SYMBOL|
DC- T01; T04|
IC- <MAIN> G06T-007/00|
IC- <ADDITIONAL> **G06F-017/50** ; G06T-001/00|
MC- <EPI> T01-J10A; T01-J10B2; T01-J15X; T04-D03B|
FS- EPI||

 19/4/20   (Item 20 from file: 350)
DIALOG(R)File 350:Derwent WPIX
(c) 2001 Derwent Info Ltd. All rts. reserv.

IM- *Image available*
AA- 1998-079525/199808|
XR- <XRPX> N98-063693|
TI- Computer aided **auction bidding** apparatus - includes user **interface**
     which includes **icons** which aid **auctioneer** during **auction** and
    visual display which displays current **bidding** price in number of
    currencies|
PA- VISUAL TECHNOLOGY PTY LTD (VISU-N) |
AU- <INVENTORS> NOBLETT A P; ROSS R T; VOGEL M R|
NC- 002|
NP- 002|
PN- GB 2315579   A 19980204 GB 9715805   A 19970725 199808 B|
PN- AU 9730141   A 19980205 AU 9730141   A 19970723 199813|
AN- <LOCAL> GB 9715805 A 19970725; AU 9730141 A 19970723|
AN- <PR> AU 961237 A 19960725|
FD- GB 2315579   A G06F-017/60
FD- AU 9730141   A G06F-017/60|
LA- GB 2315579(13)|
AB- <BASIC> GB 2315579 A
        The **auction bidding** apparatus includes a user interface for an
    auction controller to enter bid information into the computer system
    during an **auction** . The user **interface** includes a number of **icons**

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containing possible future bids for the **auction** , and icons which are
to be activated when one of a group of events occurs, The events
include the **auction** item being sold at the **auction** , the **auction**
item being sold prior to the **auction** , the **auction** item being
withdrawn by the seller, the **auction** item being passed in below a
reserve, and the **auction** item having no bids from bidders at the
auction .

    A database holds lots of objects to be **auctioned** and the
interface allows a user to conduct an **auction** for each lot. A visual
display unit is connected to the computer system for displaying a
current **bidding** price during the **auction** . The current **bidding**
price is displayed in a number of currencies, along with **bidding**
historical data for the **auction** .

    ADVANTAGE - Recording of bids is streamlined and information is
made available in many different formats to audience to increase
possible pace of repetitive interaction and cognition between audience
and **auctioneer** to increase levels of excitement and heighten tension
to increase **bidding** price.

    Dwg.1/3|

DE- <TITLE TERMS> COMPUTER; AID; **AUCTION** ; BID; APPARATUS; USER; INTERFACE
    ; AID; **AUCTION** ; VISUAL; DISPLAY; DISPLAY; CURRENT; BID; PRICE; NUMBER
    |
DC- T01|
IC- <MAIN> **G06F-017/60** |
IC- <ADDITIONAL> G06F-019/00|
MC- <EPI> T01-J12B1; T01-J12D|
FS- EPI||

  19/4/29   (Item 2 from file: 347)
FN- DIALOG(R)File 347:JAPIO|
CZ- (c) 2001 JPO & JAPIO. All rts. reserv.|
TI- AUTOMATIC **AUCTION** METHOD
PN- 2001-060237 -JP 2001060237 A-
PD- March 06, 2001 (20010306)
AU- MORI MASAKATSU; OGURA MASAHIRO; TAKESHIMA MASAHIRO; ARAI KENJI
PA- HITACHI LTD
AN- 2000-226186 -JP 2000226186-
AN- 2000-226186 -JP 2000226186-
AN- Division of 08-233918 [JP 96233918]
AD- September 04, 1996 (19960904)
  G06F-017/60
AB- PROBLEM TO BE SOLVED: To provide an automatic **auction** method with
    which an **auction** participant has not to be in front of an **auction**
    terminal when an **auction** is carried out by designating the highest
    allowable price on a screen in a competition mode, producing the
    auction ordering information including the allowable price and then
    transmitting the **auction** ordering information to an **auction**
    execution terminal. SOLUTION: A buyer client acquires the **auction**
    registering situation from an electronic market server via a
    registering situation screen 51. An ordered commodity list 511, i.e.,
    a list of commodities which are ordered by the buyers is displayed on
    the **screen** 51 together with the **icons** of an **auction** ordering
    information **display** /correction button 512, an ordering stop button
    513, an **auction** result display button 514 and a button 515 which
    closes the screen 51 respectively. The list 511 shows a list of
    commodities which are ordered. When the **auction** ordering
    information is displayed/corrected, an object ordering commodity is
    selected from the list 511 and then the button 512 is pushed for
    displaying the **auction** ordering information. COPYRIGHT: (C)2001,JPO
?

?t21/ti/all

21/TI/1   (Item 1 from file: 350)
DIALOG(R)File 350:(c) 2001 Derwent Info Ltd. All rts. reserv.

  Data segment presenting method in internet, involves producing and
  executing template on computing device by storing coordinates of
  specified data segment and address of data source

21/TI/2   (Item 2 from file: 350)
DIALOG(R)File 350:(c) 2001 Derwent Info Ltd. All rts. reserv.

  Symbol searching system for data processor, converts input name of
  article into numerical value and searches corresponding symbol in a
  memory which stores numerical values and matching symbols

21/TI/3   (Item 3 from file: 350)
DIALOG(R)File 350:(c) 2001 Derwent Info Ltd. All rts. reserv.

  Search algorithm in search system used for retrieving text information in
  world wide web

21/TI/4   (Item 4 from file: 350)
DIALOG(R)File 350:(c) 2001 Derwent Info Ltd. All rts. reserv.

  Input data display apparatus for displaying data with icon
  representing data content

21/TI/5   (Item 5 from file: 350)
DIALOG(R)File 350:(c) 2001 Derwent Info Ltd. All rts. reserv.

  Comparison method of attributes in object oriented database management
  system - involves comparing attribute values for non-designated parts
  with corresponding attribute values for baseline part and thereby
  displaying matching and non- matching attribute values

21/TI/6   (Item 6 from file: 350)
DIALOG(R)File 350:(c) 2001 Derwent Info Ltd. All rts. reserv.

  Data searching appts - has control unit which displays desired data
  searched based on predetermined key word, in overlapped area

21/TI/7   (Item 7 from file: 350)
DIALOG(R)File 350:(c) 2001 Derwent Info Ltd. All rts. reserv.

  File management method within computer system - adding new full path name
  of file to history queue when OS opens, creates or re-names file,
  searching queue for matching path name with new window title and
  displaying icon on screen when OS signals application processor of
  new window

21/TI/8   (Item 1 from file: 347)
DIALOG(R)File 347:(c) 2001 JPO & JAPIO. All rts. reserv.

SYMBOL DICTIONARY GENERATING METHOD AND SYMBOL DICTIONARY RETRIEVING METHOD

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21/TI/9 **(Item 2 from file: 347)**
DIALOG(R)File 347:(c) 2001 JPO & JAPIO. All rts. reserv.

DOCUMENT PROCESSOR AND ITS METHOD, AND STORAGE MEDIUM

21/TI/10 **(Item 3 from file: 347)**
DIALOG(R)File 347:(c) 2001 JPO & JAPIO. All rts. reserv.

INFORMATION PRESENTING DEVICE

21/TI/11 **(Item 4 from file: 347)**
DIALOG(R)File 347:(c) 2001 JPO & JAPIO. All rts. reserv.

INFORMATION DISPLAY DEVICE

21/TI/12 **(Item 1 from file: 371)**
DIALOG(R)File 371:(c) 2001 INPI. All rts. reserv. All rts. reserv.
Title: SYSTEME INFORMATIQUE DE GESTION DE VENTES AUX ENCHERES EN TEMPS REEL
?

?t29/ti/all

29/TI/1   (Item 1 from file: 350)
DIALOG(R)File 350:(c) 2001 Derwent Info Ltd. All rts. reserv.

 Device and method for converting languages and storage medium storage
 computer program for converting languages

29/TI/2   (Item 2 from file: 350)
DIALOG(R)File 350:(c) 2001 Derwent Info Ltd. All rts. reserv.

 Object oriented operating system enhancement for filtering items in
 window - uses object-oriented programming to provide filtering objects
 organised in filtering framework containing filter objects

29/TI/3   (Item 3 from file: 350)
DIALOG(R)File 350:(c) 2001 Derwent Info Ltd. All rts. reserv.

 Process for control of interface software and data files - matching
 base 10 control code to corresp internal event identification code, and
 composing message from internal event identification code for detection
 in main event loop of graphic user interface software

29/TI/4   (Item 4 from file: 350)
DIALOG(R)File 350:(c) 2001 Derwent Info Ltd. All rts. reserv.

 Automatic matching arrangement for spectacles or contact lenses -
 processes replies from patients to spoken questions e.g. after training
 phase with re-course to model answers

29/TI/5   (Item 5 from file: 350)
DIALOG(R)File 350:(c) 2001 Derwent Info Ltd. All rts. reserv.

 Interactive transaction system for auctions with remote bidders -
 provides remote bidders with terminal and TV to communicate bids to
 auction computer with inputs from auctioneer and other personnel

29/TI/6   (Item 6 from file: 350)
DIALOG(R)File 350:(c) 2001 Derwent Info Ltd. All rts. reserv.

 Pattern recognition appts. using area linking and region growth - has low
 level feature detection processor graph synthesis processor, ref.graph
 store and graph matching processor

29/TI/7   (Item 7 from file: 350)
DIALOG(R)File 350:(c) 2001 Derwent Info Ltd. All rts. reserv.

 Kinematic analysis method for mechanical linkages in computer aided
 engineering system - storing set of propagation rules that controls
 movement of systems linkage joints

29/TI/8   (Item 8 from file: 350)
DIALOG(R)File 350:(c) 2001 Derwent Info Ltd. All rts. reserv.

 Portable data search and digital processor - executes formula search and
 calculation functions through matching of dot matrix LCD with

operation of function keys and shift control keys

29/TI/9   (Item 9 from file: 350)
DIALOG(R)File 350:(c) 2001 Derwent Info Ltd. All rts. reserv.

Market trading appts. with host computer and terminals - allows
interaction between users allowing communication as if they were
participants in physical stock bond market

29/TI/10   (Item 10 from file: 350)
DIALOG(R)File 350:(c) 2001 Derwent Info Ltd. All rts. reserv.

Image processing system for optical seam tracker - has seam sensor vision
system with optical sensor disposed ahead of effector end

29/TI/11   (Item 11 from file: 350)
DIALOG(R)File 350:(c) 2001 Derwent Info Ltd. All rts. reserv.

Identification verification system applicable to disc film processing -
provides for checking of film and envelope bar codes and for comparisons
between negative and positive images

29/TI/12   (Item 1 from file: 347)
DIALOG(R)File 347:(c) 2001 JPO & JAPIO. All rts. reserv.

PICTURE SYMBOL RECOGNIZING METHOD

29/TI/13   (Item 2 from file: 347)
DIALOG(R)File 347:(c) 2001 JPO & JAPIO. All rts. reserv.

METHOD AND APPARATUS FOR CONSTITUTING DATA BASE OF POWER SYSTEM MONITORING
CONTROL SYSTEM, METHOD AND APPARATUS FOR MANAGING DATA BASE OF POWER SYSTEM
MONITORING CONTROL SYSTEM AND POWER SYSTEM MONITORING CONTROL SYSTEM

29/TI/14   (Item 3 from file: 347)
DIALOG(R)File 347:(c) 2001 JPO & JAPIO. All rts. reserv.

OPERATION PROCESS FLOW GENERATING DEVICE

29/TI/15   (Item 4 from file: 347)
DIALOG(R)File 347:(c) 2001 JPO & JAPIO. All rts. reserv.

MAP DISPLAY DEVICE

29/TI/16   (Item 5 from file: 347)
DIALOG(R)File 347:(c) 2001 JPO & JAPIO. All rts. reserv.

KANA/KANJI CONVERSION PROCESSOR

29/TI/17   (Item 6 from file: 347)
DIALOG(R)File 347:(c) 2001 JPO & JAPIO. All rts. reserv.

SYMBOL RECOGNIZING SYSTEM

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29/TI/18   (Item 7 from file: 347)
DIALOG(R)File 347:(c) 2001 JPO & JAPIO. All rts. reserv.

PRINTING POSITION SETTING DEVICE

29/TI/19   (Item 8 from file: 347)
DIALOG(R)File 347:(c) 2001 JPO & JAPIO. All rts. reserv.

DRAWING CONTROL UNIT

29/TI/20   (Item 9 from file: 347)
DIALOG(R)File 347:(c) 2001 JPO & JAPIO. All rts. reserv.

DOCUMENT PREPARING DEVICE

29/TI/21   (Item 10 from file: 347)
DIALOG(R)File 347:(c) 2001 JPO & JAPIO. All rts. reserv.

SYMBOL RECOGNITION METHOD

29/TI/22   (Item 11 from file: 347)
DIALOG(R)File 347:(c) 2001 JPO & JAPIO. All rts. reserv.

SYMBOL RECOGNIZING METHOD

29/TI/23   (Item 12 from file: 347)
DIALOG(R)File 347:(c) 2001 JPO & JAPIO. All rts. reserv.

DOCUMENT PROCESSOR

29/TI/24   (Item 13 from file: 347)
DIALOG(R)File 347:(c) 2001 JPO & JAPIO. All rts. reserv.

SYSTEM DIAGRAM ARRANGING DEVICE

29/TI/25   (Item 14 from file: 347)
DIALOG(R)File 347:(c) 2001 JPO & JAPIO. All rts. reserv.

DESCRIBING SYSTEM FOR DIAGRAM SPECIFICATIONS

29/TI/26   (Item 15 from file: 347)
DIALOG(R)File 347:(c) 2001 JPO & JAPIO. All rts. reserv.

DOCUMENT PREPARING DEVICE

29/TI/27   (Item 16 from file: 347)
DIALOG(R)File 347:(c) 2001 JPO & JAPIO. All rts. reserv.

AUCTION SYSTEM FOR AUTOMOBILE AND THE LIKE

29/TI/28   (Item 17 from file: 347)
DIALOG(R)File 347:(c) 2001 JPO & JAPIO. All rts. reserv.

DRAWING RECOGNIZING DEVICE

 29/TI/29   (Item 18 from file: 347)
DIALOG(R)File 347:(c) 2001 JPO & JAPIO. All rts. reserv.

MUSIC SCORE CONVERSION SYSTEM

 29/TI/30   (Item 19 from file: 347)
DIALOG(R)File 347:(c) 2001 JPO & JAPIO. All rts. reserv.

GRAPHIC INFORMATION PROCESSING SYSTEM

 29/TI/31   (Item 20 from file: 347)
DIALOG(R)File 347:(c) 2001 JPO & JAPIO. All rts. reserv.

ELECTRONIC NOTEBOOK

 29/TI/32   (Item 21 from file: 347)
DIALOG(R)File 347:(c) 2001 JPO & JAPIO. All rts. reserv.

AUTOMATIC INPUT METHOD FOR PHONETIC KANA SYMBOL

 29/TI/33   (Item 22 from file: 347)
DIALOG(R)File 347:(c) 2001 JPO & JAPIO. All rts. reserv.

ROW END PROCESSING METHOD IN ENGLISH DOCUMENT PROCESSOR

 29/TI/34   (Item 23 from file: 347)
DIALOG(R)File 347:(c) 2001 JPO & JAPIO. All rts. reserv.

DOCUMENT MANAGING DEVICE

 29/TI/35   (Item 24 from file: 347)
DIALOG(R)File 347:(c) 2001 JPO & JAPIO. All rts. reserv.

GRAPHIC PATTERN EXTRACTING SYSTEM

 29/TI/36   (Item 25 from file: 347)
DIALOG(R)File 347:(c) 2001 JPO & JAPIO. All rts. reserv.

DRAWING INFORMATION INPUT METHOD

 29/TI/37   (Item 26 from file: 347)
DIALOG(R)File 347:(c) 2001 JPO & JAPIO. All rts. reserv.

DISPLAY SYSTEM OF PROOFREADING DEVICE FOR JAPANESE DOCUMENT

 29/TI/38   (Item 27 from file: 347)
DIALOG(R)File 347:(c) 2001 JPO & JAPIO. All rts. reserv.

METHOD FOR AUTOMATIC RESPONSE OF TERMINAL ADOPTING INFERENCE MEANS
?

?t29/4/5,9,

 29/4/5   (Item 5 from file: 350)
DIALOG(R)File 350:Derwent WPIX
(c) 2001 Derwent Info Ltd. All rts. reserv.

IM- *Image available*
AA- 1992-316424/199238|
XR- <XRPX> N92-242120|
TI- Interactive transaction system for **auctions** with remote bidders -
    provides remote bidders with terminal and TV to communicate bids to
    auction computer with inputs from **auctioneer** and other personnel|
PA- GRUSIN H T (GRUS-I); BEAUMONT MAXIN INT LTD (BEAU-N)|
AU- <INVENTORS> DIAMOND C J; FERNANDEZ M; GRUSIN H T; GRUSIN M; THOMAS A;
    FERNANDES M|
NC- 016|
NP- 002|
PN- WO 9215174   A1 19920903 WO 92GB337   A 19920225 199238 B|
PN- CA 2061819   A 19920826 CA 2061819   A 19920225 199246|
AN- <LOCAL> WO 92GB337 A 19920225; CA 2061819 A 19920225|
AN- <PR> GB 913907 A 19910225|
CT- 2.Jnl.Ref; DE 3316804; EP 342295; GB 2194088|
FD- WO 9215174   A1 H04N-007/173
    <DS> (National): JP
    <DS> (Regional): AT BE CH DE DK ES FR GB GR IT LU MC NL SE
FD- CA 2061819   A G06F-015/24|
LA- WO 9215174(E<PG> 36)|
DS- <NATIONAL> JP|
DS- <REGIONAL> AT; BE; CH; DE; DK; ES; FR; GB; GR; IT; LU; MC; NL; SE|
AB- <BASIC> WO 9215174 A
        A televised **auction** with remote bidders includes a host computer
    (2), a number of bidder terminals (4), a TV system (8, 10) and other
    display devices. Bidders **sign** -on to the system at a bidder terminals
    using a security card and can place bids by pressing a ''bid'' key on
    the terminal. The **auctioneer** display (24) indicates the lots being
    sold and the last accepted bid. Control terminals are supplied for the
    currency convertions (26) operator and the system operator (28).
        When a remote bidder places a bid, his terminal signals the host
    processor to invoke methods on each node of the distributed system.
        ADVANTAGE - Provides distributed system to manage televised
    auction with remote bidders.
        Dwg.1/5|
DE- <TITLE TERMS> INTERACT; TRANSACTION; SYSTEM; **AUCTION** ; REMOTE; REMOTE;
    TERMINAL; TELEVISION; COMMUNICATE; BID; **AUCTION** ; COMPUTER; INPUT;
    PERSONNEL|
DC- W02|
IC- <MAIN> **G06F-015/24** ; H04N-007/173|
MC- <EPI> W02-F05A3C; W02-F06A; W02-F09|
FS- EPI||

 29/4/9   (Item 9 from file: 350)
DIALOG(R)File 350:Derwent WPIX
(c) 2001 Derwent Info Ltd. All rts. reserv.

IM- *Image available*
AA- 1990-284351/199038|
XR- <XRPX> N90-219258|
TI- Market **trading** appts. with host computer and terminals - allows
    interaction between users allowing communication as if they were
    participants in physical stock bond market|
PA- CHICAGO BOARD TRADE (CHIC-N)|
AU- <INVENTORS> BELDEN G W; BOYLE M B; BROGAN J J; GUTTERMAN B J; KINSELLA

```
        J R; OHALLARAN T C; PHELPS B|
NC- 009|
NP- 007|
PN- EP 388162     A    19900919 EP 90302691     A  19900314 199038 B|
PN- WO 9010910    A    19900920                           199040
PN- WO 9011571    A    19901004                           199042
PN- AU 9054181    A    19901022                           199104
PN- JP 3505498    W    19911128 JP 90505020     A  19900216 199203
PN- JP 3505938    W    19911219 JP 90505836     A  19900216 199206
PN- EP 388162     A3   19930303 EP 90302691     A  19900314 199349|
AN- <LOCAL> EP 90302691 A 19900314; JP 90505020 A 19900216; JP 90505836 A
    19900216; EP 90302691 A 19900314|
AN- <PR> US 89329866 A 19890328; US 89322985 A 19890314|
CT- NoSR.Pub; 1.Jnl.Ref; GB 2161003; US 4412287; US 4677552; US 4760527; US
    4799156; US 4903201|
FD- EP 388162     A
    <DS> (Regional): DE ES FR GB NL
FD- WO 9010910    A
    <DS> (National): AU CA JP KR
    <DS> (Regional): DE ES FR GB NL
FD- WO 9011571    A
    <DS> (National): AU CA JP KR|
DS- <NATIONAL> AU CA JP KR|
DS- <REGIONAL> DE; ES; FR; GB; NL|
AB- <BASIC> EP 388162 A
```

The appts. has a host computer and a number of user terminals in selective communication with the host. Each user terminal includes a selectively partitionable **display** by which market information is conveyed to the participant. **Icons** are used to represent traders, with each ikon including information relevant to the commodity being traded.

Periodic burst of update information is supplied to the user terminals. Two-way communication occurs between user terminal and host computer between update information bursts.

ADVANTAGE - Reduces back office costs to **trading** firms by decreasing volumn of paperwork and consequent errors.

Dwg.1a/8|

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DE- <TITLE TERMS> MARKET; TRADE; APPARATUS; HOST; COMPUTER; TERMINAL; ALLOW
    ; INTERACT; USER; ALLOW; COMMUNICATE; PARTICIPATING; PHYSICAL; STOCK;
    BOND; MARKET|
DC- T01|
IC- <ADDITIONAL>  G06F-015/30 |
MC- <EPI> T01-J05A|
FS- EPI||
?
```

?t8/4/

 8/4/1   (Item 1 from file: 350)
DIALOG(R)File 350:Derwent WPIX
(c) 2001 Derwent Info Ltd. All rts. reserv.

AA- 1977-G1948Y/197730|
TI- Indicating board for bridge calls - has five rows of nine squares to
    show tricks and suits for **each** **players** **bid** |
PA- BARBIER R (BARB-I)|
NC- 004|
NP- 004|
PN- DE 2701076   A   19770721   197730 B|
PN- FR 2338724   A   19770923   197744
PN- US 4092026   A   19780530   197829
PN- GB 1550571   A   19790815   197933|
AN- <PR> FR 761342 A 19760120|
AB- <BASIC> DE 2701076 A
      The board (1) is mounted on the sloping upper surface which is
    supported at one end (4) by a projecting strip (5). The board is
    divided into five columns with symbols (6) indicating clubs, diamonds,
    hearts, spades and no trumps. Each column is divided into nine squares.

      There are seven squares to indicate the number of tricks in the bid
    and two squares to show pass or bid. A small cover lies over each
    square and is attached to a hinge underneath the board so that it can
    be swung clear of the top surface and reveal the value of the caller's
    bid. The device is useful for deaf players and also overcomes language
    difficulties in international matches.|
DE- <TITLE TERMS> INDICATE; BOARD; BRIDGE; CALL; FIVE; ROW; NINE; SQUARE;
    SHOW; TRICK; SUIT; PLAY; BID|
DC- P36|
IC- <ADDITIONAL> A63F-001/18|
FS- EngPI||
?

?t10/4/all

 10/4/1   (Item 1 from file: 350)
DIALOG(R)File 350:Derwent WPIX
(c) 2001 Derwent Info Ltd. All rts. reserv.

IM- *Image available*
AA- 1993-117286/199314|
XR- <XRPX> N93-089408|
TI- Card game with wagering on net or suit total - uses symbols having
    numerical value and designation as one of two different suits|
PA- BET TECHNOLOGY INC (BETT-N)|
AU- <INVENTORS> BOYLAN E B; DELISE A J; KOERNER R F|
NC- 020|
NP- 003|
PN- WO 9305855   A1 19930401 WO 92US8023   A 19920922 199314 B|
PN- AU 9227531   A 19930427 AU 9227531   A 19920922 199332
    <AN> WO 92US8023   A 19920922
PN- US 5282633   A 19940201 US 91764306   A 19910923 199406
    <AN> US 92932237   A 19920819|
AN- <LOCAL> WO 92US8023 A 19920922; AU 9227531 A 19920922; WO 92US8023 A
    19920922; US 91764306 A 19910923; US 92932237 A 19920819|
AN- <PR> US 92932237 A 19920819; US 91764306 A 19910923|
CT- GB 700270; US 2687306; US 4262303; US 4948134|
FD- WO 9305855   A1 A63F-001/00
    <DS> (National): AU BR CA RU
    <DS> (Regional): AT BE CH DE DK ES FR GB GR IE IT LU MC NL SE
FD- AU 9227531   A A63F-001/00   Based on patent WO 9305855
FD- US 5282633   A A63F-001/00   CIP of application US 91764306
              CIP of patent US 5141234|
LA- WO 9305855(E<PG> 20); US 5282633(8)|
DS- <NATIONAL> AU BR CA RU|
DS- <REGIONAL> AT; BE; CH; DE; DK; ES; FR; GB; GR; IE; IT; LU; MC; NL; SE|
AB- <BASIC> WO 9305855 A
        The game involves cards (16) having a numerical value of one, two
    or three as well as a designation as one of only two suits , and no
    value cards (16) having no suit are dealt. A wager is then made on the
    attaining of a net total of a hand.
        The net total of a hand is equal to a gross total of added
    numerical values of cards of one suit, less a gross total of added
    numerical values of cards of the other suit. An electronic version is
    also described, as is an alternate method of playing.
        ADVANTAGE - Generates increased user interest and participation.
    Simple and easily understood.
        Dwg.1/4|
AB- <US> US 5282633 A
        Initially symbols such as cards having a numerical value of one,
    two, r three as well as a designation as one of two suits are supplied.
    In addition, there are also no value cards having no suit. A number of
    players are each dealt a hand using the cards or other symbols and then
    players in turn make increasing bids on a suit total until the bid
    of a last player is called by the next plater to bid. This suit total
    is the total of added numerical values of the cards of the selected
    suit in the hands of all of the players .
        The no value cards are also preferably used and bids can also be
    made on the number of these cards in the hands of the players.
    Alternately, the symbols are displayed on a video display screen as
    part of an electronic game device.
        USE - For playing a wagering or bidding game whose outcome is
    determined by randomly received symbols.
        Dwg.1,2/4|
DE- <TITLE TERMS> CARD; GAME; NET; SUIT; TOTAL; SYMBOL; NUMERIC; VALUE;
    DESIGNATED; ONE; TWO; SUIT|

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DC- P36; W04|
IC- <MAIN> A63F-001/00|
FS- EPI; EngPI||
?
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?t8/5/all

8/5/1   (Item 1 from file: 348)
DIALOG(R)File 348:EUROPEAN PATENTS
(c) 2001 European Patent Office. All rts. reserv.

00957813
PERSONAL   ELECTRONIC   SETTLEMENT   SYSTEM,   ITS   TERMINAL,   AND   MANAGEMENT
    APPARATUS
PERSONLICHES ELEKTRONISCHES REGELUNGSSYSTEM, TERMINAL UND MANAGEMENTAPPARAT
SYSTEME DE REGLEMENT ELECTRONIQUE PERSONNEL, TERMINAL DE CE DERNIER ET
    APPAREIL PERMETTANT DE GERER CE SYSTEME
PATENT ASSIGNEE:
  MATSUSHITA ELECTRIC INDUSTRIAL CO., LTD., (216883), 1006, Oaza Kadoma,
    Kadoma-shi, Osaka-fu, 571, (JP), (applicant designated states:
    DE;FR;GB)
INVENTOR:
  TAKAYAMA, Hisashi, 21-22, Matsubara 4-chome,Setagaya-ku, Tokyo 156, (JP)
LEGAL REPRESENTATIVE:
  Casalonga, Axel et al (14511), BUREAU D.A. CASALONGA - JOSSE
    Morassistrasse 8, 80469 Munchen, (DE)
PATENT (CC, No, Kind, Date):   EP 910028 A1 990421 (Basic)
                               WO 9821677 980522
APPLICATION (CC, No, Date):   EP 97912468 971114; WO 97JP4161 971114
PRIORITY (CC, No, Date): JP 96316897 961114; JP 97117681 970422
DESIGNATED STATES: DE; FR; GB
INTERNATIONAL PATENT CLASS: G06F-017/60;
CITED PATENTS (WO A):   Y Y   X
CITED REFERENCES (WO A):
  TECHNICAL RESEARCH REPORT OF IEICE ISEC96-36, (October 1996), HIDEKI
    NAGANO et al., "A Method of Electronic Settlement (in Japanese)", pages
    33-38.
  ACADEMIC PRESS, INC., (San Diego, USA), October 1995, (First Edition),
    WAYNER PETER, "Digital Cash: Commerce on the Net", pages 85-100.
  NTT R&D, 45(11), (November 1996), NIPPON TELEGRAPH & TELEPHONE CORP.,
    KOICHI NOTONO et al., "Application of Authentication/Encoding
    Technology to Electronic Shopping Mall (in Japanese)", pages 107-113.
  REPORT ON SMART CARDS, Vol. 10, No. 19, (23 September 1996), ANONYMOUS,
    "Ferroelectric Smart Cards Go to Market".
  CREDIT CARD MANAGEMENT, Vol. 9, No. 1, (April 1996), DALY JAMES J.,
    "Guarding the Rear", pages 42-48.;

ABSTRACT EP 910028 A1
    According to the present invention provided is a settlement means that
  is superior in safety and usability. The settlement means comprises:
  payment means 100 including a plurality of systems of communication
  means; charging means 101 including a plurality of systems of
  communication means; and settlement means 102 including a plurality of
  systems of communication means. Since the payment means and the
  settlement means exchange transaction data by communicating with each
  other, it is possible to prevent the assessment of an illegal charge by
  the charging means. In addition, since a signature (a digital signature)
  and an accounting statement are exchanged by communication between the
  payment means and the charging means, the efficiency of the sale can be
  improved.
ABSTRACT WORD COUNT: 119

LEGAL STATUS (Type, Pub Date, Kind, Text):
  Application: 981007 A1 International application (Art. 158(1))
  Application: 990421 A1 Published application (A1with Search Report
                            ;A2without Search Report)
  Examination: 990421 A1 Date of filing of request for examination:
                            981012

LANGUAGE (Publication,Procedural,Application): English; English; Japanese
FULLTEXT AVAILABILITY:
Available Text   Language   Update   Word Count
       CLAIMS A   (English)   9916   12261
       SPEC A   (English)   9916   116678
Total word count - document A   128939
Total word count - document B   0
Total word count - documents A + B   128939

 8/5/2   (Item 1 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
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00794335   **Image available**
REAL-TIME COMMODITY TRADING METHOD AND APPARATUS
PROCEDE ET APPAREIL D'OPERATIONS SUR MARCHANDISES EN TEMPS REEL
Patent Applicant/Inventor:
  RAYKHMAN Dmitry A, 1657 East 19th Street, Brooklyn, NY 11229, US, US
     (Residence), US (Nationality), (Designated only for: US)
Legal Representative:
  SUDOL R Neil (agent), Coleman Sudol Sapone, P.C., 14th Floor, 708 Third
     Avenue, New York, NY 10017, US,
Patent and Priority Information (Country, Number, Date):
  Patent: WO 200127836 A1 20010419 (WO 0127836)
  Application: WO 2000US27853 20001006 (PCT/WO US0027853)
  Priority Application: US 99415392 19991008
Designated States: IL JP TR US
  (EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE
Main International Patent Class: G06F-017/60
Publication Language: English
Filing Language: English
Fulltext Availability:
  Detailed Description
  Claims
Fulltext Word Count: 19137

English Abstract
  A method and a system including programmed general purpose digital
  computers on a computer network for effectuating the real-time **trading**
  of a commodity including, but not limited to, a currency. A commodity
  trading method implemented at a client or trader computer (12) connected
  to a computer network (14) (e.g. the Internet) includes (a) receiving, in
  encoded form via a computer network, a plurality of bids and a plurality
  of offers pertaining to a common commodity, (b) displaying the bids and
  offers on a computer monitor, (c) generating a **trading** offer including
  a **trading** rate or price per unit of the commodity and a number of units
  of the commodity, (d) automatically calculating a total stop amount for
  the **trading** offer, (e) automatically comparing the total stop amount
  with an amount in a client or trader account, and (f) transmitting a
  digital signal encoding the **trading** offer to multiple other clients via
  the computer network upon and only upon a determination that the total
  stop amount is less than an amount in the client account.

French Abstract
  La presente invention concerne un procede et un systeme comprenant des
  calculateurs numeriques universels programmes sur un reseau informatique
  pour effectuer des operations sur marchandises en temps reel comportant,
  mais non de maniere exclusive, une monnaie. Le procede d'operations sur
  marchandises mis en oeuvre au niveau d'un ordinateur-client ou de
  commercant (12) relie au reseau informatique (14) (par exemple, Internet)
  comprend (a) la reception, sous forme codee via le reseau informatique,
  d'une pluralite de demandes et une pluralite d'offres relatives a une

meme marchandise; (b) l'affichage des demandes et d'offres sur un ecran d'ordinateur; (c) la generation d'une offre de vente comprenant le taux de commercialisation ou le prix a l'unite du produit et le nombre d'unites du produit; (d) le calcul automatique d'un montant d'arret total pour l'offre de transaction; (e) la comparaison automatique du montant total d'arret avec un compte-client ou de commercant; et (f) la transmission d'un signal numerique codant l'offre de transaction a une pluralite d'autres clients via le reseau informatique lorsqu'il est determine, et uniquement en ce cas, que le montant d'arret total est inferieur a un montant dans le compte-client.

Legal Status (Type, Date, Text)
Publication   20010419 A1 With international search report.
Publication   20010419 A1 Before the expiration of the time limit for
                         amending the claims and to be republished in the
                         event of the receipt of amendments.
Examination   20010913 Request for preliminary examination prior to end of
                         19th month from priority date

 8/5/3   (Item 2 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
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00784136
**A SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR BUSINESS LOGIC SERVICES
    PATTERNS IN A NETCENTRIC ENVIRONMENT**
**SYSTEME, PROCEDE ET ARTICLE DE FABRICATION POUR STRUCTURES DE SERVICES DE
    LOGIQUE DE COMMERCE DANS UN ENVIRONNEMENT S'ARTICULANT AUTOUR DE
    L'INTERNET**
Patent Applicant/Assignee:
  ANDERSEN CONSULTING LLP, 1661 Page Mill Road, Palo Alto, CA 94304, US, US
    (Residence), US (Nationality)
Inventor(s):
  BOWMAN-AMUAH Michel K, 6426 Peak Vista Circle, Colorado Springs, CO 80918
  , US,
Legal Representative:
  HICKMAN Paul L (agent), Hickman Coleman & Hughes, LLP, P.O. Box 52037,
    Palo Alto, CA 94303-0746, US,
Patent and Priority Information (Country, Number, Date):
  Patent: WO 200116728 A2 20010308 (WO 0116728)
  Application: WO 2000US24197 20000831 (PCT/WO US0024197)
  Priority Application: US 99387658 19990831
Designated States: AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE ES
  FI GB GE GH GM HR HU ID IL IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MD
  MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT UA UG UZ
  VN YU ZW
  (EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE
  (OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
  (AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW
  (EA) AM AZ BY KG KZ MD RU TJ TM
Main International Patent Class: G06F-009/44
Publication Language: English
Filing Language: English
Fulltext Availability:
  Detailed Description
  Claims
Fulltext Word Count: 150863

English Abstract
  A system, method, and article of manufacture are provided for
  implementing business logic service patterns for allowing reuse of a
  business object in a component-based architecture. An attribute

dictionary pattern is used for controlling access to data of a business object via an attribute dictionary. A constant class pattern is provided for ensuring correct data at an attribute level. The patterns are utilized for reusing a business object which is classified as a business component, a business service, and/or a business facility.

French Abstract

L'invention porte sur un systeme, un procede et un article de fabrication s'appliquant a la mise en oeuvre de structures de services de logique de commerce en vue d'etre autorise a utiliser un objet commercial dans une architecture a base de composants. Une structure de dictionnaire d'attributs est utilisee pour commander l'acces aux donnees d'un objet commercial via un dictionnaire d'attributs. Une structure de classement constant assure la correction des donnees a un niveau d'attributs. Les structures sont utilisees pour reutiliser un objet commercial classifie comme composant commercial, service commercial et/ou installation commerciale.

Legal Status (Type, Date, Text)
Publication   20010308 A2 Without international search report and to be   .
                          republished upon receipt of that report.

 8/5/4   (Item 3 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
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00784134
A SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR A CONSTANT CLASS COMPONENT
   IN A BUSINESS LOGIC SERVICES PATTERNS ENVIRONMENT
SYSTEME, PROCEDE ET ARTICLE MANUFACTURE UN COMPOSANT DE CLASSE DE CONSTANTE
   DANS UN ENVIRONNEMENT DE SCHEMAS DE SERVICES DE LOGIQUE D'AFFAIRES
Patent Applicant/Assignee:
  ANDERSEN CONSULTING LLP, 1661 Page Mill Road, Palo Alto, CA 94304, US, US
    (Residence), US (Nationality)
Inventor(s):
  BOWMAN-AMUAH Michel K, 6426 Peak Vista Circle, Colorado Springs, CO 80918
    , US,
Legal Representative:
  HICKMAN Paul L (agent), Hickman Coleman & Hughes, LLP, P.O. Box 52037,
    Palo Alto, CA 94303-0746, US,
Patent and Priority Information (Country, Number, Date):
  Patent: WO 200116726 A2 20010308 (WO 0116726)
  Application: WO 2000US24188 20000831 (PCT/WO US0024188)
  Priority Application: US 99387213 19990831
Designated States: AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE ES
  FI GB GE GH GM HR HU ID IL IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MD
  MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT UA UG UZ
  VN YU ZW
  (EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE
  (OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
  (AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW
  (EA) AM AZ BY KG KZ MD RU TJ TM
Main International Patent Class: G06F-009/44
Publication Language: English
Filing Language: English
Fulltext Availability:
  Detailed Description
  Claims
Fulltext Word Count: 150446

English Abstract
A system, method, and article of manufacture are provided for managing

constants in a computer program. A plurality of constant names are
provided. Each of the constant names has a corresponding constant value.
The constant names are grouped into constant classes based on an entity
which the constant values represents. Access is allowed to the constant
values by receiving a call including the corresponding constant name and
corresponding constant class.
French Abstract
L'invention porte sur un systeme, un procede et un article de gestion des
constantes d'un programme d'ordinateur. On etablit les noms de
differentes constantes a chacun desquels correspond la valeur d'une
constante, puis les noms sont regroupes par classes de constantes en
fonction d'une entite representant les valeurs des constantes. L'acces a
une valeur de constante est autorise lors de la reception d'un appel
comprenant le nom et la classe de la constante correspondante.

Legal Status (Type, Date, Text)
Publication 20010308 A2 Without international search report and to be
                        republished upon receipt of that report.
Examination 20010809 Request for preliminary examination prior to end of
                        19th month from priority date

8/5/5 **(Item 4 from file: 349)**
DIALOG(R)File 349:PCT FULLTEXT
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00783303 **Image available**
USER INTERFACE FOR SEMI-FUNGIBLE TRADING
INTERFACE UTILISATEUR POUR ECHANGES SEMI-FONGIBLES
Legal Representative:
  RAO Dana (et al) (agent), Fenwick & West LLP, Two Palo Alto Square, Palo
    Alto, CA 94306, US,
Patent and Priority Information (Country, Number, Date):
  Patent: WO 200116852 A2 20010308 (WO 0116852)
  Application: WO 2000US40797 20000830 (PCT/WO US0040797)
  Priority Application: US 99151468 19990830
Designated States: AE AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CU CZ DE DK
  EE ES FI GB GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT
  LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK TJ TM TR TT UA
  UG UZ VN YU ZA ZW
  (EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE
  (OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
  (AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW
  (EA) AM AZ BY KG KZ MD RU TJ TM
Main International Patent Class: G06F-017/60
Publication Language: English
Filing Language: English
Fulltext Availability:
  Detailed Description
  Claims
Fulltext Word Count: 15055

English Abstract
  A user interface and method are disclosed for providing **trading** between
  a plurality of semi-fungible and non-fungible goods. A plurality of book
  axes are displayed in a single interface, each book axis representing a
  market for a particular good. Orders for goods are displayed as marks on
  the axes to display the relative value of the orders. A value axis is
  provided that relates the value of the goods from each market to each
  other. Thus, a single interface provides the means to relate the values
  of different semi-fungible goods. The value axis may be displayed in
  units of price, or a custom value designated by a user or pre-defined by
  the interface. Quantity information is represented in the interface

through the display of a dimension of an order icon. Precise information about each order is displayed either in a panel view or a pop-up window.

French Abstract
L'invention concerne une interface utilisateur ainsi qu'un procede destines a assurer les echanges entre plusieurs marchandises semi-fongibles et non-fongibles. Plusieurs axes de livres sont affiches dans une seule interface, chacun representant un marche pour une marchandise particuliere. Les commandes de marchandises sont affichees comme marques sur les axes indiquant la valeur relative des commandes. Un axe de valeurs permet de rapporter la valeur des marchandises d'un marche a celles de chacun des autres marches. Ainsi, une seule interface permet de rapporter les valeurs de differentes marchandises semi-fongibles. L'axe de valeurs peut etre affiche en unites de prix, ou en une valeur de client designee par l'utilisateur ou predefinie par l'interface. Les informations en matiere de quantite sont representees dans l'interface par l'affichage d'une taille d'une icone d'ordre. Des informations precises sur chaque commande sont affichees soit en vue-ecran, soit sur une fenetre flash.

Legal Status (Type, Date, Text)
Publication   20010308 A2 Without international search report and to be
                          republished upon receipt of that report.
Examination   20010809 Request for preliminary examination prior to end of
                          19th month from priority date

 8/5/6   (Item 5 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
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00749567   **Image available**
USER INTERFACE FOR AN ELECTRONIC   TRADING   SYSTEM
INTERFACE UTILISATEUR POUR SYSTEME DE TRANSACTIONS INFORMATISEES
Patent Applicant/Assignee:
  EPIT INC, 14th Floor, 351 California Street, San Francisco, CA 94104, US,
      US (Residence), US (Nationality)
Inventor(s):
  FRIESEN Richard, 132 Ridge Road, Fairfax, CA 94930, US,
  HART Peter C, 53 Oakdale Avenue, San Rafael, CA 94901, US,
Legal Representative:
  RAO Dana S (et al) (agent), Fenwick & West LLP, Two Palo Alto Square,
      Palo Alto, CA 94306, US,
Patent and Priority Information (Country, Number, Date):
  Patent: WO 200062187 A2-A3 20001019 (WO 0062187)
  Application: WO 2000US9369 20000407 (PCT/WO US0009369)
  Priority Application: US 99289550 19990409
Designated States: AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE
  ES FI GB GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU
  LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK TJ TM TR TT UA UG
  UZ VN YU ZA ZW
  (EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE
  (OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
  (AP) GH GM KE LS MW SD SL SZ TZ UG ZW
  (EA) AM AZ BY KG KZ MD RU TJ TM
Main International Patent Class: G06F-017/60
Publication Language: English
Filing Language: English
Fulltext Availability:
  Detailed Description
  Claims
Fulltext Word Count: 13073

English Abstract
A user interface for an electronic **trading** exchange is provided which
allows a remote trader to view in real time bid orders, offer orders, and
trades for an item, and optionally one or more sources of contextual
data. Individual traders place orders on remote client terminals, and
this information is routed to a transaction server. The transaction
server receives order information from the remote terminals, matches a
bid for an item to an offer for an item responsive to the bid
corresponding with the offer, and communicates outstanding bid and offer
information, and additional information (such as trades and contextual
data) back to the client terminals. Each client terminal **displays   all**
of the outstanding **bids** and offers for an item, allowing the trader to
view trends in orders for an item. A priority view is provided in which
orders are displayed as tokens at locations corresponding to the values
of the orders. The size of the tokens reflects the quantity of the
orders.

French Abstract
L'invention porte sur une interface utilisateur pour transactions
informatisees, qui permet a un negociateur eloigne de visualiser en temps
reel des ordres d'achat, des ordres de vente, des transactions portant
sur un article et, eventuellement, une ou plusieurs sources de donnees
contextuelles. Des negociateurs individuels placent des ordres au niveau
de terminaux clients eloignes, et ces donnees sont acheminees vers un
serveur de transactions. Le serveur de transactions recoit des terminaux
eloignes les donnees de placement d'ordres, recherche pour une demande
d'un article une offre d'un article correspondant et, en retour,
communique aux terminaux clients les donnees de demandes et d'offres en
circulation, ainsi que d'autres informations (telles que des donnees
transactionnelles ou contextuelles). Chaque terminal client presente
toutes les demandes et les offres en circulation concernant un article
donne, ce qui permet au negociateur de visualiser les tendances et les
ordres places pour cet article. Une vue-ecran prioritaire affiche les
ordres sous forme de jetons a des emplacements correspondant aux valeurs
des ordres. La taille des jetons correspond au volume des ordres. Une
vue-ecran differente place des icones d'ordre a un emplacement
symbolisant la valeur et le volume de l'ordre. En outre, des donnees
contextuelles concernant l'article sont egalement affichees pour fournir
au negociateur toutes les informations disponibles au moment de prendre
des decisions transactionnelles. Une vue-ecran de groupe utilise
egalement des icones qui symbolisent les negociateurs rattaches au groupe
et les representent en fonction du niveau d'activite de chaque
negociateur.

Legal Status (Type, Date, Text)
Publication   20001019 A2 Without international search report and to be
                          republished upon receipt of that report.
Examination   20001214 Request for preliminary examination prior to end of
                          19th month from priority date
Search Rpt   20011206 Late publication of international search report
Republication 20011206 A3 With international search report.

 8/5/7   (Item 6 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
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00739253   **Image available**
A SYSTEM AND METHOD FOR CONDUCTING SECURITIES TRANSACTIONS OVER A COMPUTER
    NETWORK
SYSTEME ET   PROCEDE   DE   CONDUITE DE TRANSACTIONS DE VALEURS SUR UN RESEAU
    INFORMATIQUE
Patent Applicant/Assignee:

December 10, 2001 7 16:00

WIT CAPITAL CORPORATION, 826 Broadway, New York, NY 10003, US, US
  (Residence), US (Nationality)
Inventor(s):
  MAURO Charles L, 130 East 75th Street, New York, NY 10021, US
  KLEIN Andrew D, 70 East 10th Street, New York, NY 10003, US
  BUIST Walter D, 405 Springfield Avenue, Hasbrouck, NJ 07604, US
Legal Representative:
  MORRIS Francis E, Pennie & Edmonds LLP, 1155 Avenue of the Americas, New
  York, NY 10036, US
Patent and Priority Information (Country, Number, Date):
  Patent: WO 200052619 A1 20000908 (WO 0052619)
  Application: WO 2000US5150 20000229 (PCT/WO US0005150)
  Priority Application: US 99122208 19990301; US 99292552 19990415; US
  99292553 19990415
Designated States: AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK
  DM EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR
  LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ
  TM TR TT TZ UA UG UZ VN YU ZA ZW
  (EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE
  (OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
  (AP) GH GM KE LS MW SD SL SZ TZ UG ZW
  (EA) AM AZ BY KG KZ MD RU TJ TM
Main International Patent Class: G06F-017/60
International Patent Class: G06F-017/00
Publication Language: English
Filing Language: English
Fulltext Availability:
  Detailed Description
  Claims
Fulltext Word Count: 35999

English Abstract
  The system and method of the preferred embodiment supports **trading** of
  securities over the Internet both on national exchanges and outside the
  national exchanges. In a preferred embodiment, users are subscribers to a
  securities **trading** service offered over the Internet. Preferably, each
  subscriber to this service is simultaneously connected from his own
  computer to a first system which provides user-to-user **trading**
  capabilities and to a second system which is a broker/dealer (42) system
  of his/her choice. The system providing the user-to-user **trading**
  services preferably includes a root server (50) and a hierarchical
  network of replicated servers supporting replicated databases. The
  user-to-user system provides real-time continuously updated stock
  information and facilitates user-to-user trades that have been approved
  by the broker/dealer systems with which it interacts.

French Abstract
  Le systeme et procede du mode de realisation prefere de l'invention
  permet le commerce de valeurs sur l'Internet a la fois sur les marches
  locaux et etrangers. Dans ce mode de realisation, des utilisateurs sont
  abonnes a un service de transaction sur des valeurs, propose sur
  l'Internet. De preference, chaque abonne a ce service est connecte
  simultanement, a partir de son ordinateur, a un premier systeme offrant
  la possibilite de negocier entre utilisateurs et a un second systeme qui
  est un systeme de courtiers/operateurs (42) du choix de l'abonne. Le
  systeme offrant des services de transactions entre utilisateurs comprend,
  de preference, un serveur (50) a programmes residant en memoire et un
  reseau hierarchique de serveurs dupliques supportant des bases de donnees
  dupliquees. Le systeme entre utilisateurs fournit des informations en
  temps reel sur le marche, mises a jour de facon continue, et facilite les
  echanges qui ont ete approuves par les systemes courtiers/operateurs avec
  lesquels il interagit.

Legal Status (Type, Date, Text)
Publication   20000908 A1 With international search report.
Examination   20001123 Request for preliminary examination prior to end of
                19th month from priority date

 8/5/8   (Item 7 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
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00730864   **Image available**
METHODS AND APPARATUS FOR BROKERING TRANSACTIONS
PROCEDE ET DISPOSITIF DE COURTAGE TRANSACTIONNEL
Legal Representative:
  VILLENEUVE Joseph M, Beyer & Weaver, LLP, P.O. Box 61059, Palo Alto, CA
    94306, US
Patent and Priority Information (Country, Number, Date):
  Patent: WO 200043851 A2 20000727 (WO 0043851)
  Application: WO 2000US1523 20000120 (PCT/WO US0001523)
  Priority Application: US 99117118 19990125; US 99265511 19990309
Designated States: AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK
  DM EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR
  LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ
  TM TR TT TZ UA UG UZ VN YU ZA ZW
  (EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE
  (OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
  (AP) GH GM KE LS MW SD SL SZ TZ UG ZW
  (EA) AM AZ BY KG KZ MD RU TJ TM
Main International Patent Class: G06F
Publication Language: English
Filing Language: English
Fulltext Availability:
  Detailed Description
  Claims
Fulltext Word Count: 24291

English Abstract
  Methods and apparatus are described for facilitating a transaction
  between a buyer and one of a plurality of sellers via the Internet.
  Product information relating to a plurality of products meeting product
  criteria specified by the buyer is presented via the Internet. One of the
  plurality of sellers is associated with each of the products. A first bid
  from the buyer for a first one of the plurality of products is made
  available via the Internet to a first seller associated with the first
  product. A first bid response is presented via the Internet to the buyer
  according to response criteria specified by the first seller. Where the
  first bid response is an acceptance of the first bid, consummation of the
  transaction is facilitated. Where the first bid response is a
  counteroffer, further negotiation via the Internet between the buyer and
  the first seller is enabled.

French Abstract
  La presente invention concerne un procede et un dispositif destine a
  faciliter les transactions entre un acheteur et un ou plusieurs vendeurs
  via l'Internet. De l'information sur les produits se rapportant a une
  pluralite de produits repondant a des criteres de produit specifie par le
  vendeur est presentee via l'Internet. L'un des vendeurs de la pluralite
  de vendeurs est associe a chacun des produits. Une premiere offre de
  l'acheteur pour un premier produit de la pluralite de produit est
  proposee via l'Internet a un premier vendeur associe au premier produit.
  Une premiere reponse a l'offre est presentee via l'Internet au vendeur en
  fonction de criteres de reponse specifies par le premier vendeur. Si la
  premiere reponse a l'offre est une acceptation de la premiere offre,

l'accomplissement de la transaction est favorise. Si la premiere reponse
a l'offre est une surenchere, il est possible de poursuivre la
negociation via l'Internet entre l'acheteur et le premier vendeur.

Legal Status (Type, Date, Text)
Publication   20000727 A2 Without international search report and to be
                         republished upon receipt of that report.
Examination   20001012 Request for preliminary examination prior to end of
                         19th month from priority date

 8/5/9   (Item 8 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
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00566643   **Image available**
CENTRALIZED SYSTEM AND METHOD FOR MANAGING ENTERPRISE OPERATIONS
SYSTEME CENTRALISE ET PROCEDE DE GESTION DU FONCTIONNEMENT D'ENTREPRISE
Patent and Priority Information (Country, Number, Date):
  Patent: WO 200030000 A2 20000525 (WO 0030000)
  Application: WO 99US26523 19991109 (PCT/WO US9926523)
  Priority Application: US 98108261 19981112; US 98191467 19981112
Designated States: AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK
  DM EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR
  LS LT LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM
  TR TT TZ UA UG US UZ VN YU ZA ZW GH GM KE LS MW SD SL SZ TZ UG ZW AM AZ
  BY KG KZ MD RU TJ TM AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT
  SE BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
Main International Patent Class: G06F-017/60
Publication Language: English
Fulltext Availability:
  Detailed Description
  Claims
Fulltext Word Count: 38775

English Abstract
  A projected management server coupled with a computer network, such as
the Internet. In one embodiment a spec server is also incorporated into
the project management environment for completing specs, generating
requests for price quotations, purchase orders and the like. A project
tree represents project management objects. Project management objects
can be of any type. Object types are defined for each particular
implementation of the system. Typical examples of project management
object types include organizational entities, work-groups, people,
projects, budgets, tasks, costs, timesheets, specs, requisitions,
purchase orders, to-do lists etc. The objects are organized in a
hierarchical data structure referred to as a project management tree or
project tree. Each object in the project tree comprises a number of
methods that describe the way the object behaves. Such methods include
for example, methods that describe the way the object is added to the
tree, edited, deleted from the tree, and archived. An infinite class of
objects are supported so that systems can be customized for any type of
environment. In a typical embodiment a key user sets-up the initial
environment for the project management system including setting up the
structure of the enterprise, defining users, specifying user-groups, user
access rights, passwords, etc. Once the initial system is set-up, users
log-in to the project management system from locations within or outside
of the enterprises. The system determines the identity of the user, and
based on the identity and user access rights, presents the user with a
particular view of the project management tree customized for the user.
The user can then navigate through sections of the project tree for which
the user is authorized. Users can interact with the project management
system by performing functions on that portion of the project tree in

which they are authorized to perform functions. Functions include adding, editing deleting and archiving project management objects. Users from multiple organizational work-groups participate using the project management system in a collaborative fashion. Specs are generated, suppliers are matched with specs, RFQs are sent to suppliers, suppliers bid on jobs, jobs are awarded by buyers and purchase orders are generated.

French Abstract

L'invention concerne un projet de gestionnaire couple a un reseau informatique, tel qu'Internet. Dans un mode de realisation, l'environnement de la gestion de projet comprend egalement un serveur spec pour completer des specifications, etablir des demandes de prix, effectuer des ordres d'achat, etc. Un projet d'arborescence represente des objets de gestion de projet, lesquels peuvent etre de toute sorte. Les types d'objets sont definis pour chaque implantation particuliere du systeme. Des entites organisationnelles, groupes de travail, individus, projets, budgets, taches, couts, feuilles de temps, specifications, requisitions, ordres d'achat, listes "a faire", etc., sont notamment des exemples caracteristiques de types d'objets de gestion de projet. Les objets sont organises en structure hierarchique de donnees, dite arborescence de gestion de projet ou arborescence de projet. Dans chaque arborescence de projet, chaque objet renferme plusieurs procedes qui decrivent le comportement dudit objet. Ces procedes comprennent, par exemple, des procedes qui decrivent comment ajouter l'objet a l'arborescence, le reviser et le supprimer de l'arborescence et l'archiver. Une classe infinie d'objets est documentee, ce qui permet de personnaliser les systemes, quel que soit le type d'environnement. Dans un mode de realisation type, l'utilisateur principal configure l'environnement initial du systeme de gestion de projet comprenant la mise en place de la structure de l'entreprise, la definition des utilisateurs, la specification des groupes d'utilisateurs, les droits d'acces des utilisateurs, les mots de passe, etc. Une fois le systeme initial configure, les utilisateurs se connectent au systeme de gestion de projet, qu'ils soient a l'interieur ou a l'exterieur de l'entreprise. Le systeme determine l'identite de l'utilisateur et, sur la base de son identite et de ses droits d'acces, lui presente une vue particuliere de l'arborescence de gestion de projet personnalisee qui lui est destinee. L'utilisateur peut alors naviguer a travers les sections de l'arborescence de projet auxquelles il a droit. Il peut interagir avec le systeme de gestion de projet en executant des fonctions sur la partie de l'arborescence de projet dans laquelle il est autorise a executer des fonctions, lesquelles sont notamment l'adjonction, la revision, la suppression et l'archivage d'objets de gestion de projet. Les utilisateurs de plusieurs groupes de travail organisationnels participent en utilisant, en collaboration, le systeme de gestion de projet. Des specifications sont creees, les fournisseurs sont compares auxdites specifications, des demandes de prix (Dprix) sont envoyees aux fournisseurs, des fournisseurs offrent des emplois, des emplois sont attribues par des acheteurs et des ordres d'achat sont crees.

8/5/10   (Item 9 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT

00557640   **Image available**
METHOD AND APPARATUS FOR AUCTIONS WITH AUTOMATIC MATCHING
PROCEDE ET APPAREIL DE VENTE AUX ENCHERES AVEC MISE EN CORRESPONDANCE AUTOMATIQUE
Patent and Priority Information (Country, Number, Date):
    Patent: WO 200021013 A1 20000413 (WO 0021013)
    Application: WO 99US23260 19991006 (PCT/WO US9923260)

Priority Application: US 98103276 19981006
Designated States: AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE ES
  FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU
  LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT UA
  UG UZ VN YU ZW GH GM KE LS MW SD SL SZ TZ UG ZW AM AZ BY KG KZ MD RU TJ
  TM AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE BF BJ CF CG CI
  CM GA GN GW ML MR NE SN TD TG
Main International Patent Class: G06F-017/60
Publication Language: English
Fulltext Availability:
  Detailed Description
  Claims
Fulltext Word Count: 25256

English Abstract
  The present invention comprises an electronic **trading** system referred
  to as ETS (100). ETS allows traders to conduct commercial transactions
  with each other. ETS allows its traders to trade on an expandable and
  unlimited number of interconnected exchanges. Each trader enters his own
  orders on an exchange. ETS also allows its traders to create an
  expandable and unlimited number of accounts by which each trader
  organizes and controls the orders he has entered. The major types of
  orders a trader can enter are : bid, ask, correlated, negatively
  correlated and arbitrage. For each order a trader submits to ETS, the
  trader can select among the following four types of negotiation
  strategies: displayed, hidden, now-or-never and periodic. This
  four-tiered approach allows traders to pursue simultaneous automated
  negotiations.

French Abstract
  La presente invention concerne un systeme de commerce electronique,
  ci-apres denomme ETS (100). Le systeme ETS permet aux negociateurs
  d'effectuer des transactions commerciales entre eux. Le systeme ETS
  permet a ces negociateurs de realiser des transactions sur un nombre
  illimite et croissant de bourses interconnectees. Chaque negociateur
  passe ses propres ordres sur une place boursiere. Le systeme ETS permet
  egalement aux negociateurs de creer un nombre illimite et croissant de
  comptes grace auxquels le negociateur organise et controle les ordres
  qu'il a passes. Generalement, un negociateur passe des ordres du type:
  offre d'achat, ordre de vente, correlation, anticorrelation et arbitrage.
  Pour chaque ordre soumis par un negociateur au systeme ETS, le
  negociateur peut faire son choix parmi les quatre types de strategies de
  negociation suivants: offre publique, offre secrete, offre du type now
  or never et offre periodique. Cette approche en quatre volets permet aux
  negociateurs de conduire des negociations automatisees de maniere
  simultanee.

 8/5/11   (Item 10 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
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00494821   **Image available**
A CONFIGURABLE ELECTRONIC TRADING SYSTEM AND THE METHOD THEREFOR
SYSTEME DE COMMERCE ELECTRONIQUE CONFIGURABLE ET PROCEDE ASSOCIE
Patent and Priority Information (Country, Number, Date):
  Patent: WO 9926173 A1 19990527
  Application: WO 97CN128 19971117 (PCT/WO CN9700128)
  Priority Application: WO 97CN128 19971117
Designated States: AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DE DK EE
  ES FI GB GE GH HU IL IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MD MG MK
  MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT UA UG US UZ VN
  YU ZW GH KE LS MW SD SZ UG ZW AM AZ BY KG KZ MD RU TJ TM AT BE CH DE DK
  ES FI FR GB GR IE IT LU MC NL PT SE BF BJ CF CG CI CM GA GN ML MR NE SN

TD TG
Main International Patent Class: G06F-017/60
Publication Language: English
Fulltext Availability:
  Detailed Description
  Claims
Fulltext Word Count: 4584

English Abstract
  A configurable electronic **trading** system and the method therefor are
  disclosed, said system is used by a plurality of users and comprises: a
  computer system, a database provided in said computer system; means for
  receiving data including product information, price factors, and a set of
  price factor options for each price factor specified input from an
  authorized user and storing said data in said database; means for
  retrieving said data including product information, price factors and
  price factor options from said database and processing said data
  according to a pre-determined mathematical relation to create multiple
  single offers in said database; and means for receiving another user's
  selection to the price factor options of the respective price factors and
  providing a corresponding offer from the multiple single offers in said
  database to said another user. This system and method allow a trader to
  enter offers more rapidly, and allow a customer user to change his
  selection on the terms and get changed offers with a new price from the
  vendor instantly, thereby are more efficient to the users.

French Abstract
  L'invention concerne un systeme de commerce electronique et un procede
  associe, ledit systeme est exploite par une pluralite d'utilisateurs et
  comprend un reseau d'ordinateurs; une base de donnees equipant ledit
  reseau d'ordinateurs; un organe de reception de donnees comprenant des
  informations sur les produits, les facteurs determinant le prix et un
  ensemble d'options de facteurs de prix pour chaque entree specifiee de
  facteur de prix effectuee par un utilisateur autorise et permettant de
  memoriser lesdites donnees dans ladite base de donnees; un organe de
  recuperation desdites donnees comprenant des informations sur les
  produits, les facteurs de prix et les options de facteurs de prix dans la
  base de donnees et de traitement desdites donnees selon le rapport
  mathematique predetermine de maniere a creer de multiples offres uniques
  dans ladite base de donnees et un organe de reception d'une autre
  selection effectuee par l'utilisateur concernant les options de facteurs
  de prix des facteurs de prix respectifs et de transfert vers un autre
  utilisateur d'une offre correspondante a partir des multiples offres
  uniques repertoriees dans la base de donnees. Ce systeme et ce procede
  permettent a un commercant de proposer des offres plus rapidement et
  permettent a un utilisateur client de modifier sa selection et d'obtenir
  des offres modifiees avec un nouveau prix propose par le vendeur
  instantanement, ce qui est tres pratique pour les utilisateurs.
?

?t17/ti/all

17/TI/1   (Item 1 from file: 348)
DIALOG(R)File 348:(c) 2001 European Patent Office. All rts. reserv.

Electronic trading system including an auto-arbitrage feature or name
    switching feature
Elektronisches Handelssystem mit automatischer Arbitrage oder mit Austausch
    von Namen
Systeme de commerce electronique a fonction d'autoarbitrage ou a fonction
    de commutation de noms

17/TI/2   (Item 2 from file: 348)
DIALOG(R)File 348:(c) 2001 European Patent Office. All rts. reserv.

Auction method and apparatus for electronic commerce
Auktionsverfahren und Anordnung fur elektronischen Handel
Procede de vente aux encheres et appareil pour le commerce electronique

17/TI/3   (Item 3 from file: 348)
DIALOG(R)File 348:(c) 2001 European Patent Office. All rts. reserv.

MOBILE ELECTRONIC COMMERCE SYSTEM
MOBILES ELEKTRONISCHES HANDELSSYSTEM
SYSTEME DE COMMERCE ELECTRONIQUE MOBILE

17/TI/4   (Item 4 from file: 348)
DIALOG(R)File 348:(c) 2001 European Patent Office. All rts. reserv.

A METHOD OF HOLDING AN AUCTION AND USES OF THE METHOD
VERFAHREN ZUM ABHALTEN EINER VERSTEIGERUNG UND ANWENDUNG DES VERFAHRENS
PROCEDE DE VENTE AUX ENCHERES ET SES UTILISATIONS

17/TI/5   (Item 5 from file: 348)
DIALOG(R)File 348:(c) 2001 European Patent Office. All rts. reserv.

System and method for distributed content electronic commerce
System und Verfahren fur den elektronischen Handelsverkehr mit verteiltem
    Inhalt
Systeme et methode de commerce electronique a contenu reparti

17/TI/6   (Item 6 from file: 348)
DIALOG(R)File 348:(c) 2001 European Patent Office. All rts. reserv.

Automatic auction method
Verfahren fur die automatische Auktion
Methode de vente aux encheres automatique

17/TI/7   (Item 7 from file: 348)
DIALOG(R)File 348:(c) 2001 European Patent Office. All rts. reserv.

ELECTRONIC TRADING SYSTEM INCLUDING AN AUTO-ARBITRAGE FEATURE OR NAME
    SWITCHING FEATURE
ELEKTRONISCHES HANDELSSYSTEM MIT AUTOMATISCHER ARBITRAGE ODER MIT AUSTAUSCH
    VON NAMEN
SYSTEME DE COMMERCE ELECTRONIQUE A FONCTION D'AUTOARBITRAGE OU FONCTION DE
    COMMUTATION DE NOMS

17/TI/8 (Item 8 from file: 348)
DIALOG(R)File 348:(c) 2001 European Patent Office. All rts. reserv.

Auction information transmission processing system
Verarbeitungssystem zum Übertragen von Auktionsinformation
Systeme de traitement pour la transmission d'information concernant les
    ventes aux encheres

17/TI/9 (Item 9 from file: 348)
DIALOG(R)File 348:(c) 2001 European Patent Office. All rts. reserv.

CREDIT MANAGEMENT FOR ELECTRONIC BROKERAGE SYSTEM
KREDITVERWALTUNG FUR EIN ELEKTRONISCHES MAKLERGEBUHRENSYSTEM.
GESTION DE CREDITS POUR SYSTEME DE COURTAGE ELECTRONIQUE

17/TI/10 (Item 10 from file: 348)
DIALOG(R)File 348:(c) 2001 European Patent Office. All rts. reserv.

Information service system using unity code and method therefor
Informationsdienstsystem und Informationsdiensverfahren mit Einheitskoden
Systeme et procede de service d'information utilisant des codes d'unite

17/TI/11 (Item 11 from file: 348)
DIALOG(R)File 348:(c) 2001 European Patent Office. All rts. reserv.

Integrated trading
Intergrierter Handel
Vente integree

17/TI/12 (Item 12 from file: 348)
DIALOG(R)File 348:(c) 2001 European Patent Office. All rts. reserv.

Apparatus for market trading.
Apparat fur finanzielle Transaktionen.
Appareil pour transactions financieres.

17/TI/13 (Item 1 from file: 349)
DIALOG(R)File 349:(c) 2001 WIPO/Univentio. All rts. reserv.

SYSTEMS AND METHODS FOR CONDUCTING DERIVATIVE TRADES ELECTRONICALLY
SYSTEMES ET PROCEDE PERMETTANT DE CONDUIRE ELECTRONIQUEMENT DES ECHANGES
    DERIVES

17/TI/14 (Item 2 from file: 349)
DIALOG(R)File 349:(c) 2001 WIPO/Univentio. All rts. reserv.

METHOD AND SYSTEM FOR SEMI-FUNGIBLE COMMODITY ITEM TRANSACTIONS
PROCEDE ET SYSTEME PERMETTANT DES TRANSACTIONS DE BIENS UTILITAIRES
    SEMI-FONGIBLES

17/TI/15 (Item 3 from file: 349)
DIALOG(R)File 349:(c) 2001 WIPO/Univentio. All rts. reserv.

METHOD AND APPARATUS FOR BI-DIRECTIONALLY AUCTIONING BETWEEN BUYERS AND

SELLERS USING COMPUTER NETWORK
PROCEDE ET DISPOSITIF PERMETTANT LA VENTE AUX ENCHERES BIDIRECTIONNELLE
    ENTRE DES ACHETEURS ET DES VENDEURS PAR RESEAU INFORMATIQUE

 17/TI/16   (Item 4 from file: 349)
DIALOG(R)File 349:(c) 2001 WIPO/Univentio. All rts. reserv.

APPARATUS, METHOD AND PROGRAM FOR A FIXED INCOME TRADING SYSTEM
APPAREIL, PROCEDE ET PROGRAMME POUR SYSTEME DE NEGOCIATION DE VALEURS A
    REVENU FIXE

 17/TI/17   (Item 5 from file: 349)
DIALOG(R)File 349:(c) 2001 WIPO/Univentio. All rts. reserv.

COMPUTER CONTROLLED EVENT TICKET AUCTIONING SYSTEM
SYSTEME DE VENTE AUX ENCHERES DE BILLETS ASSISTE PAR ORDINATEUR

 17/TI/18   (Item 6 from file: 349)
DIALOG(R)File 349:(c) 2001 WIPO/Univentio. All rts. reserv.

IMPROVED SYSTEM AND METHOD FOR INTERACTIVE PROCESSING AND DISPLAY OF
    INFORMATION
SYSTEME ET PROCEDE AMELIORES DESTINES AU TRAITEMENT INTERACTIF ET A
    L'AFFICHAGE D'INFORMATIONS

 17/TI/19   (Item 7 from file: 349)
DIALOG(R)File 349:(c) 2001 WIPO/Univentio. All rts. reserv.

SOURCING SYSTEM AND METHOD
SYSTEME ET PROCEDE DE SOURCAGE

 17/TI/20   (Item 8 from file: 349)
DIALOG(R)File 349:(c) 2001 WIPO/Univentio. All rts. reserv.

METHOD AND APPARATUS FOR VERIFYING THE IDENTITY OF A PARTICIPANT WITHIN AN
    ON-LINE AUCTION ENVIRONMENT
PROCEDE ET APPAREIL PERMETTANT DE VERIFIER L'IDENTITE D'UN PARTICIPANT DANS
    UN ENVIRONNEMENT D'ENCHERES EN LIGNE

 17/TI/21   (Item 9 from file: 349)
DIALOG(R)File 349:(c) 2001 WIPO/Univentio. All rts. reserv.

SCHEDULING AND PLANNING BEFORE AND PROACTIVE MANAGEMENT DURING MAINTENANCE
    AND SERVICE IN A NETWORK-BASED SUPPLY CHAIN ENVIRONMENT
PROGRAMMATION ET PLANIFICATION ANTICIPEE, ET GESTION PROACTIVE AU COURS DE
    LA MAINTENANCE ET DE L'ENTRETIEN D'UN ENVIRONNEMENT DU TYPE CHAINE
    D'APPROVISIONNEMENT RESEAUTEE

 17/TI/22   (Item 10 from file: 349)
DIALOG(R)File 349:(c) 2001 WIPO/Univentio. All rts. reserv.

A METHOD AND SYSTEM FOR ANALYZING AND COMPARING FINANCIAL INVESTMENTS
METHODE ET SYSTEME D'ANALYSE ET DE COMPARAISON D'INVESTISSEMENTS FINANCIERS

 17/TI/23   (Item 11 from file: 349)

**SYSTEM AND METHOD FOR PURCHASE AND SALE OF TRANSPORTATION ASSETS VIA A
    GLOBAL COMPUTER NETWORK**
**SYSTEME ET TECHNIQUE D'ACHAT ET DE VENTE DE BIENS ET DE SERVICE VIA UN
    RESEAU INFORMATIQUE MONDIAL**

 17/TI/24   (Item 12 from file: 349)

ELECTRONIC TRADING SYSTEM FOR ELECTRICITY FORWARDS
**SYSTEME DE TRANSACTIONS INFORMATISEES PERMETTANT LA CONCLUSION DE CONTRATS
    A TERME EN MATIERE D'ELECTRICITE**

 17/TI/25   (Item 13 from file: 349)

ELECTRONIC BARTERING SYSTEM
SYSTEME D'ECHANGE ELECTRONIQUE

 17/TI/26   (Item 14 from file: 349)

**AUTOMATED SYSTEM FOR CONDITIONAL ORDER TRANSACTIONS IN SECURITIES OR OTHER
    ITEMS IN COMMERCE**
**SYSTEME AUTOMATIQUE DE NEGOCIATION CONDITIONNELLE DE VALEURS MOBILIERES OU
    D'AUTRES EFFETS DE COMMERCE**

 17/TI/27   (Item 15 from file: 349)

USER INTERFACE TO FACILITATE, ANALYZE AND MANAGE RESOURCE CONSUMPTION
**INTERFACE UTILISATEUR PERMETTANT DE FACILITER, D'ANALYSER ET DE GERER LA
    CONSOMMATION DE RESSOURCES**

 17/TI/28   (Item 16 from file: 349)

ENABLING VIEWERS OF TELEVISION SYSTEMS TO PARTICIPATE IN AUCTIONS
**DISPOSITIF PERMETTANT AUX TELESPECTATEURS DE PARTICIPER A DES VENTES AUX
    ENCHERES**

 17/TI/29   (Item 17 from file: 349)

METHOD AND SYSTEM FOR SUBMITTING BIDS
PROCEDE ET SYSTEME DE PRESENTATION DE SOUMISSIONS

 17/TI/30   (Item 18 from file: 349)

METHOD AND SYSTEM FOR SEEKING BIDS
PROCEDES ET SYSTEMES DE RECHERCHE D'OFFRES

 17/TI/31   (Item 19 from file: 349)

```
?show files;ds
File 108:AEROSPACE DATABASE 1962-2001/NOV
         (c) 2001 AIAA
File   8:Ei Compendex(R) 1970-2001/Dec W1
         (c) 2001 Engineering Info. Inc.
File  77:Conference Papers Index 1973-2001/Nov
         (c) 2001 Cambridge Sci Abs
File 238:Abs. in New Tech & Eng. 1981-2001/Nov
         (c) 2001 Reed-Elsevier (UK) Ltd.
File  35:Dissertation Abs Online 1861-2001/Nov
         (c) 2001 ProQuest Info&Learning
File 103:Energy SciTec 1974-2001/Sep B2
         (c) 2001 Contains copyrighted material
File 111:TGG Natl.Newspaper Index(SM) 1979-2001/Dec 07
         (c) 2001 The Gale Group
File 202:Information Science Abs. 1966-2001/ISSUE 09
         (c) Information Today, Inc
File  65:Inside Conferences 1993-2001/Dec W2
         (c) 2001 BLDSC all rts. reserv.
File   2:INSPEC 1969-2001/Dec W2
         (c) 2001 Institution of Electrical Engineers
File  14:Mechanical Engineering Abs 1973-2001/Nov
         (c) 2001 Cambridge Sci Abs
File  94:JICST-EPlus 1985-2001/Nov W1
         (c)2001 Japan Science and Tech Corp(JST)
File 438:Library Literature 1984-2001/Sep
         (c) 2001 The HW Wilson Co
File  61:LISA(LIBRARY&INFOSCI) 1969-2001/Nov
         (c) 2001 Reed Reference Publishing
File 233:Internet & Personal Comp. Abs. 1981-2001/Dec
         (c) 2001 Info. Today Inc.
File   6:NTIS 1964-2001/Dec W4
         (c) 2001 NTIS, Intl Cpyrght All Rights Res
File 144:Pascal 1973-2001/Dec W2
         (c) 2001 INIST/CNRS
File 434:SciSearch(R) Cited Ref Sci 1974-1989/Dec
         (c) 1998 Inst for Sci Info
File  34:SciSearch(R) Cited Ref Sci 1990-2001/Dec W2
         (c) 2001 Inst for Sci Info
File  62:SPIN(R) 1975-2001/Nov W4
         (c) 2001 American Institute of Physics
File  99:Wilson Appl. Sci & Tech Abs 1983-2001/Sep
         (c) 2001 The HW Wilson Co.


Set     Items    Description
S1     340364    AUCTION? OR BIDDING? OR TRADING OR MATCHING OR BUY(5N)SELL
S2    7537604    DISPLAY? OR INTERFACE? OR UI OR GUI OR SCREEN OR SHOW OR S-
                 HOWS OR SHOWING OR VISUALI? OR VIEW? OR GRAPH?
S3       5444    (ALL OR EVERY OR EACH OR COMPLETE OR ENTIRE OR TOTAL OR WH-
                 OLE OR AGGREGAT? OR GROSS OR TOTALITY)(3W)(BID OR BIDS OR OFF-
                 ER OR OFFERS)
S4       2311    (ALL OR EVERY OR EACH OR COMPLETE OR ENTIRE OR TOTAL OR WH-
                 OLE OR AGGREGAT? OR GROSS OR TOTALITY)(3W)(TRADERS OR MERCHAN-
                 TS OR DEALERS OR PLAYERS OR MERCHANDISERS OR VENDORS OR VENDE-
                 RS OR SPECULATORS)
S5     675904    ICON OR ICONS OR ICONIC OR PICTORIAL? OR SIGN OR SYMBOL? OR
                 BAR OR BARS OR SLIDE()RULE? ? OR CANDLE?
S6        206    S2(6N)S3
S7        101    S2(6N)S4
S8        194    S1(6N)S2(6N)S5
S9          0    S3(20N)S8
S10         0    S4(20N)S8
S11         0    S9 OR S10
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December 11, 2001 1 11:51

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?show files;ds
File   15:ABI/Inform(R) 1971-2001/Dec 11
          (c) 2001 ProQuest Info&Learning
File   88:Gale Group Business A.R.T.S. 1976-2001/Dec 11
          (c) 2001 The Gale Group
File    9:Business & Industry(R) Jul/1994-2001/Dec 10
          (c) 2001 Resp. DB Svcs.
File   13:BAMP 2001/Dec W1
          (c) 2001 Resp. DB Svcs.
File  623:Business Week 1985-2001/Dec 10
          (c) 2001 The McGraw-Hill Companies Inc
File  810:Business Wire 1986-1999/Feb 28
          (c) 1999 Business Wire
File  610:Business Wire 1999-2001/Dec 11
          (c) 2001 Business Wire.
File  647:CMP  Computer Fulltext 1988-2001/Dec W2
          (c) 2001 CMP Media, LLC
File  275:Gale Group Computer DB(TM) 1983-2001/Dec 07
          (c) 2001 The Gale Group
File  674:Computer News Fulltext 1989-2001/Nov W4
          (c) 2001 IDG Communications
File   98:General Sci Abs/Full-Text 1984-2001/Oct
          (c) 2001 The HW Wilson Co.
File   47:Gale Group Magazine DB(TM) 1959-2001/Dec 10
          (c) 2001 The Gale group
File   75:TGG Management Contents(R) 86-2001/Dec W1
          (c) 2001 The Gale Group
File  239:Mathsci 1940-2001/Jan
          (c) 2001 American Mathematical Society
File  624:McGraw-Hill Publications 1985-2001/Dec 10
          (c) 2001 McGraw-Hill Co. Inc
File  621:Gale Group New Prod.Annou.(R) 1985-2001/Dec 10
          (c) 2001 The Gale Group
File  636:Gale Group Newsletter DB(TM) 1987-2001/Dec 10
          (c) 2001 The Gale Group
File  211:Gale Group Newsearch(TM) 2001/Dec 10
          (c) 2001 The Gale Group
File  484:Periodical Abs Plustext 1986-2001/Dec W1
          (c) 2001 ProQuest
File  813:PR Newswire 1987-1999/Apr 30
          (c) 1999 PR Newswire Association Inc
File  613:PR Newswire 1999-2001/Dec 11
          (c) 2001 PR Newswire Association Inc
File   16:Gale Group PROMT(R) 1990-2001/Dec 10
          (c) 2001 The Gale Group
File  160:Gale Group PROMT(R) 1972-1989
          (c) 1999 The Gale Group
File  141:Readers Guide 1983-2001/Sep
          (c) 2001 The HW Wilson Co
File  370:Science 1996-1999/Jul W3
          (c) 1999 AAAS
File  696:DIALOG Telecom. Newsletters 1995-2001/Dec 10
          (c) 2001 The Dialog Corp.
File  148:Gale Group Trade & Industry DB 1976-2001/Dec 10
          (c)2001 The Gale Group
File  553:Wilson Bus. Abs. FullText 1982-2001/Sep
          (c) 2001 The HW Wilson Co
File  278:Microcomputer Software Guide 2001/Nov
          (c) 2001 Reed Elsevier Inc.
File  256:SoftBase:Reviews,Companies&Prods. 85-2001/Nov
          (c)2001 Info.Sources Inc


Set     Items    Description
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S1	2372362	AUCTION? OR BIDDING? OR TRADING OR MATCHING OR BUY(5N)SELL
S2	11377552	DISPLAY? OR INTERFACE? OR UI OR GUI OR SCREEN OR SHOW OR S-HOWS OR SHOWING OR VISUALI? OR VIEW? OR GRAPH?
S3	160903	(ALL OR EVERY OR EACH OR COMPLETE OR ENTIRE OR TOTAL OR WH-OLE OR AGGREGAT? OR GROSS OR TOTALITY)(3W)(BID OR BIDS OR OFF-ER OR OFFERS)
S4	135112	(ALL OR EVERY OR EACH OR COMPLETE OR ENTIRE OR TOTAL OR WH-OLE OR AGGREGAT? OR GROSS OR TOTALITY)(3W)(TRADERS OR MERCHAN-TS OR DEALERS OR PLAYERS OR MERCHANDISERS OR VENDORS OR VENDE-RS OR SPECULATORS)
S5	3283928	ICON OR ICONS OR ICONIC OR PICTORIAL? OR SIGN OR SYMBOL? OR BAR OR BARS OR SLIDE()RULE? ? OR CANDLE?
S6	5431	S2(6N)S3
S7	3764	S2(6N)S4
S8	1322	S1(6N)S2(6N)S5
S9	2	S3(20N)S8
S10	2	S4(20N)S8
S11	4	S9 OR S10
S12	7	S1(20N)S6(20N)S7
S13	11	S9:S12
S14	7	RD (unique items)
S15	70	(S1 OR BIDS(5N)ASKS)(20N)S3(20N)S4
S16	67	S15 NOT S14
S17	47	RD (unique items)
?		

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?show files;ds
File 625:American Banker Publications 1981-2001/Dec 11
        (c) 2001 American Banker
File 268:Banking Info Source 1981-2001/Dec W1
        (c) 2001 ProQuest Info&Learning
File 626:Bond Buyer Full Text 1981-2001/Dec 10
        (c) 2001 Bond Buyer
File 267:Finance & Banking Newsletters 2001/Dec 10
        (c) 2001 The Dialog Corp.
```

Set	Items	Description
S1	93358	AUCTION? OR BIDDING? OR TRADING OR MATCHING OR BUY(5N)SELL
S2	128820	DISPLAY? OR INTERFACE? OR UI OR GUI OR SCREEN OR SHOW OR S-HOWS OR SHOWING OR VISUALI? OR VIEW? OR GRAPH?
S3	4079	(ALL OR EVERY OR EACH OR COMPLETE OR ENTIRE OR TOTAL OR WH-OLE OR AGGREGAT? OR GROSS OR TOTALITY)(3W)(BID OR BIDS OR OFF-ER OR OFFERS)
S4	2732	(ALL OR EVERY OR EACH OR COMPLETE OR ENTIRE OR TOTAL OR WH-OLE OR AGGREGAT? OR GROSS OR TOTALITY)(3W)(TRADERS OR MERCHAN-TS OR DEALERS OR PLAYERS OR MERCHANDISERS OR VENDORS OR VENDE-RS OR SPECULATORS)
S5	35197	ICON OR ICONS OR ICONIC OR PICTORIAL? OR SIGN OR SYMBOL? OR BAR OR BARS OR SLIDE()RULE? ? OR CANDLE?
S6	42	S2(6N)S3
S7	39	S2(6N)S4
S8	19	S1(6N)S2(6N)S5
S9	0	S3(20N)S8
S10	0	S4(20N)S8
S11	0	S9 OR S10
S12	0	S1(20N)S6(20N)S7
S13	0	S9:S12
S14	0	RD (unique items)
S15	2	(S1 OR BIDS(5N)ASKS)(20N)S3(20N)S4
S16	2	S15 NOT S14
S17	2	RD (unique items)
S18	2805	S1 AND S2 AND S5
S19	0	S1 AND S6 AND S7
S20	32	(S1 OR BIDS(5N)ASKS) AND S3 AND S4
S21	32	S15:S17 OR S20
S22	32	RD (unique items)

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?t22/3,k/all
```

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 22/3,K/1      (Item 1 from file: 625)
DIALOG(R)File 625:American Banker Publications
(c) 2001 American Banker. All rts. reserv.
```

0218479
State Street to Launch Automated Bond Trading System
American Banker - June 1, 1998; Pg. 23; Vol. 163, No. 102
DOCUMENT TYPE: Journal   LANGUAGE: English   RECORD TYPE: Fulltext
WORD COUNT: 497

BYLINE:
By CAROL POWER

State Street to Launch Automated Bond Trading System

TEXT:
...Bridge Information
Systems and Net Exchange, a software company, is designed to automate
fixed-income **trading** in the U.S. Treasury, corporate, mortgage-backed,
and
asset-backed markets.

```
?show files;ds
File  75:TGG Management Contents(R) 86-2001/Dec W1
        (c) 2001 The Gale Group
File 148:Gale Group Trade & Industry DB 1976-2001/Dec 10
        (c)2001 The Gale Group
File 624:McGraw-Hill Publications 1985-2001/Dec 10
        (c) 2001 McGraw-Hill Co. Inc
File 757:Mirror Publications/Independent Newspapers 2000-2001/Dec 11
        (c) 2001


Set     Items    Description
S1        6      (DISPLAY OR SHOW? OR VIEW? OR VISUALI?)(3N)(ALL OR EVERY OR
                 EACH)(2W)TRADERS(20N)(RIDS OR AUCTION?)
S2        4      RD (unique items)
?t2/3,k/all
```

2/3,K/1   (Item 1 from file: 75)
DIALOG(R)File 75:TGG Management Contents(R)
(c) 2001 The Gale Group. All rts. reserv.

00190993   SUPPLIER NUMBER: 18441721
Transparency and liquidity: a comparison of auction and dealer markets with informed trading. (includes appendix)
Pagano, Marco; Roell, Ailsa
Journal of Finance, v51, n2, p579(33)
June, 1996
ISSN: 0022-1082   LANGUAGE: English   RECORD TYPE: Fulltext; Abstract
WORD COUNT: 12746   LINE COUNT: 01019

...   of Theorem 1 remains in force when the informed trader's strategy is endogenous: transparent **auction** markets are more liquid than dealer markets. To **show** this, we first establish
     LEMMA 1: Optimal Strategy of Informed Trader in Dealer Market. If **all** noise **traders** place orders of the same size, then under the assumptions of Theorem 1, the equilibrium...

2/3,K/2   (Item 2 from file: 75)
DIALOG(R)File 75:TGG Management Contents(R)
(c) 2001 The Gale Group. All rts. reserv.

00154125   SUPPLIER NUMBER: 12538018   (USE FORMAT 7 FOR FULL TEXT)
Trading mechanisms in securities markets. (includes appendix)
Madhavan, Ananth
Journal of Finance, v47, n2, p607(35)
June, 1992
ISSN: 0022-1082   LANGUAGE: English   RECORD TYPE: Fulltext; Abstract
WORD COUNT: 14451   LINE COUNT: 01234

...   converge to the strong form efficient price, i.e., lim [Mathematical Expression Omitted]
     Proposition 7 **shows** that a large enough **auction** can provide more efficient prices than a continuous market. In a periodic system, **all traders** observe a noisy estimate of their aggregate information, in addition to public and private information signals. The more traders participating in the **auction** , the more efficient the price is as signal of asset value. Further, the system can...

2/3,K/3   (Item 1 from file: 624)
DIALOG(R)File 624:McGraw-Hill Publications
(c) 2001 McGraw-Hill Co. Inc. All rts. reserv.

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=================================================================
*                                                               *
*    Cover Sheet                                                *
*                                                               *
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          *** Your Memo ***


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------------------------------------------------------------------
*                                                               *
*    Prepared for: Examiner Charles Kyle                        *
*                                                               *
*    By           : Ginger D. Roberts                           *
*                                                               *
*    Date         : December 11, 2001                           *
*                                                               *
------------------------------------------------------------------
```


Please find attached the results of your search for 09/289550. The search was
conducted using the standard collection of databases on Dialog for EIC 2100.

The following other electronic products were searched:

If you have any questions, please do not hesitate to contact me.

Thank you.

Ginger Roberts
703-308-7795

```
S12          0    S1(20N)S6(20N)S7
S13          0    S9:S12
S14          0    RD (unique items)
S15          2    (S1 OR BIDS(5N)ASKS)(20N)S3(20N)S4
S16          2    S15 NOT S14
S17          2    RD (unique items)
S18       2372    S1 AND S2 AND S5
S19          0    S1 AND S6 AND S7
S20          3    (S1 OR BIDS(5N)ASKS) AND S3 AND S4
S21          3    S15:S17 OR S20
S22          3    RD (unique items)
?t22/7/all
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22/7/1   (Item 1 from file: 35)

01705233   ORDER NO: AAD99-30793

MARKET MECHANISMS FOR NETWORK RESOURCE SHARING (DISTRIBUTED MARKET, PROGRESSIVE SECOND PRICE, AUCTION)

   Author: SEMRET, NEMO
   Degree: PH.D.
   Year: 1999
   Corporate Source/Institution: COLUMBIA UNIVERSITY (0054)
   Sponsor: A. A. LAZAR
   Source: VOLUME 60/05-B OF DISSERTATION ABSTRACTS INTERNATIONAL.
         PAGE 2276. 144 PAGES

The theme of this thesis is the design and analysis of decentralized and distributed market mechanisms for resource sharing in multiservice networks. The motivation for a market-based approach is twofold. First, in modern multiservice networks, resources such as bandwidth and buffer space have different value to different users, and these valuations cannot, in general, be accurately known in advance as users compete against each other for the resources. Second, the network resources themselves are distributed, and often, not subject to any single authority.

We present the Progressive Second Price auction (PSP), a new decentralized mechanism for allocating variable-size shares of a resource among multiple users. Under elastic demand, the PSP auction is incentive compatible and stable, in that it has a “truthful” &epsis;-Nash equilibrium where **all players bid** at prices equal to their marginal valuation of the resource. PSP is efficient in that the equilibrium allocation maximizes total user value. In a dynamic setting, we derive a bound on the time to converge to equilibrium, when users are using an optimal normal form strategy. We then extend the PSP auction to be applied by independent resource sellers on each element of a network with arbitrary topology, with players having arbitrary but fixed routing/provisioning constraints. We derive an optimal truthful strategy for coordinated **bidding** for a player participating in **auctions** on multiple resource elements, and show that the equilibrium and efficiency results still hold. We also show how our networked **auction** model can apply to virtual networks, virtual paths, edge capacity allocation networks.

We then turn our attention to the problem of reservations and admission control for connection oriented network services. We propose a new approach to pricing of capacity in service systems with blocking, using spot and derivative market mechanisms. A second-price **auction** among arrivals grouped in batches gives rise to the <italic>spot market</italic> of usage charges. A reservation guaranteeing access for an arbitrary duration with a usage price below the bid can be made at any time before or during service, thus eliminating the risk—inherent to the spot market—of being dropped before service completion. We define the reservation as a <italic>hold option</italic>, which is analogous to

derivative financial instruments (e.g. options, futures) integrated over time. Based on a heavy-traffic diffusion model for the corresponding two-stage queueing system, we compute the reservation fee as the fair market price of a hold option. We validate this approach with simulations driven by a real traffic trace at a dial-up Internet access modem-pool.
   Finally, we present a decentralized, distributed, flexible software architecture implementing the above pricing systems.

 22/7/2   (Item 2 from file: 35)
DIALOG(R)File   35:Dissertation Abs Online
(c) 2001 ProQuest Info&Learning. All rts. reserv.

831004   ORDER NO: AAD83-28705
SOME NONCOOPERATIVE GAME MODELS OF EXCHANGE
  Author: HOUSMAN, DAVID LEO
  Degree: PH.D.
  Year: 1983
  Corporate Source/Institution: CORNELL UNIVERSITY (0058)
  Source: VOLUME 44/09-B OF DISSERTATION ABSTRACTS INTERNATIONAL.
           PAGE 2776. 118 PAGES

   Noncooperative games provide a natural approach to modeling the institutional character of exchange. Three types of exchange are considered.
       Simultaneous first price sealed bid **auctions** of several identical objects in which bidders have a capacity or exposure constraint are proposed as possible strategic explanations for the large variance in offshore oil lease bids. Even when the second object won is worth nothing to a bidder in the capacity constraint model, **bidding** on two objects in a "high-low" manner when object values are known is better than being restricted to **bidding** on only one object. A mixed proportional strategy Nash equilibrium (NE) still exists in certain cases when object values are no longer certain and players receive independent estimates of the value. When players have known identical exposure constraints and attempt to maximize the number of objects won, the NE are highly dependent on the relative number of objects (m) to bidders (n). If $n(1-1/m)^{(n-1)} < 1$, then the unique symmetric NE is for **each** player to **bid** everything on one randomly chosen object. If m (GREATERTHEQ) n, then players employ a mixed strategy which results in a positive bid on every object.
       An economy with one nondurable good and a fiat money is modeled as a noncooperative sequential game. Players form an atomless continuum and exchange is assumed to be completely monetized. When no credit is available, players having a sufficiently small ratio of money to commodity endowment spend all of their money in **each** period. The other **players** will withhold a decreasing amount of money each period until they also spend everything. When an open market for credit is added to the model, a NE exists in which the credit market is active in each period and the interest rate, commodity prices, and each player's consumption are constant across time.
       Consumers are allowed to misrepresent their endowments or preferences in an Arrow-Debreu exchange economy. In the endowment misrepresentation games, the NE allocations converge to the competitive equilibrium (CE) allocation when the economy is type replicated. If the true endowments are not Pareto optimal, then the set of NE allocations does not shrink to the set of CE allocations in the preference misrepresentation games.

 22/7/3   (Item 1 from file: 111)
DIALOG(R)File 111:TGG Natl.Newspaper Index(SM)
(c) 2001 The Gale Group. All rts. reserv.

03382004   Supplier Number: 11453629
Treasury sets bidding rules aimed at cleaning up troubled auctions .
  (Treasury Department to allow all broker dealers to bid on behalf
  of customers)
Thomas, Paulette; Herman, Tom
Wall Street Journal , Mon ed, col 3, pC1(W) pC1(E)
Oct 28, 1991
?

?t14/3,k/all
>>>KWIC option is not available in file(s): 278

14/3,K/1   (Item 1 from file: 88)
DIALOG(R)File 88:Gale Group Business A.R.T.S.
(c) 2001 The Gale Group. All rts. reserv.

01603174   SUPPLIER NUMBER: 03305815
Kings and queens. (evaluation)
Wiswell, Phil
PC Magazine, v3, p325(4)
June 12, 1984
DOCUMENT TYPE: evaluation   LANGUAGE: English   RECORD TYPE: Fulltext
WORD COUNT: 1076   LINE COUNT: 00098

...   of play in a logical order. On screen, one sees only the South hand
during **bidding** , but **all** three computerized **players** ' **bids** are
displayed in standard bridge notation. The program responds to each of
your plays with a message...

14/3,K/2   (Item 1 from file: 610)
DIALOG(R)File 610:Business Wire
(c) 2001 Business Wire. All rts. reserv.

00472689 20010301060B1527   (USE FORMAT 7 FOR FULLTEXT)
**Way2Bid Exchange Offers Electronic Sealed, Open or Blind Bidding to Public
Purchasers; Members Can Use a Variety of Bidding Scenarios to Fit Their
Needs**
Business Wire
Thursday, March 1, 2001 13:05 EST
JOURNAL CODE: BW LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT
DOCUMENT TYPE: NEWSWIRE
WORD COUNT: 442

...time-honored process ensures that all
information remains private, ensuring fair and equal opportunity to all
vendors . Blind **bids** prevent vendors from **viewing** bids submitted by
other
vendors and from knowing which vendors are participating in a bid...

...who creates the blind bid is able to view the incoming bids and monitor
the **bidding** process. Open bids allow all participants, whether buyer or
vendors, to view the identities of...

14/3,K/3   (Item 1 from file: 275)
DIALOG(R)File 275:Gale Group Computer DB(TM)
(c) 2001 The Gale Group. All rts. reserv.

01245622   SUPPLIER NUMBER: 06728245   (USE FORMAT 7 OR 9 FOR FULL TEXT)
**Mouse shows boldness of market automation system. (the Transvik market
  automation system from Transvik Inc.)**
Kulkosky, Victor
Wall Street Computer Review, v5, n8, p12(4)
May, 1988
ISSN: 0738-4343   LANGUAGE: ENGLISH   RECORD TYPE: FULLTEXT; ABSTRACT
WORD COUNT: 3021   LINE COUNT: 00225

...   number of "depth windows," on the Transvik System, each of which
gives an in-depth **view** on the **trading** in a single security. Each window
 shows the security **symbol** and market **symbol** , and below that last sale
and size of last sale, change and cumulative volume. A running list of

each **bid** and ask, with corresponding size is visible in the rest of the window.
        To make...

 14/3,K/4   (Item 1 from file: 47)
DIALOG(R)File 47:Gale Group Magazine DB(TM)
(c) 2001 The Gale group. All rts. reserv.

04331549   SUPPLIER NUMBER: 17338981   (USE FORMAT 7 OR 9 FOR FULL TEXT)
The 1995 to 1996 black tie calendar of Twin Cities fundraisers. (benefits
  in Minneapolis-St. Paul, MN area)(Special Advertising
  Section)(Illustration)
Spellacy, Amy; Rasmusson, Erika
MPLS-St. Paul Magazine, v23, n9, p137(7)
Sep, 1995
DOCUMENT TYPE: Illustration   ISSN: 0162-6655   LANGUAGE: English
RECORD TYPE: Fulltext; Abstract
WORD COUNT: 6692   LINE COUNT: 00535

...   Association.
    Kirby Puckett 8-Ball Invitational, 928-4860. Shoot pool with Kirby
Puckett and other **all** -star baseball **players** in a round-robin
tournament; attend a sports banquet with silent and live **auction** items,
Roy Firestone **Show** and music by the R Factor, Original Sports **Bar** and
Gatlin Brothers, Mall of America, Bloomington. 1 pm tournament, $750 per
pool-playing position...

 14/3,K/5   (Item 1 from file: 636)
DIALOG(R)File 636:Gale Group Newsletter DB(TM)
(c) 2001 The Gale Group. All rts. reserv.

04850528   Supplier Number: 67384790   (USE FORMAT 7 FOR FULLTEXT)
New B2B applications spell increased benefits to retailers and vendors.
M2 Presswire, pNA
Nov 28, 2000
Language: English   Record Type: Fulltext
Document Type: Newswire; Trade
Word Count: 690

...   data that transitions a 'necessary' B2B solution into a.
'successful' solution."
        Further features include:
        Reverse **Auctioning**
        * Vendors are able to **view** a summary of **all** **bids** from other
vendors .
        These are presented anonymously and allow for counter bids.
        * Retailers can reject part of the...

 14/3,K/6   (Item 1 from file: 696)
DIALOG(R)File 696:DIALOG Telecom. Newsletters
(c) 2001 The Dialog Corp. All rts. reserv.

00721518
 Bidding Explodes In U.K. `3G' Auction
TR International
April 14, 2000 VOL: 11 ISSUE: 7 DOCUMENT TYPE: NEWSLETTER
PUBLISHER: BRP PUBLICATIONS
LANGUAGE: ENGLISH   WORD COUNT: 278   RECORD TYPE: FULLTEXT

TEXT:
Bidding has exploded in the United Kingdom's auction of third-generation
wireless radio spectrum. **Total   bids** topped 17 billion pounds sterling
($27 billion) for five licenses at TRI's news deadline. And the **bidding**
war **shows** no **sign** of letting up...

 14/3,K/7   **(Item 1 from file: 148)**
DIALOG(R)File 148:Gale Group Trade & Industry DB
(c)2001 The Gale Group. All rts. reserv.

08902457   SUPPLIER NUMBER: 18598389
The Candlestick Forecaster. (pattern recognition system)(1996 Guide to
  Computerized Trading)(Evaluation)
Futures (Cedar Falls, Iowa), v25, n8, p94(1)
July, 1996
DOCUMENT TYPE: Evaluation   ISSN: 0746-2468   LANGUAGE: English
RECORD TYPE: Fulltext; Abstract
WORD COUNT: 415   LINE COUNT: 00038

...   25 different sources. The third model is our real-time, complex
pattern edition, giving you **buy** / **sell** signals as well as **showing**
confirmation, continuation and combination **candlestick** patterns, making
it a must for **all** intraday **traders** .
     Candlesticks are excellent for identifying entry and exit positions
and can teach you in a...
?

?t14/7/3

 14/7/3   (Item 1 from file: 275)
DIALOG(R)File 275:Gale Group Computer DB(TM)
(c) 2001 The Gale Group. All rts. reserv.

01245622   SUPPLIER NUMBER: 06728245   (THIS IS THE FULL TEXT)
**Mouse shows boldness of market automation system. (the Transvik market
  automation system from Transvik Inc.)**
Kulkosky, Victor
Wall Street Computer Review, v5, n8, p12(4)
May, 1988

TEXT:
     MOUSE SHOWS BOLDNESS OF MARKET AUTOMATION SYSTEM
     IF THE EVENTS AROUND Black Monday showed anything in particular, it's
that the securities markets need to become more thoroughly automated. But
how to automate an entire market or exchange? For a new market or one just
about to automate, the answer may be easier than for a large established
market with investments in existing systems and the drag created by
competing interest groups.
     One answer to the question (See Editor's Report, December 1987), may
be offered by Transvik Inc., a New York subsidiary of Invik & Co. AB, a
Swedish merchant banking firm. The Transvik System is a market automation
system which runs on the complete line of Digital Equipment Corp.'s VAX
minicomputers, from the MicroVAX II to the largest VAX 8978. Unlike dealing
room systems, which help connect traders to the markets they trade in, the
Transvik System is designed to be the market itself, processing the trades
and distributing data to the players. Individual traders interact with the
market through DEC VAXstation 2000 workstations, equipped with a
three-button mouse and--believe it or not--no keyboard at all.
     "A lot of people are afraid of computers, and we found that it's
particularly the keyboard and keying in things and making mistakes and
remembering what to key in when, so we did away with the keyboard and it's
all run with a mouse," explains Bjorn A.M. Wissen, chairman and chief
executive officer of Transvik.
     Users enter data or view information entirely through windows, which
include several tickers, a trading window which looks like the familiar
paper trading ticket, and others to be detailed. Any choice the user makes,
whether executing a command or entering a number, is done by pointing to
the desired choice in the appropriate window and clicking a mouse key.
     The Transvik system provides a scrolling horizontal ticker much like
any quote terminal, showing security symbol, last sale, change from
yesterday's closing, high, low and volume. Right below this is a personal
ticker displaying securities selected by the user. Also available is a
European-style "waterfall" ticker where the last trade appears on the
bottom line and pushes the previous trades up.
     The Transvik System currently supports a maximum of 10,000 securities
on the main machine while downloading up to 512 securities to each
workstation. A key selling point for Transvik is that the system can be
configured for several different markets, with each user individually
authorized to access only one or some combination of markets.
     "On the technical side, several markets might share the same
building; they could conceivably share a data processing facility where
each of their users is privileged on only one market, but there's only one
computer facility," suggests David R. Rosensaft, president and chief
operating officer at Transvik.
     In-depth Coverage
     A user can display a number of "depth windows," on the Transvik
System, each of which gives an in-depth **view** on the **trading** in a single
security. Each window **shows** the security **symbol** and market **symbol** ,
and below that last sale and size of last sale, change and cumulative
volume. A running list of **each** **bid** and ask, with corresponding size is

visible in the rest of the window.

To make a trade, the user points to a security's window and clicks one of the mouse's three keys. This brings up the trading window, the center of activity on the Transvik System. At the top is again, security and market symbol, with last sale price, size and change, bid and offer and volume.

Below, the user selects buy or sell, then the cursor jumps to trade size, which comes in increments of one, five, 10, 100, 1,000, 10,000, 100,000 and 500,000 shares, or whatever the unit of trade may be.

Right below the trade size, the user has a number of options for determining the price. He may choose to buy or sell at the current market price, or he may set a limit order, or choose the market maker option.

"The limit price allows you a couple of things," explains Wissen. The user may set a limit price slightly above the book price, for instance. "The book price is the price that goes into the book [which would be written in an actual book in traditional markets] that's visible to the market; the limit price is what you're willing to pay.

"It's just like with a broker and a floor broker: 'I want to do this at 120.5 but if you have to you can go up 122.' So the 122 is not visible [to other market players]," says Wissen. "You can't get a limit price below the book price, because what's in there is always the truth," he adds.

The Transvik system then searches for a matching order and either finds one, and executes the trade, or the order remains in the book till a matching order is found or the user cancels it.

"One advantage of using the mouse and this push-button system is that you cannot submit an order that is not correct from the computer standpoint," Wissen points out, since only correct choices are available and there's nothing to type wrong. It is possible to enter an order for a thousand shares when the user meant to enter a hundred, Wissen admits, but this is possible with any system.

Making Better Markets

The Transvik market maker function permits the user to have live orders always in the market, if regulations require that particular user to do so. On The Transvik System, a user can peg an order to bid, offer, or last sale, but in some fixed relationship above or below that price. Thus a user who wants to always beat the best bid can automatically have his own bid, say, a quarter point above any other bid.

Rosensaft compares this feature to Quotron's automatic quote feature, provided for market makers who are required to keep a constant quote available to the market. Transvik's market maker feature is similar, says Rosensaft, but it actually manages orders, whereas the Quotron feature is merely an information distributor.

"So this is a very powerful market making tool that allows you to set up the system and not sit there and watch it all the time--you let the computer do the work. The user doesn't have to sit there with his eyes glued to the tube at every moment, worried that something can happen that he didn't plan for," says Rosensaft.

Below the trade pricing feature is the trade duration feature, allowing the user to select immediate execution, or a day order, or good-till-canceled, or till the end of the week. He may also choose any combination of minutes, hours, and days.

The last section of the vertically-oriented trade window offers special options, including minimum trade size, where the user determines whether he will accept an order filled in several trades, and if so, in what increment.

Also in the special options section of the trading window is a meter function, where the user determines what percentage of an order is shown to the market. For instance, if an order is for 100,000 shares, setting the meter at 1 percent will show the market only 1,000 shares at a time.

"If I submit an order for 100,000 shares I'm going to scare all the sellers away and I'll have to pay up," says Wissen. "So, today I tell my floor broker: 'I'm in there for size but be careful.' If we didn't have this function, I'd have to submit an order for a thousand shares, have that

filled, then another order for a thousand shares, and have that filled, so we automated the function," Wissen points out.

Following Orders

"If you combine the use of the meter and limit prices you see that you can accomplish any set of instructions that you would give a broker for working an order and know that the instructions will be carried properly--that the guy will never be too busy to do it and never be too inexperienced to get it done, or any one of a variety of events that would prevent this order from being executed the way you would want it to be--the system takes care of it," states Rosensaft.

The last option in the trading window is a box for setting triggered order conditions. Clicking on this box brings up another window, which gives the user the options for setting an order triggered to bid, offer, or last sale and at what price, along with how long the order is in place, a feature useful for stop/loss orders, Wissen suggests. With this feature, the order is dormant until the specified market conditions are met.

Among information displays, the Transvik System also provides an order log which displays all orders entered into the system, the type of order for each, the price, the volume, and the status of the order.

Rosensaft points out how quickly a trade is processed in the Transvik System; even a user unfamiliar with mouse takes only a few seconds to enter a buy for 100 shares of stock X at market. The order matches almost instantly, and the executed trade appears in the order log in roughly a second.

The position window shows each user his trades for the day, with amount and weighted average price for buys and sells, as well as net position, or the difference between the buys and sells for the same instrument.

The workstation control panel is the maintenance window for the Transvik System. Here users select which windows are active, what goes into the tickers and displays, and where each window is placed. Here the user can also set up alerts to inform him the instant a given event happens such as unusual activity in a particular security or certain kind of news item; this feature is also a good surveillance tool for exchange officials.

These alerts can carry three levels of priority, Wissen points out. The first level merely displays highlights of the alert on the status line at the bottom of the screen; the second level pops up a window which describes the situation and then disappears; the highest level beeps, and displays a window which will not go away until the user takes action. Many alerts may be set simultaneously.

Complete Control

The control panel is also where the user adds and deletes the securities he wishes to follow. He can call up a master list of the securities he's authorized to trade and move near the one he wants by selecting the letter it starts with. He can then place it in whatever window he chooses.

"With this regime we eliminate any input errors," Rosensaft points out. "You can't type a symbol wrong because you never type it at all. We rigorously control what the user does, he only has access to the securities he's entitled to; this is maintained centrally and distributed to a workstation."

At present, Transvik is actively marketing its system and is in the planning stage of its first installation, notes Rosensaft. Negotiations with several other interested parties are "moving forward," though he declines to divulge details for the time being.

One of Transvik's major selling points is flexibility, Rosensaft asserts. The firm offers a core, off-the-shelf system which they will then tailor to specific needs of the market.

The windows could have different formats, or the control panel could have more or fewer functions, he suggests, and the system could accept whatever denominations are required by the marketplace, whether in fractions, decimal points, or both.

The Transvik System's architecture is founded on distributed

processing, that is, giving each computer the tasks it's best suited to; it's a tenet of DEC's own market strategy. The workstations handle the functions described above, and are also designed to support analytics, which Transvik plans to add in the future, either from itself or from third parties, post-trade processing and decision support.

In the back end, running on the complete VAX line, are such functions as trade matching and execution, user entitlements, confirms, databases, and interfaces for links to other quote vendors, markets or clearing organizations.

"This is where our architecture really shines," asserts Rosensaft. "We've built a cooperative multiprocessing regime--the processing is distributed asynchronously and asymmetrically across the network, so we can tailor the amount of hardware very tightly to the needs of the market."

Getting Started

He offers the example of a fledgling marketplace starting with only a hundred or so securities. This market could run very comfortably on a MicroVAX II, Rosensaft says. As the market grows and perhaps five out of the 100 securities turn into good performers, those five could be moved from the first system onto a new machine, or each one could even be placed on its own machine. With further growth, more VAXes of any size could be added on without displacing the first ones, nor would there be any visible difference to the users at the workstations.

"There really is no other line of hardware that has this extremely wide range of processing performance that all run compatible software, and that was important to us," Rosensaft says of DEC's hardware.

"The second thing was the ability to interface the front- and back-office with other systems and quote vendors. DEC has a corporate commitment to interfacing; it's been part of their strategy for years," Rosensaft adds.

"And since we wanted to use the workstation technology we wanted to make sure there was a lot of third-party software available to support the functions that we dump off--the analytics, the portfolio accounting--there's quite a lot of such software available.

"The very last reason was that we wanted to take the system anywhere in the world we wanted to go and know that a single vendor could support it and indeed, we have that possibility with Digital," says Rosensaft.

Transvik has "a very close working relationship," with DEC, Rosensaft notes, adding that other relationships concerning third-party applications, are in the works.

Working with DEC extends Transvik's own marketing efforts Wissen points out; as DEC makes its own bid for market share in the financial industry, Transvik's name is sure to come up.

"When DEC is talking to exchanges and markets that would need a system that would automate an exchange or market, we are obviously one of the few people who can do that, so our name comes up with those institutions," explains Wissen.

"When DEC is talking to a brokerage house about automating dealing room systems we're not really there because it's not a dealing room system; it's a system where one part of it is in the dealing room but it's not built to handle the problems of a dealing room itself," he adds.

Inevitable Developments

The advent of this kind of totally automated marketplace seems inevitable, suggest both Rosensaft and Wissen, but enthusiasm is not universal, since automation trends are perceived as a threat by some groups.

"There seems to be a consensus that this is where the market is going to go," Wissen points out. "A couple of years ago certain people were not all that enthusiastic and some people still are not enthusiastic."

Indeed when Wissen presented his ideas for the Transvik system at a WSCR-sponsored seminar in March 1985, he was greeted with outright hostility. "Obviously if you have an automated trading situation it's going to change the jobs for certain people and some people don't want their job descriptions changed, but that's part of evolution," Wissen suggests.

"It's not us changing the system," he continues, "it's the general trend. We have a system which is at the forefront. If you look at the people who are making decisions about these things they realize this [that automation will become more pervasive]. There are still people who are not enthusiastic about it, but there were people who weren't crazy about the automobile either."

"A fairly close analogy to what this [the Transvik System] and what effect it could have on an industry is what happened with the telephone or with ATMs," says Rosensaft.

"Alexander Graham Bell was very concerned that as the telephone became a commercial reality that it would fail because you needed one operator for every instrument above a certain number of instruments."

"It turns out he was right--we do need one operator for every telephone, but what's happened is that everyone who uses a telephone is now the operator.

"So we are not changing the market, we are continuing along the evolutionary trend," Rosensaft points out.

And that trend is eliminating as much manual activity as possible. Today, there's a lot of the securities trading process which is still done much the same way it's always been done.

"If you have all that great computer support for trading but when it comes to making the trade you still have to pick up the phone or scribble on a pad, you've lost a lot of the advantage of that computerized assistance," Rosensaft concludes. "You can make that process very smooth."

?

?t17/3,k/all
>>>KWIC option is not available in file(s): 278

17/3,K/1   **(Item 1 from file: 15)**
DIALOG(R)File   15:ABI/Inform(R)
(c) 2001 ProQuest Info&Learning. All rts. reserv.

02193718 74933076
A general model for corporate acquisition law
Stern, Yedidia Z
Journal of Corporation Law   v26n3   PP: 675-716   Spring 2001
ISSN: 0360-795X   JRNL CODE: JOCL
WORD COUNT: 15244

...TEXT: were not aware. Apparently, the most thorough way to consider the
value and scope of **all** the potential **offers** without missing any is by
holding an **auction** .20

In an open **auction**   the owner of property sells to the highest bidder.
All **traders** are aware both of the property being sold and of the prices
offered. Each potential...

17/3,K/2   **(Item 2 from file: 15)**
DIALOG(R)File   15:ABI/Inform(R)
(c) 2001 ProQuest Info&Learning. All rts. reserv.

01908515 05-59507
Debt capital markets
Boyne, Mark
Euromoney The 1999 Guide To The Philippines Supplement   PP: 10-11   Sep
1999
ISSN: 0014-2433   JRNL CODE: ERM
WORD COUNT: 1037

...TEXT: bonds was held in April 1997.

Treasury bonds are issued on a weekly basis with **auctions** each Tuesday
for settlement two days' later. Only one maturity is offered at each
auction with two, five, seven and 10-year maturities rotating **each**
week. Primary **dealers** are the same as for treasury bills. Awards are
made on a Dutch **auction**   basis with all bonds having the same coupon,
equivalent to the yield on the highest accepted bid, and issued at par.

In **all** treasury **auctions** , **bids** may be rejected if the government
feels that they are too high, resulting in acceptance...

17/3,K/3   **(Item 3 from file: 15)**
DIALOG(R)File   15:ABI/Inform(R)
(c) 2001 ProQuest Info&Learning. All rts. reserv.

01052827 97-02221
A quiet day on IPE's London trading floor
Knott, David
Oil & Gas Journal v93n25 PP: 31 Jun 19, 1995
ISSN: 0030-1388   JRNL CODE: OGJ
WORD COUNT: 550

...TEXT: more, well, civilized?

Fair play

Llewellyn explained that the pit traders were offering prices to **sell** and **bidding** to **buy** contracts. A contract entails 1,000 bbl of oil in the Brent pit or 100 metric tons of gas oil in the gas oil pit.

" **All** the **traders** ' **offers** and bids must be heard at the opposite side of the pit," said Llewellyn. A...

17/3,K/4   (Item 4 from file: 15)
DIALOG(R)File 15:ABI/Inform(R)

00904429 95-53821
Logistics in command and mixed economies: The Russian experience
Rodnikov, Andrei N
International Journal of Physical Distribution & Logistics Management
v24n2 PP: 4-14 1994
ISSN: 0960-0035 JRNL CODE: IPD
WORD COUNT: 6349

...TEXT: military equipment on the basis of a single procurement principle.

In principle, FPS will assure all **vendors**, regardless of size of unit, equal opportunities to bid for Government business. The decree requires...

... and Roskhleboprodukt, for the purpose of placing an order, to advertise for bids, to organize **auctions**, to participate in trade fairs, etc. Quotations will be publicly posted, revealing offers to **all** competitors. Tabulations of **bids** in most cases will not be regarded as trade secrets.

The decree also provides for...

17/3,K/5   (Item 5 from file: 15)
DIALOG(R)File 15:ABI/Inform(R)

00847199 94-96591
Electronic trading, market structure and liquidity
Massimb, Marcel N; Phelps, Bruce D
Financial Analysts Journal v50n1 PP: 39-50 Jan-Feb 1994
ISSN: 0015-198X JRNL CODE: FIA
WORD COUNT: 10714

...TEXT: risk to the local providing immediacy will increase, reducing local participation. Open outcry and electronic **matching** differ significantly in the amount of market information available to the local trader, and this has implications for the relative participation of locals.

* Disclosure of transaction details. Open outcry requires **all** on-the-market **bids** and offers to be announced publicly, allowing all participants in the **trading** pits (i.e., locals and brokers) to view **all trading** activity. Local **traders** know who is **bidding**, who is offering and who is **trading** with whom. A local trader in the pit knows, for example, whether many traders at...

17/3,K/6   (Item 6 from file: 15)
DIALOG(R)File 15:ABI/Inform(R)

00615905 92-31007
Gambling on Portables

Cervenka, Dana
Communications v29n6 PP: 35-40 Jun 1992
ISSN: 0010-356X JRNL CODE: CMN
WORD COUNT: 1537

...TEXT: factor to be considered; that is, all projects over $5,000 must go
through the **bidding** process, which is a process somewhat contrary to the
way retailers work. One reason that Herndon has never considered buying
from a mass merchant is that **all** **vendors** eligible to **bid** for the
university's projects must meet certain criteria necessary to be placed on
an...

17/3,K/7   (Item 1 from file: 88)
DIALOG(R)File 88:Gale Group Business A.R.T.S.
(c) 2001 The Gale Group. All rts. reserv.

05872828   SUPPLIER NUMBER: 77355582
An Internet Multicast System for the Stock Market.
MAXEMCHUK, N. F.; SHUR, D.H.
ACM Transactions on Computer Systems, 19, 3, 384
August, 2001
ISSN: 0734-2071   LANGUAGE: English   RECORD TYPE: Fulltext
WORD COUNT: 14683   LINE COUNT: 01163

...   stripe.
    7.2 Unified Orders
    The unified-order system is a sequence of offers to **buy** or **sell**
stocks at a given price. The offers can be directed to a specific exchange
or can be open to all participating exchanges. Our objective is to give
all of the **traders** a fair opportunity to place their bids in the
sequence of offers.
    If the **buy** and **sell** offers are directed to a single exchange, the
order of the sequence may be binding on the trades that occur. If the offer
is open to **all** exchanges, the **offer** may just be an invitation for a
broker to close a deal.
    This system is...

17/3,K/8   (Item 2 from file: 88)
DIALOG(R)File 88:Gale Group Business A.R.T.S.
(c) 2001 The Gale Group. All rts. reserv.

04726784   SUPPLIER NUMBER: 19796251
What makes markets allocationally efficient?
Gode, Dhananjay K.; Sunder, Shyam
Quarterly Journal of Economics, v112, n2, p603(27)
May, 1997
ISSN: 0033-5533   LANGUAGE: English   RECORD TYPE: Fulltext; Abstract
WORD COUNT: 9564   LINE COUNT: 00754

...   as the current bid and current ask cross, there is a transaction.
Otherwise, another trader **bids** / **asks** . If **all** **traders** have **bid**
/asked and the current bid and current ask do not cross, then the market
moves...

...is continued until the only available unit is sold.
    In this section we assume that **bids** and **asks** are not made public,
and therefore the probability distribution of bids and asks of ZI...

17/3,K/9   (Item 3 from file: 88)
DIALOG(R)File 88:Gale Group Business A.R.T.S.

December 11, 2001 3 11:44

04588660   SUPPLIER NUMBER: 20046271
Fair Division: From Cake-Cutting to Dispute Resolution. (book reviews)
Mulford, Matthew
American Political Science Review, v91, n3, p714(2)
Sep, 1997
DOCUMENT TYPE: Review   ISSN: 0003-0554   LANGUAGE: English
RECORD TYPE: Fulltext
WORD COUNT: 1482   LINE COUNT: 00120

...   to the first. In chapters 8 and 9 the authors introduce a new,
two-stage **bidding** procedure that protects bidders from the winner's
curse. In the first stage, anonymous simultaneous bids are made. The amount
of **all** **bids** but not who made them is then revealed. In the second
stage, **all** **players** make another simultaneous bid; they can only use the
bids made in the first round...

 17/3,K/10   (Item 4 from file: 88)
DIALOG(R)File   88:Gale Group Business A.R.T.S.

04570365   SUPPLIER NUMBER: 19918257
**Auto lease shopping now made easier. (Center for Study of Services' new
  offers LeaseWise service, a part of CarBargains: includes a related
  article on the pitfalls of the 'extras')**
Consumers' Research Magazine, v80, n10, p21(4)
Oct, 1997
ISSN: 0095-2222   LANGUAGE: English   RECORD TYPE: Fulltext; Abstract
WORD COUNT: 3184   LINE COUNT: 00245

...   provisions which might be applicable to the particular car and
lease-program combination.
      5. When **all** the competitive **bids** have been gathered and verified
by fax, CarBargains calculates a "monthly payment index" that ranks each
dealer's monthly payment against **all** other **bidding** **dealers** for both
the dealer's recommended lease plan and, if different, the lowest-cost
lease...

 17/3,K/11   (Item 1 from file: 9)
DIALOG(R)File   9:Business & Industry(R)

03251919 (USE FORMAT 7 OR 9 FOR FULLTEXT)
**Verizon Wireless lays cards on table in NextWave talks
(Bids $8.7 mil for 113 licenses after FCC re-auctions, reallocates or
  cancels NextWave's F-block and C-block licenses)**
RCR Wireless News, v 20, p 7
October 01, 2001
DOCUMENT TYPE: Journal ISSN: 0744-0618   (United States)
LANGUAGE: English RECORD TYPE: Fulltext
WORD COUNT: 562

 (USE FORMAT 7 OR 9 FOR FULLTEXT)

TEXT:
...able to help accomplish this.''

The deal, if it can get done, would seemingly benefit **all** of the
players involved in this years-long saga.

Re- **auction** bidders would get the licenses by paying nearly $16 billion, the amount they bid earlier this year.
The government would not get the **total** re- **auction** **bid** amount, but would get approximately double the $4.7 billion NextWave bid and then didn
. . .

...NextWave would get $5 billion, which would appear to be enough to emerge from bankruptcy.

All of the **players** would be free of a contentious litigation battle.

The letter is evidence that settlement negotiations...

17/3,K/12 **(Item 2 from file: 9)**
DIALOG(R)File 9:Business & Industry(R)
(c) 2001 Resp. DB Svcs. All rts. reserv.

02905392 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Emergence of e-broking
(India's online trading market is worth some Rs10 crores/day vs gross
  combined turnover of some Rs9,000 crores/day-Rs10,000 crores/day handled
  by NSE and BSE)
Business Line, p 9
September 03, 2000
DOCUMENT TYPE: Journal; Industry Overview ISSN: 0971-7528 (India)
LANGUAGE: English RECORD TYPE: Fulltext
WORD COUNT: 1289

(USE FORMAT 7 OR 9 FOR FULLTEXT)

TEXT:
...000 to Rs. 500, but also allowed short sales and offered clients the option of **trading** against securities up to three times sales marked for delivery. Clearly, innovation offers limited scope for it is a matter of time before almost **all** the schemes **offer** more or less identical features. Depending on the client's risk profile, preferences and requirements...
...have to choose the different schemes. * Is click-and-mortar the best bet?: As online **trading** is still at a nascent stage, practically **all** the major **players** which have set up e-broking outfits, aim at achieving two objectives. One, to broadbase...

17/3,K/13 **(Item 1 from file: 610)**
DIALOG(R)File 610:Business Wire
(c) 2001 Business Wire. All rts. reserv.

00547275 20010628179B7640 (USE FORMAT 7 FOR FULLTEXT)
Onvia Signs 100th Florida Government Agency to Post Bids Online; Government
Agencies Across Florida Go Online to Streamline Purchasing Processes
Business Wire
Thursday, June 28, 2001 17:57 EDT
JOURNAL CODE: BW LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT
DOCUMENT TYPE: NEWSWIRE
WORD COUNT: 701

...Onvia we get access to a bigger and more diverse pool of vendors, which makes **every** **bid** more competitive."

Vendors receive notices of new bid opportunities by email or fax. With online
bidding , vendors save time and reduce marketing expenses because they can

December 11, 2001 5 11:44

easily access opportunities without extensive...

 17/3,K/14   (Item 2 from file: 610)
DIALOG(R)File 610:Business Wire
(c) 2001 Business Wire. All rts. reserv.

00515364 20010508128B5349 (USE FORMAT 7 FOR FULLTEXT)
**Construction   Industry's   First   Virtual   Office   Trailer   Launched   by
PipeDirect; Because 'IT' Makes Cents**
Business Wire
Tuesday, May 8, 2001 08:38 EDT
JOURNAL CODE: BW LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT
DOCUMENT TYPE: NEWSWIRE
WORD COUNT: 677

...ancillary toolsets that work together to provide the total project
management solution to contractors - and **all** their subcontractors and
vendors .
The Contractor Locator, and the **Auction** Line are the first two modules
commercially deployed by PipeDirect.

The Contractor Locator addresses the inherent inefficiency of sourcing
bidders
for a project by allowing a contractor to manage their **entire**
solicitation/ **bid** /spec/submittal/review process, and issue the ultimate
award
to the winning subcontractor(s). This...

 17/3,K/15   (Item 3 from file: 610)
DIALOG(R)File 610:Business Wire
(c) 2001 Business Wire. All rts. reserv.

00424958 20001211346B2988 (USE FORMAT 7 FOR FULLTEXT)
**SupplyAccess Inc. to Provide eProcurement Solution for Los Angeles World
Airports-Key   Factors   in Three-Year Contract Are Cost Savings, Fast
Implementation,   System   Flexibility   and   Prior   Experience Using a
SupplyAccess Solution**
Business Wire
Monday, December 11, 2000 06:53 EST
JOURNAL CODE: BUSINESS WIRE, COMTEX LANGUAGE: ENGLISH RECORD TYPE:
   FULLTEXT
DOCUMENT TYPE: NEWSWIRE
WORD COUNT: 571

SupplyAccess is creating a private marketplace where LAWA's **bidding**
process
can be simplified and automated for **all**   **vendors** . It will **offer**   an
automated
workflow schedule to replace the existing paper-based workflow.

In addition, SupplyAccess will...

 17/3,K/16   (Item 4 from file: 610)
DIALOG(R)File 610:Business Wire
(c) 2001 Business Wire. All rts. reserv.

00321741 20000717199B3496 (USE FORMAT 7 FOR FULLTEXT)
Flamin' Hot Cash Game From the Lottery Heats Up Summer
Business Wire
Monday, July 17, 2000 11:29 EDT

JOURNAL CODE: BW LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT
DOCUMENT TYPE: NEWSWIRE
WORD COUNT: 271

...any of YOUR NUMBERS match the HOT NUMBER, you win the prize shown under the
 matching number. Uncover a "FLAME" symbol and win the prize shown under it
automatically! **Each** ticket **offers** **players** five chances to win.

Flamin' HOT CASH has over 1.4 million automatic winners with...

 17/3,K/17 **(Item 1 from file: 47)**
DIALOG(R)File 47:Gale Group Magazine DB(TM)
(c) 2001 The Gale group. All rts. reserv.
>>>Accession number 5013445 is unavailable

 17/3,K/18 **(Item 2 from file: 47)**
DIALOG(R)File 47:Gale Group Magazine DB(TM)
(c) 2001 The Gale group. All rts. reserv.

02510737   SUPPLIER NUMBER: 03305815   (USE FORMAT 7 OR 9 FOR FULL TEXT)
Kings and queens. (evaluation)
Wiswell, Phil
PC Magazine, v3, p325(4)
June 12, 1984
DOCUMENT TYPE: evaluation   LANGUAGE: ENGLISH   RECORD TYPE: FULLTEXT
WORD COUNT: 1344   LINE COUNT: 00098

...   of play in a logical order. On screen, one sees only the South hand
during **bidding** , but **all** three computerized **players** ' **bids** are
displayed in standard bridge notation. The program responds to each of your
plays with...

 17/3,K/19 **(Item 3 from file: 47)**
DIALOG(R)File 47:Gale Group Magazine DB(TM)
(c) 2001 The Gale group. All rts. reserv.

02506500   SUPPLIER NUMBER: 03119347   (USE FORMAT 7 OR 9 FOR FULL TEXT)
The joy in designing a new OA system. (office automation) (column)
Sandburg, Dorothy
Office Administration and Automation, v45, p93(1)
Feb, 1984
DOCUMENT TYPE: column   ISSN: 0745-4325   LANGUAGE: ENGLISH
RECORD TYPE: FULLTEXT
WORD COUNT: 529   LINE COUNT: 00044

...   information, the RFP and a cover letter indicating the nature of
the request and the **bidding** procedures should be distributed to **all**
prospective **vendors** . A deadline for response to the RFP is necessary. In
addition, each vendor who wishes...

...for proposal should be prepared to host an on-site demonstration of its
system.
     After **all** **bids** are received, the second stage of the selection
process begins--the equipment evaluation.

 17/3,K/20 **(Item 1 from file: 239)**
DIALOG(R)File 239:Mathsci

02648464 MR 97b#90041
The all-pay auction with complete information.
Baye, Michael R. (Department of Economics, Pennsylvania State University,
   University Park, Pennsylvania, 16802)
Kovenock, Dan (Department of Economics, Purdue University, West
   Lafayette, Indiana, 47907)
de Vries, Casper G. (Tinbergen Institute, Erasmus Universiteit Rotterdam,
   3000 DR Rotterdam, The Netherlands)
Corporate Source Codes: 1-PAS-EC; 1-PURD-EC; NL-ROTT-TB
Econom. Theory
Economic Theory, 1996, 8, no. 2, 291--305. ISSN: 0938-2259
CODEN: ECTHEA
   Language: English   Summary Language: English
   Subfile: MR (Mathematical Reviews) AMS
   Abstract Length: MEDIUM (14 lines)
   Reviewer: Summary

   Summary: ``In a (first price) all-pay **auction** , bidders simultaneously
submit bids for an item. **All** **players** forfeit their **bids** , and the high
bidder receives the item. This **auction** is widely used in economics to
model rent seeking, R\&D races, political contests, and...

 17/3,K/21   (Item 1 from file: 624)
DIALOG(R)File 624:McGraw-Hill Publications

00882509
WORLD FINANCE, REGIONAL GAS MARKET KEYS TO TURKEY'S AKKUYU PROJECT
Nucleonics Week August 28, 1997; Pg 10; Vol. 38, No. 35
Journal Code: NUC   ISSN: 0048-105X
Word Count: 4,674   *Full text available in Formats 5, 7 and 9*

BYLINE:
Mark Hibbs, Ankara

TEXT:
...Slipping Canadian Edge?
Diplomatic sources said that in late 1996, when Turkey re-opened the
 bidding on Akkuyu, AECL had a firm advantage over **all** other **vendors** .
After the country's fragile Islamic regime tumbled this spring, however,
the Canadian side may have lost its initial edge.
   ``On the same day the **bidding** started, AECL sent us its **complete**
 initial **bid** ,'' on Turkish bid evaluator recalled. Canadian sources said
that the AECL bid had been prepared...

 17/3,K/22   (Item 2 from file: 624)
DIALOG(R)File 624:McGraw-Hill Publications

0205622
RANCHO SECO FUEL INVENTORY SOLD TO B&W; MATERIAL QUICKLY BOUGHT BY U.S.
   UTILITY
Nuclear Fuel March 19, 1990; Pg 4; Vol. 15, No. 6
Journal Code: NUF   ISSN: 0149-3574
Section Heading: Uranium
Word Count: 632   *Full text available in Formats 5, 7 and 9*

BYLINE:
Eric Lindeman, Washington

TEXT:
...if delivered to the District."

Last November, SMUD offered its entire inventory for sale at **auction** (NF, 27 Nov. '89, 1 and 2). But the utility met with little success. Only three firms bid on the U308-- **all** broker/ **traders** . Nuexco, industry sources reported, was the effective high bidder for the material at $9/lb, with UG offering $9.10 with delivery further out, and Nukem **bidding** only $8.50. SMUD allowed **all** of those **offers** to expire December 31 (NF, 8 Jan., 9).

Other alternatives to the settlement agreement considered...

 17/3,K/23   (Item 3 from file: 624)
DIALOG(R)File 624:McGraw-Hill Publications
(c) 2001 McGraw-Hill Co. Inc. All rts. reserv.

0187296
SPOT URANIUM MARKET FALLS BELOW $9/LB
Nuclear Fuel   January 8, 1990; Pg 9; Vol. 15, No. 1
Journal Code: NUF   ISSN: 0149-3574
Section Heading: Uranium
Word Count: 643   *Full text available in Formats 5, 7 and 9*

BYLINE:
Eric Lindeman, Washington

TEXT:
... Sacramento Municipal Utility District (SMUD) has apparently met with no success in its attempts to **auction** more than 1-million lb U308 equivalent. Only three firms bid on the U308-- **all** broker/ **traders** . Nuexco, industry sources said, was the effective high bidder for the material at $9/lb, with UG offering $9.10 but for delivery further out, and Nukem **bidding** only $8.50. SMUD allowed **all** of the **offers** to expire as of December 31.

Although there have been no new spot market requests...

 17/3,K/24   (Item 1 from file: 621)
DIALOG(R)File 621:Gale Group New Prod.Annou.(R)
(c) 2001 The Gale Group. All rts. reserv.

02820189   Supplier Number: 70969616   (USE FORMAT 7 FOR FULLTEXT)
Way2Bid Exchange Offers Electronic Sealed, Open or Blind Bidding to Public
  Purchasers; Members Can Use a Variety of Bidding Scenarios to Fit Their
  Needs.
Business Wire, p0275
March 1, 2001
Language: English   Record Type: Fulltext
Document Type: Newswire; Trade
Word Count: 466

...   time-honored process ensures that all information remains private, ensuring fair and equal opportunity to **all** **vendors** . Blind **bids** prevent vendors from viewing bids submitted by other vendors and from knowing which vendors are...

...who creates the blind bid is able to view the incoming bids and monitor the **bidding** process. Open bids allow all participants, whether buyer or vendors, to view the identities of...

17/3,K/25   (Item 2 from file: 621)
DIALOG(R)File 621:Gale Group New Prod.Annou.(R)
(c) 2001 The Gale Group. All rts. reserv.

02753676   Supplier Number: 67831451   (USE FORMAT 7 FOR FULLTEXT)
SupplyAccess Inc. to Provide eProcurement Solution for Los Angeles World
  Airports.
Business Wire, p0038
Dec 11, 2000
Language: English   Record Type: Fulltext
Document Type: Newswire; Trade
Word Count: 611

...   automate and improve their procurement processes.
       SupplyAccess is creating a private marketplace where LAWA's **bidding**
 process can be simplified and automated for **all**   **vendors** . It will
offer an automated workflow schedule to replace the existing paper-based
workflow.
       In addition, SupplyAccess will...

 17/3,K/26   (Item 3 from file: 621)
DIALOG(R)File 621:Gale Group New Prod.Annou.(R)
(c) 2001 The Gale Group. All rts. reserv.

02176490   Supplier Number: 55797668   (USE FORMAT 7 FOR FULLTEXT)
eBay Great Collections Will Be World's Online Passport to Fine
  Collectibles.
PR Newswire, p9556
Sept 21, 1999
Language: English   Record Type: Fulltext
Document Type: Newswire; Trade
Word Count: 662

...   will also be available to users of the Great Collections site. The
format of the **auctions**   in Great Collections will be the same as eBay's.
       Items offered through eBay Great Collections will come with the same
guarantees **auction**   houses traditionally provide in the offline world.
All   **dealers** will **offer**   a five-year guarantee of authenticity, in
addition to a thirty-day money-back guarantee...

...is as it was described.
       About eBay
       eBay (www.ebay.com), the world's personal **trading**   community(TM),
pioneered person-to-person online **trading** . Founded in 1995, eBay has
developed an efficient and entertaining trading site on the Web...

 17/3,K/27   (Item 4 from file: 621)
DIALOG(R)File 621:Gale Group New Prod.Annou.(R)
(c) 2001 The Gale Group. All rts. reserv.

01704732   Supplier Number: 50290536   (USE FORMAT 7 FOR FULLTEXT)
Manheim Online, a Manheim Auctions CyberService, Reaches $200 Million
  Milepost and $1 Million Every Day in Vehicle Sales via the Internet
PR Newswire, p0908ATTU019
Sept 8, 1998
Language: English   Record Type: Fulltext
Article Type: Article
Document Type: Newswire; Trade
Word Count: 1185

... the highest bids. CyberAuctions gives dealers the advantage to
bid on multiple vehicles at the **auction**
's beginning and track vehicle
bids

throughout the online sale. When one dealer raises a bid, CyberAuction
s
automatically updates **all dealers** ' **bid**
lists online to continuously

provide the most current information and pricing for each vehicle. At
the
sale's conclusion, Manheim Online posts the **complete**
sales data informing
dealers of the final bids and purchasers.

Accelerating Growth

Based on Manheim **Auctions** ' aggressive technology initiative for
1998, the company projects Manheim Online's dealer subscribers will reach
...

17/3,K/28   (Item 5 from file: 621)
DIALOG(R)File 621:Gale Group New Prod.Annou.(R)
(c) 2001 The Gale Group. All rts. reserv.

01665861   Supplier Number: 50107959   (USE FORMAT 7 FOR FULLTEXT)
**Manheim Auctions and BMW Launch First 'Live Bidding' Wholesale Auto Auction
  on the Internet**
PR Newswire, p0623ATTU024
June 23, 1998
Language: English   Record Type: Fulltext
Article Type: Article
Document Type: Newswire; Trade
Word Count: 830

...   of North America. "We see Silent CyberAuctions as a valuable tool
that complements our existing **auction** structure in getting vehicles back
into the marketplace more quickly so our retailers can sell them."
     "Manheim **Auctions** was very pleased to have BMW as our first Silent
CyberAuction customer," said Tom Nohstadt, Vice President of Internet for
Manheim **Auctions** . "CyberAuctions allow **all** BMW **dealers** to **bid**
simultaneously on multiple vehicles and purchase inventory from their home
or office." The initial BMW sales have been successful, with 85 dealers
bidding on more than 125 cars. We are confident that many more vehicles
could be offered, during each **auction** , and we look forward to conducting
regularly scheduled CyberAuctions with BMW.
     Multi-Bid Opportunity of...

...highest bids. CyberAuctions allows dealers to bid on multiple vehicles
at the beginning of the **auction** and track those vehicles throughout the
sale timeframe. When another dealer raises a dealer's bid, CyberAuctions
will automatically update **all dealers** ' **bid** lists to provide the most
current information and pricing for each vehicle. At the conclusion...

...the final bids and purchasers.
     About Manheim Online
     Manheim Online, an extended service of Manheim **Auctions** , is the
world leader in auto-related electronic commerce. Manheim Online has been
named one...

17/3,K/29   (Item 1 from file: 636)
DIALOG(R)File 636:Gale Group Newsletter DB(TM)
(c) 2001 The Gale Group. All rts. reserv.

04806308   Supplier Number: 66034410   (USE FORMAT 7 FOR FULLTEXT)
TD WATERHOUSE TO LAUNCH ACTIVE TRADER SERVICE.(Brief Article)
Financial Net News, v5, n41, p2
Oct 9, 2000
Language: English   Record Type: Fulltext
Article Type: Brief Article
Document Type: Newsletter; Trade
Word Count: 199

     Competitors E*Trade Securities, Charles Schwab & Co. and Fidelity
Investments **all offer** active **traders** commission rebates through their
respective active trader platforms.
     A spokesman at Fidelity said that its active **trading** platform,
which allows the firm's most active traders to pay as little as $14...

17/3,K/30   (Item 2 from file: 636)
DIALOG(R)File 636:Gale Group Newsletter DB(TM)
(c) 2001 The Gale Group. All rts. reserv.

02109470   Supplier Number: 43910567   (USE FORMAT 7 FOR FULLTEXT)
**BRANCH SUPPORT: HILLIARD LYONS PLANS SINGLE NET FOR MARKET DATA, BACK
  OFFICE**
Wall Street Network News, v2, n1, pN/A
June 18, 1993
Language: English   Record Type: Fulltext
Document Type: Newsletter; Trade
Word Count: 714

...   Token Ring local area networks linking 286-and 386-based IBM
compatible PCs used for **trading** and administrative functions. The LANs
have gateway access to mainframe applications, in addition to local...

...outcome of the market data decision is by no means certain, it is likely
that **all** three **vendors** will **offer** the broker an improvement on the
current six year-old installation at lower cost. The...

17/3,K/31   (Item 3 from file: 636)
DIALOG(R)File 636:Gale Group Newsletter DB(TM)
(c) 2001 The Gale Group. All rts. reserv.

01974038   Supplier Number: 43522638   (USE FORMAT 7 FOR FULLTEXT)
**PRUDENTIAL SECURITIES BEGINS REQUIREMENT STUDY FOR 600-POSITION TRADING
  ROOM IN NEW YORK**
Trading Systems Technology, v6, n12, pN/A
Dec 14, 1992
Language: English   Record Type: Fulltext
Document Type: Newsletter; Trade
Word Count: 892

...   will issue several requests for information (RFIs) to various
vendors for specific components of the **trading** -room system. These RFIs
will lead to development of prototypes, upon which the ultimate bid...

...process will not resemble the typical RFP horse race that some firms
undertake -- in which **all vendors** submit **complete bids** at the same
time, and **all** the **bids** are evaluated at the same time, and then a

decision is made. Says Anderson: "Everyone...

17/3,K/32   (Item 1 from file: 484)
DIALOG(R)File 484:Periodical Abs Plustext
(c) 2001 ProQuest. All rts. reserv.

03846500   (USE FORMAT 7 OR 9 FOR FULLTEXT)
Price information in single call markets
Cason, Timothy N; Friedman, Daniel
Econometrica (MET), v65 n2, p311-345, p.35
 Mar 1997
 ISSN: 0012-9682   JOURNAL CODE: MET
 DOCUMENT TYPE: Feature
 LANGUAGE: English   RECORD TYPE: Fulltext; Abstract
WORD COUNT: 9827

TEXT:
...   penny) uniform distribution over the range [$0.00, $4.99]. Before
the start of each **trading** period, each buyer saw her own value for that
period and each seller saw his...

...cost, but no trader saw others' realized values or costs. At the
conclusion of the **trading** period **each** trader observed the **bids** , **asks**
, values, costs, and profits of **all**   **traders** . We provided this complete
ex post information to increase traders' opportunity to learn about their
...

17/3,K/33   (Item 2 from file: 484)
DIALOG(R)File 484:Periodical Abs Plustext
(c) 2001 ProQuest. All rts. reserv.

03175525
An analysis of the war of attrition and the all-pay auction
Krishna, Vijay; Morgan, John
Journal of Economic Theory (IJET), v72 n2, p343-362, p.20
 Feb 1997
 ISSN: 0022-0531   JOURNAL CODE: IJET
 DOCUMENT TYPE: Feature
 LANGUAGE: English   RECORD TYPE: Abstract

ABSTRACT: The war of attrition and the **all** -pay **auction**   when **players** '
signals are affiliated and symmetrically distributed are studied. Under
the conditions of the study, the war of attrition raises greater expected
revenue than **all** other known sealed- **bid**   **auction** forms.

17/3,K/34   (Item 1 from file: 813)
DIALOG(R)File 813:PR Newswire
(c) 1999 PR Newswire Association Inc. All rts. reserv.

1337321   ATW013
IN CPR AUT MLM SU

DATE: September 9, 1998   11:15 EDT   WORD COUNT: 1,074

... the highest bids. CyberAuctions gives dealers the advantage to bid on
multiple vehicles at the   **auction** 's beginning and track vehicle bids
throughout the online sale. When one dealer raises a bid, CyberAuctions
automatically updates   **all**   **dealers** ' **bid** lists online to continuously
provide the most current information and pricing for each vehicle. At the
sale's conclusion, Manheim Online posts the **complete** sales data informing

dealers of the final bids and purchasers.

Accelerating Growth

Based on Manheim **Auctions** ' aggressive technology initiative for 1998, the company projects Manheim Online's dealer subscribers will reach...

17/3,K/35   (Item 2 from file: 813)
DIALOG(R)File 813:PR Newswire
(c) 1999 PR Newswire Association Inc. All rts. reserv.

0595839   PH029
LOTTO JACKPOT OFFERS MARYLANDERS $9 MILLION PRIZE

DATE: May 17, 1993   14:44 EDT   WORD COUNT: 294

...winner when the numbers 01-06-07-15-17-34 were drawn. There were a **total** of 4,018 **players** who did win prizes in this drawing. Of that number, 67 won $1,092 by **matching** five of the six numbers, while 3,951 won $31 each for correctly **matching** four of the six numbers.

Maryland's Lotto drawings are conducted twice weekly (Wednesdays and Saturdays) and **each** drawing **offers** the chance to win at least $1 million. The drawings can been seen on WNUV...

17/3,K/36   (Item 1 from file: 16)
DIALOG(R)File 16:Gale Group PROMT(R)
(c) 2001 The Gale Group. All rts. reserv.

07604229   Supplier Number: 57009803   (USE FORMAT 7 FOR FULLTEXT)
Houston Street Exchange launches web trading floor.
Murelio, April C.
Electric Light & Power, v77, n9, p30(1)
Sept, 1999
Language: English   Record Type: Fulltext Abstract
Document Type: Magazine/Journal; Trade
Word Count: 564

...   he said, traders maintain total control over each transaction, with the ability to view their **complete   trading** history, sort **offers** and bids by price, term, volume and delivery point, and trade 24 hours a day, 365 days a year. And the online service ensures the security and anonymity of **each** transaction.
    Traders can customize their web pages so they see information that affects decisions on **trading** strategy such as five-day forecasts for about 150 cities, news headlines about the energy...

17/3,K/37   (Item 2 from file: 16)
DIALOG(R)File 16:Gale Group PROMT(R)
(c) 2001 The Gale Group. All rts. reserv.

03898254   Supplier Number: 45615285   (USE FORMAT 7 FOR FULLTEXT)
A quiet day on IPE's London trading floor
The Oil and Gas Journal, p31
June 19, 1995
Language: English   Record Type: Fulltext
Document Type: Magazine/Journal; Trade
Word Count: 552

...   a little more, well, civilized?

December 11, 2001 14 11:44

Llewellyn explained that the pit traders were offering prices to **sell** and **bidding** to **buy** contracts. A contract entails 1,000 bbl of oil in the Brent pit or 100 metric tons of gas oil in the gas oil pit.
' **All** the **traders** ' **offers** and bids must be heard at the opposite side of the pit,' said Llewellyn. A...

17/3,K/38   (Item 3 from file: 16)
DIALOG(R)File 16:Gale Group PROMT(R)
(c) 2001 The Gale Group. All rts. reserv.

03811848   Supplier Number: 45438282   (USE FORMAT 7 FOR FULLTEXT)
EDI Translators: The software choices are many
Automatic I.D. News, p55
April, 1995
Language: English   Record Type: Fulltext
Document Type: Magazine/Journal; Trade
Word Count: 1434

...   products claim such compatibility. Installing one of these packages can be especially beneficial if your **trading** partner community represents multiple industries that likely use different VANs.
With one exception, **all** the **vendors** claimed that their products can be installed by the customer. This fact demonstrates well the...

...play" software. Twenty-three vendors include training with installation.
When it comes to support, nearly **all** of the **vendors**   **offer** maintenance agreements. Whether it relates to software or transaction set maintenance, investing in such an...

...your dependency on EDI and the translator itself grows.
As indicated in the comparison chart, **all** of the **vendors**   **offer** extended services after the sale. These services include integration of the translator with your in-house applications, data mapping assistance, EDI education, and help with setting up your **trading** partners.
Although these products are feature-rich, the key to successful implementation of an EDI...

17/3,K/39   (Item 1 from file: 148)
DIALOG(R)File 148:Gale Group Trade & Industry DB
(c)2001 The Gale Group. All rts. reserv.

12877883   SUPPLIER NUMBER: 67721191   (USE FORMAT 7 OR 9 FOR FULL TEXT)
AZ Stock Exchange getting new life.(Arizona Stock Exchange)(Brief Article)
WAGNER, EILEEN BRILL
Business Journal - Serving Phoenix & the Valley of the Sun, 21, 8, 1
Nov 24, 2000
DOCUMENT TYPE: Brief Article   ISSN: 0895-1632   LANGUAGE: English
RECORD TYPE: Fulltext
WORD COUNT: 915   LINE COUNT: 00076

...   markets open and close.
Wunsch said AZX is the nation's only electronic call market   **auction**

.

Unlike continuous **trading** systems, the single-price **auction** runs on screen for a limited time, with a clock ticking down. **All**   **traders** can see orders placed and how much time is left in the   **auction** .
The **auction** balances **all**   **bids** and **offers** for a stock to arrive at a consensus price. The electronic **auction** takes the volatility out of **trading** since each trader gets the same price at the same time and has the same...

17/3,K/40   (Item 2 from file: 148)
DIALOG(R)File 148:Gale Group Trade & Industry DB
(c)2001 The Gale Group. All rts. reserv.

11635739   SUPPLIER NUMBER: 58089591   (USE FORMAT 7 OR 9 FOR FULL TEXT)
Sacramento Society for the Prevention of Cruelty to Animals (SSPCA).
Sacramento Business Journal, 16, 37, 22
Nov 26, 1999
ISSN: 8756-5897   LANGUAGE: English   RECORD TYPE: Fulltext
WORD COUNT: 345   LINE COUNT: 00032

     REIGNING CATS & DOGS GALA
    October 7, 2000
    Sacramento Convention Center
    Join this formal dance/ **auction** with music and entertainment, while
royal vintners, fine restaurateurs and microbrewers present the finest food
and drink in **all** the land. **Merchants   offer** wonderful treasures at a
huge silent **auction** . Key sponsors for this event are Robert S. Taketa,
DDS, Somers Building Maintenance Inc. and...

17/3,K/41   (Item 3 from file: 148)
DIALOG(R)File 148:Gale Group Trade & Industry DB
(c)2001 The Gale Group. All rts. reserv.

11586598   SUPPLIER NUMBER: 55503383   (USE FORMAT 7 OR 9 FOR FULL TEXT)
Is simulation better than experience?(includes related articles)
Bertsche, Dory; Crawford, Christopher; Macadam, Stephen E.
McKinsey Quarterly, 1, 50(8)
Wntr, 1996
ISSN: 0047-5394   LANGUAGE: English   RECORD TYPE: Fulltext; Abstract
WORD COUNT: 2797   LINE COUNT: 00231

...   price and set the market price at the marginal bid that would meet
market demand. **All** the units whose **bids** fell at or below the market
price received that price for their energy; the rest...

...idle, losing money because of their fixed costs.
    The simulation consisted of 10 "days" of **bidding** , during which no
conferring was allowed. At the end of **each** day, **players** were shown
their cash balances, which summed up their fixed costs and margins, if any
...

17/3,K/42   (Item 4 from file: 148)
DIALOG(R)File 148:Gale Group Trade & Industry DB
(c)2001 The Gale Group. All rts. reserv.

09657872   SUPPLIER NUMBER: 19405684   (USE FORMAT 7 OR 9 FOR FULL TEXT)
Firm offers controversial SOES stock trading here. (All-Tech Investment
  Group opens office in Redmond, WA; Small-Order Execution System)
Neurath, Peter
Puget Sound Business Journal, v17, n45, p14(1)
March 21, 1997
ISSN: 8750-7757   LANGUAGE: English   RECORD TYPE: Fulltext
WORD COUNT: 939   LINE COUNT: 00072

...   has a group of 18 solid prospects for training as traders. For
those with no **trading** and little or no computer experience, **All** -Tech
offers a $5,000 four-week training course in New Jersey. The training fee
is rebated through reduced commissions.
    Benson said **All** -Tech **traders** learn to recognize, and sometimes

anticipate, trends in stock prices, and to take advantage of...

 17/3,K/43   (Item 5 from file: 148)
DIALOG(R)File 148:Gale Group Trade & Industry DB
(c)2001 The Gale Group. All rts. reserv.

07604697   SUPPLIER NUMBER: 16280071   (USE FORMAT 7 OR 9 FOR FULL TEXT)
Agency's garbage could be another agency's treasure.
McCarthy, Shawn P.
Government Computer News, v13, n24, p3(2)
Nov 7, 1994
ISSN: 0738-4300   LANGUAGE: ENGLISH   RECORD TYPE: FULLTEXT; ABSTRACT
WORD COUNT: 931   LINE COUNT: 00071

...   the NASA's Arthur Wade looks over the acre of used ADP equipment to
be **auctioned** soon if homes can't be found for it. warehouse each year.
Old mainframes appear in almost **every** lot.
       Scrap **dealers** **bid** a few hundred dollars for them, then rip out
precious metals from the circuit boards...

 17/3,K/44   (Item 6 from file: 148)
DIALOG(R)File 148:Gale Group Trade & Industry DB
(c)2001 The Gale Group. All rts. reserv.

05277681   SUPPLIER NUMBER: 11453629
Treasury sets bidding **rules aimed at cleaning up troubled** auctions .
  (Treasury Department to allow all **broker** dealers · to **bid** **on behalf**
  of customers)
Thomas, Paulette; Herman, Tom
Wall Street Journal , Mon ed, col 3, pC1(W) pC1(E)
Oct 28, 1991
CODEN: WSJOAF   ISSN: 0193-2241   LANGUAGE: ENGLISH
RECORD TYPE: CITATION

 17/3,K/45   (Item 7 from file: 148)
DIALOG(R)File 148:Gale Group Trade & Industry DB
(c)2001 The Gale Group. All rts. reserv.

04107134   SUPPLIER NUMBER: 07956257   (USE FORMAT 7 OR 9 FOR FULL TEXT)
Living out every manager's dream. (magazine executive Peter Diamandis)
Diamandis, Peter
Fortune, v120, n8, p109(4)
Oct 9, 1989
ISSN: 0015-8259   LANGUAGE: ENGLISH   RECORD TYPE: FULLTEXT
WORD COUNT: 2792   LINE COUNT: 00192

 17/3,K/46   (Item 1 from file: 553)
DIALOG(R)File 553:Wilson Bus. Abs. FullText
(c) 2001 The HW Wilson Co. All rts. reserv.

04089169   H.W. WILSON RECORD NUMBER: BWBA99089169   (USE FORMAT 7 FOR
FULLTEXT)
The design and development of a financial cybermarket with a bundle trading
  mechanism.
Fan, Ming

Stallaert, Jan; Whinston, Andrew B
International Journal of Electronic Commerce (Int J Electron Com) v. 4 no1
(Fall 1999) p. 5-22
LANGUAGE: English
WORD COUNT: 7620

   (USE FORMAT 7 FOR FULLTEXT)

TEXT:
...   as well as the current highest bid and lowest ask price, are also
available to **all   traders** . The limit order book, which contains **all**
the **bids** and **asks** , is not made available to general traders.

      IMPLEMENTING WITH JAVA AND DISTRIBUTED OBJECTS
The electronic...

 17/3,K/47   (Item 1 from file: 256)
DIALOG(R)File 256:SoftBase:Reviews,Companies&Prods.
(c)2001 Info.Sources Inc. All rts. reserv.

00128214   DOCUMENT TYPE: Review

PRODUCT NAMES: Microsoft Commerce Server 2000   (661821); BuyerXpert
(031542); SellerXpert   (035343); ECXpert   (657506); WebSphere Commerce
Suite   (794902)

TITLE: Microsoft, iPlanet, IBM ready e-commerce wares
AUTHOR: Fontana, John
SOURCE: Network World,   v17 n49 p10(2) Dec 4, 2000
ISSN: 0887-7661
HOMEPAGE: http://www.nwfusion.com

RECORD TYPE: Review
REVIEW TYPE: Product Analysis
GRADE: Product Analysis, No Rating

REVISION DATE: 20010430

...Market Maker, and IBM's WebSphere Commerce Suite are software products
for creating Web sites. **All   **three **vendors   offer** sell-side
applications that include catalog, search, customer-tracking, data-mining,
profiling, and development tools. IPlanet also offers applications for
other activities such as billing and **trading** . Commerce Server 2000 only
runs on Windows 2000 and has a new product-catalog system...
?

Boston-based State...

...analysts predict,
10% of fixed-income volumes will be.
   Bond Connect will increase liquidity in **trading** , State Street said.
   Traditionally, bids to **buy** and **sell** bonds move through a series of
broker intermediaries. Instead, Bond Connect will anonymously match **buy**
and
sell bids.
   All **bids** will be considered collectively-initially, once a day. To
promote matches between **buy** and **sell** orders, the system will produce a
complete listing of orders for traders to peruse.
   Buy and **sell** orders will be matched using a complex algorithm in a
process that takes less than 10 minutes. Once the **matching** is **complete** ,
traders will receive electronic notifications of all the resulting
executions.
   State Street and Bridge said the system should promote **trading** of
bonds
that otherwise would not be traded-at least not without the efforts of...

...Bond Connect will be an addition to State Street's Global Link suite of
electronic **trading** systems, which includes Lattice **Trading** for equities
and
FX Connect for foreign currency conversions. All of these are products of
...
...information and automate trade
executions.
   Net Exchange of Avalon, Calif., will provide Bond Connect's **matching**
engine. Users will gain access to Bond Connect through BridgeStation,
Bridge's flagship workstation. Once...

COMPANY NAMES (DIALOG GENERATED): Bank of New York ; Bridge Information ;
Lattice **Trading** ; Net Exchange ; State Street Corp ; Telerate ; Tower
Group

 22/3,K/2   (Item 2 from file: 625)
DIALOG(R)File 625:American Banker Publications
(c) 2001 American Banker. All rts. reserv.

0063258
From the Buttonwood Tree To International Trading **: Once centralized,**
   trading now encompasses foreign exchanges and raises questions about
   global regulations.
American Banker - July 30, 1987; Pg. 15; Vol. 152, No. 148
WORD COUNT: 1,511

BYLINE:
By John P. Forde

From the Buttonwood Tree To International Trading **: Once centralized,**
   trading now encompasses foreign exchanges and raises questions about
   global regulations.
SECTION HEADING: GLOBAL **TRADING**

TEXT:
...companies.
   That tree, therefore, could be considered one of the first innovations
to centralize the **trading** of stock. Having **all** the **traders** in the
same
place made regulation and surveillance easier.
   Modern equities **trading** , however, is no longer being done strictly on
a **trading** floor with one clearing house offering stated-bid and asked

rates. For example, several stock...

...about regulation? Does the U.S. investor who wishes to
dive into the global equities **trading** market have the same safeguards
that
he is accustomed to at home?
     The latter question...

...not all stock exchanges
operate under the same rules, especially in matters concerning disclosure
of **all** competing **bids** , registering brokers, and surveillance of trades.
     Instead of securities being sold through a highly managed...

...at different prices.
     For example, the shares of Chase Manhattan Corp. are officially listed
for **trading** on stock exchanges in New York, Dusseldorf, Frankfurt, Paris,
London, and Tokyo. Other major companies...

...fact that they are in
different time zones, has brought a new possibility to equities **trading** .
It is the "floating book," a book of shares that passes from market to
market...

...Watchdog
     The Securities and Exchange Commission (SEC), which is the chief
regulatory watchdog of equities **trading** in the United States, has not yet
reported a problem with global equities **trading** , but it is proceeding
carefully.
     Where these linkages have been formed between exchanges there have...

...branch chief at the SEC who follows the National
Market System, closely watches secondary-market **trading** of stocks, an
area
that has boomed in international markets.
     He says the SEC's interest in global equities **trading** has been
primarily concerned with three areas: clearance and settlement of trades;
information and surveillance... Switzerland; and Ontario, Canada. The
memoranda allow for the exchange of
information relating to securities **trading** .
     The broker/dealer registration concerns have extended primarily to
foreign brokers doing business in the...
...brokers here.
     The most vibrant test ground for U.S. investors interested in global
equities **trading** so far has probably been NASDAQ. In 1986, NASDAQ traded
a
total of 2.4...

...1985.
     Given this growth in the market and the volume that is possible in
international **trading** , Douglas F. Parrillo, a senior vice president at
NASD, says it is in the "enlightened...

 22/3,K/3   (Item 3 from file: 625)
DIALOG(R)File 625:American Banker Publications
(c) 2001 American Banker. All rts. reserv.

0046541
**Keeping the Steel Moving is Job One: Automakers' Finance Companies May
     Diversify, but Car Loans Are Still The Key**
American Banker - January 2, 1986, Thursday; Pg. 1
WORD COUNT: 2,020

BYLINE:
By ROBERT M. GARSSON

TEXT:
...has signed on 900 of the state's 1,370 dealerships. About 45% of that
total are import **dealers** ; the rest are domestic.
    Among Marine's recent coups is the signing of a contract...automatically
that the finance unit will get all of the dealer's business.
    In almost **every** case, **dealers** will **offer** their loans, known in the
business as retail paper, to a number of lending institutions...

... of lenders in line to bid on the paper provides a number of
alternatives. Electronic **Bidding** in the Offing
    Also helpful is the ability to bid quickly. GMAC, for one, is...

 22/3,K/4   (Item 1 from file: 268)
DIALOG(R)File 268:Banking Info Source
(c) 2001 ProQuest Info&Learning. All rts. reserv.

00404529 82423717   (USE FORMAT 7 OR 9 FOR FULLTEXT)
Technology with a vengeance: The new economics of mortgaging
Jacobides, Michael G
Mortgage Banking, v62, n1, p118-131, Oct 2001 DOCUMENT TYPE: Periodical;
Feature LANGUAGE: English RECORD TYPE: Fulltext
WORD COUNT: 7,657

    (USE FORMAT 7 OR 9 FOR FULLTEXT)
...   development, which tried to revolutionize the link between bankers
and brokers, was the institution of **auctions** sites and BaB
marketplaces-which were all the rage in late 1999 and early 2ooo...

...brokers would be posting their loans onto these virtual markets, and
then lenders would be **bidding** up until the loan closed to the best offer.
    The offering of the choices of...

...making the entire package unattractive, even if e-markets operated at a
loss. Furthermore, the **auctions** sites, rather than speeding up the
process, slowed it down. It took more time for...

...have a commitment from the lender quickly to get it to their customers
without delay, **auction** sites did not, on average, add too much value.
    Yet beyond the simple cost comparison, other factors impeded BaB
auction growth. Many brokers will not transact with lenders they don't
know, for fear of poor service. This meant the supply of loans coming into
the BaB **auction** sites was hampered. But, more important, lenders did not
want to transact blindly with brokers...the not-so-good credits alike,
rather than giving all the good credits to the **auction** site, trying
opportunistically to maximize returns on the easily sellable loans. The
net-net comparison of the "pooled" transaction with few lenders and the
"separate" interactions with many lenders, including **auction** -based
transactions, makes the pooled solution more attractive. In turn, this
means that the **auctions** are not likely to ever get the volumes initially
forecast.
    Furthermore, the **auctions** , which were reputed to be the
disintermediators of the tradition lenders along the value chain...

...loan agent knew who the broker selling the loan was, the agent could
bypass the **auction** and then conclude the deal privately. For all the
safeguards put in place by the **auction** houses, this risk never quite went
away.
    Still, some new, technologically enabled species have emerged...
...lenders. Rather than having just the basic loan information (as would

typically happen in an **auction** site), the entire loan application, "digestible" from the lender's system, can now be transferred...

...that the broker can do some comparison-shopping. Thus, without being as ambitious as an **auction** model, these new technological ventures significantly reduce transaction costs-and thus facilitate market exchange and...

...why we care B2B exchanges appeared in other segments of the industry as well. In **whole** mortgage loans, some **players** (such as Ultraprise Loan Technologies Inc., Frederick, Maryland, and Pedestal Inc., Washington, D.C.) have...The transformation of the industry "integrated" mind-set and the increasing practice of mixing and **matching** enabled by technology, will take a while to realize, however. This is so, not the...

...sites that serve as either cyberbrokers or merely referral sources for mortgage lenders-generally called **aggregators** -arguably **offer** superior value to the consumer. These sites offer searchable menus of large numbers of programs...

 22/3,K/5   (Item 2 from file: 268)
DIALOG(R)File 268:Banking Info Source
(c) 2001 ProQuest Info&Learning. All rts. reserv.

00395046 68145162 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Getting it all together
Shacklett, Mary
Credit Union Magazine, v67, n2, p62-68, Feb 2001 DOCUMENT TYPE:
Periodical; Feature LANGUAGE: English RECORD TYPE: Fulltext
WORD COUNT: 2,209

   (USE FORMAT 7 OR 9 FOR FULLTEXT)

...ABSTRACT: nonfinancial products made available through partnerships with other providers. These services could include online stock **trading** , financial planning, trust and tax services, e-mail, frequent flier accounts, car pricing and locating...
...   nonfinancial products made available through partnerships with other providers. These services could include online stock **trading** , financial planning, trust and tax services, e-mail, frequent flier accounts, car pricing and locating...

...demands, we'll delay the project and return to a request-for-proposal process with **aggregation** **vendors** . Regardless of which approach we take, we're moving forward."
     A SUCCESSFUL STRATEGY
     A successful...

...and what kind of capital commitment is the aggregator bringing to the table?
     * Does the **aggregator** **offer** an affordable solution?
     * What liabilities does the aggregator accept? What type of Internet security is...

 22/3,K/6   (Item 3 from file: 268)
DIALOG(R)File 268:Banking Info Source
(c) 2001 ProQuest Info&Learning. All rts. reserv.

00376808 42520774 (USE FORMAT 7 OR 9 FOR FULLTEXT)
EMCC transforms clearing for Brady bonds
Chamberlin, Michael

   (USE FORMAT 7 OR 9 FOR FULLTEXT)

...ABSTRACT: widespread concerns about the spread of the Asian financial
downturn throughout the emerging market, worldwide **trading** volume in
Brady bonds and other emerging markets debt instruments amounted to nearly
$4.2...

...Clearing Corporations (EMCC), which has substantially reduced
counterparty risk and improved operational efficiency in the **trading** of
Brady bonds and other emerging markets debt instruments.

TEXT:
     The Emerging Markets Clearing Corporation reduces risk and improves
efficiency in **trading** Brady bonds and other emerging markets debt
instruments. Michael Chamberlin, Executive Director of the Emerging...
...   widespread concerns about the spread of the Asian financial
downturn throughout the emerging markets, worldwide **trading** volume in
Brady bonds and other emerging markets debt instruments amounted to nearly
$4.2...

...Clearing Corporation (EMCC), which has substantially reduced
counterparty risk and improved operational efficiency in the **trading** of
Brady bonds and other emerging markets debt instruments.
     High **trading** volumes
     The need for EMCC was precipitated by the rapid and successful growth
of the...

...markets in search of new instruments and higher yields. There has been
rapid growth in **trading** volumes, attributable in large part to increasing
investor appetite for high-yielding emerging markets debt...

...substantially completed, the aggregate face amount of Brady bonds stands
at approximately $140 billion, and **trading** volumes have increased rather
dramatically from 1993, when just over $1 trillion face amount of Brady
bonds traded. Although it is widely expected that Brady bond **trading**
volumes will taper off as emerging markets countries gradually retire Brady
bonds through their normal refunding operations, Brady bond **trading**
should remain at a high level for the foreseeable future and has been
supplemented by substantial **trading** activity in sovereign Eurobonds.
     Screen-based **trading**
     As **trading** volumes have rapidly grown, **trading** activity in the
interdealer market has become increasingly concentrated through one or more
networks of broker screens, provided by up to seven different securities
brokers.
     For many years, most **trading** in the global over-the-counter market
for emerging markets debt instruments has involved 15 to 20 important
dealers. Since 1993, their **trading** activity with each other has
increasingly been conducted through broker screens, which offer the
advantages...

...and efficiency. Some of these dealers execute as much as 90% of their
Brady bond **trading** in the interdealer market through these screens, as
compared with 50% or less in 1994...

...probably account for up to 75% of the interdealer market for Brady
bonds.
     Typically, the **trading** of Brady bonds through these broker screens
is conducted on a no-name give-up basis, meaning that the screens list

each dealer's **bids** and offers anonymously. For each trade, the broker enters into an offsetting purchase and sale...

...a private clearing entity, which typically assumes responsibility for each trade either late in the **trading** day or on the following morning. With the exception of one broker, substantially all ofthis **trading** activity has been cleared through a single private clearing firm.

Development of EMCC

In early 1995, EMTA began to study whether the combination of the rapid increase in aggregate **trading** volumes and the increasing concentration ofthis **trading** through broker screens that were cleared by a private clearing firm,justified the development of...

...composed of a diverse group of industry representatives from all sectors of the emerging markets **trading** community.

The final report of the feasibility study group, which was circulated widely throughout the emerging markets **trading** community in March 1996, recommended that EMCC be established as rapidly as practicable. The report ...

...that, among other things, EMCC would have the following benefits to the emerging markets debt **trading** community:

immediate reduction through netting of up to 50% in overall counterparty credit risk;

cost...

...of Understanding to jointly develop EMCC, which would be substantially owned by the emerging markets **trading** community and operated as an affiliate within the NSCC family of clearing corporations.

After the...

...supervise EMCC's construction and implementation by NSCC's technical team. Because of the increasing **trading** activity in emerging markets Eurobonds (which exceeded $1.6 trillion in 1998), the International Securities...

--- --- --- --- --- --- --- --- --- --- --- --- --- --- --- --- ---
...participants in April ofthat year.

How EMCC works

EMCC is owned by the emerging markets **trading** community and operated as part of the National Securities Clearing Corporation family of clearing corporations...with appropriate modifications to accommodate the particular needs and requirements of the emerging markets debt **trading** marketplace. These modifications were developed with substantial input from the risk management, operations and legal...
...subcommittees of the EMCC Policy Group, based on their collective experience in the emerging markets **trading** industry.

EMTA's principal purpose in sponsoring EMCC was to support the further development of screen-based **trading** in the interdealer market by reducing counterparty risk and improving certain operating efficiencies. The implementation...

...affected the process of entering into trades of emerging markets debt instruments or on existing **trading** patterns.

Buyer and sellers of Brady bonds and other eligible emerging markets debt instruments in...

...through either EMTA's Match-EM system or directly to EMCC (see EMTA offers electronic **matching** for Brady bonds and loans, IFLR, June 1996.)

To simplify and speed up its development...

...levels for each EMCC participant are determined based upon historical measures of its most recent **trading** activities, subject to daily margin calls as appropriate to reflect the daily calculation of net...

...and feasible to include within EMCC. These studies are reviewing such issues as instrument characteristics, **trading** patterns and settlement methods, as well as counterparty risk implications and local legal and regulatory...

...246 billion. By the end of this first operating year, EMCC was consistently achieving a **matching** rate of 90% or more on trade date, on **trading** volumes of approximately 750 sides per day.

Because emerging markets debt **trading** is subject to occasional surges in **trading** volumes and periods of extreme price volatility, EMCC's operating capability, and its benefits to the **trading** community, are perhaps best measured by its performance during such times of market stress.

One such period of extreme downward price volatility coupled with an exceptional surge in **trading** activity occurred in late October 1997, shortly before EMCC received its final SEC approval. Although...

...operations would likely have had on the industry's ability to absorb the surge in **trading** volumes.

In its report to the SEC regarding this evaluation, the National Securities Clearing Corporation...

...withdrawal of the leading interdealer broker from active participation in the market after exceptionally heavy **trading** activity caused severe processing difficulties and delays), EMCC would have substantially increased certainty as to...

...although EMCC would not necessarily have prevented the leading interdealer broker from becoming overwhelmed by **trading** activity, EMCC's straight-through processing capability would have resulted in quicker trade **matching** and more certain transmission ...greater market confidence in the ability of the marketplace to absorb and digest surges in **trading** activity.

The first real-life test of EMCC's operational capability and risk management system occurred during a second episode of price volatility and high **trading** activity that occurred in the late summer of 1998 in the wake of the effective...

...period were characterized by sharp price decreases for most instruments and by higher than normal **trading** activity (though not as high as the surge in **trading** volumes that occurred during the week of October 27 1997). Throughout the August/September period...

...with volumes peaking on several days at over two-times normal levels), EMCC still achieved **matching** levels on trade date of over 90%.

This high level of trade-date **matching** during a time of real financial crisis provided market participants with assurance that market infrastructure...

...able to handle market stress than in the previous year. Unfortunately, despite these high trade **matching** levels, settlement ratios declined sharply during this period as sellers, among other things, were unable...

...a number of delays that have prevented its benefits from being fully realized by the **trading** community. Some of the factors causing these delays relate to the ambitious nature of EMCC...

...amortize developmental and operating costs over a participant group that currently includes most, but not **all** , big market **players** . Participation by some firms has been delayed by priority and resource constraints that have been...

...of direct trade inputting.

Recent market conditions, while resulting in somewhat reduced average levels of **trading** activities, have focused attention on weaknesses in the processing capacity and capitalization of the interdealer...

...clearing arrangements. In the midst of concerns about how to address the credit implications of **trading** through the interdealer broker screens, most big dealers appear to have concluded that, rather than...

...such enforceability has been confirmed under the laws of the Bahamas, because the emerging markets **trading** activities of a number of important financial institutions are conducted by entities incorporated there.

It...current and future needs of the marketplace by permitting the inclusion of as many major **trading** houses as practicable, while at the same time ensuring that the highest standards of safety...

...in the development and application of EMCC's membership eligibility criteria to ensure that local **trading** firms can be accepted as EMCC member/participants without undue difficulty.

Because EMCC's membership...

...multilateral netting

EMCC is a significant step in the continuing maturity of the emerging markets **trading** industry. Through the benefits of collateralization of net positions and economies of scale, the industry...

...and operated Emerging Markets Clearing Corporation helps reduce risk and improve operational efficiency in the **trading** of Brady bonds and emerging market Eurobonds and, as new instruments are added, other emerging...

...to ensure not only that current needs for greater safety and efficiency in Brady bond **trading** are met, but also that future ones can be accommodated as the emerging markets **trading** industry continues to grow and evolve. Because the emerging markets **trading** industry encompasses many time zones and legal jurisdictions, there will be many challenges in making...

...DESCRIPTORS: Securities **trading** volume

22/3,K/7   (Item 4 from file: 268)
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00373739 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Debt capital markets
Boyne, Mark
Euromoney, v1999, p10-11, Sep 1999 DOCUMENT TYPE: Journal Article
LANGUAGE: English RECORD TYPE: Abstract Fulltext
WORD COUNT: 01037

(USE FORMAT 7 OR 9 FOR FULLTEXT)
...   the same time, the infrastructure of the government securities debt market has improved tremendously. The **auction** process has been automated and the exchange of physical bills and bonds has been replaced...

...near future. Also, the Philippine Stock Exchange has recently issued rules governing the listing and **trading** of debt paper on the Exchange. Meanwhile, the Money Market Association of the Philippines is...

...efficient market in the near future.

Treasury bills are issued on a weekly basis with **auctions** each Monday for settlement two days' later. Primary dealers (of which there are approximately 50) submit either competitive or noncompetitive bids by

1.00pm on the **auction** date. Noncompetitive bids are awarded at the average of the accepted competitive bids, but cannot exceed 40% of the **total** awards. For competitive **bids** , the lowest yield is accepted and filled to the amount bid for. Securities are awarded to progressively higher bids until the full **auction** amount is met.

Until seven years ago, treasury bills were the only form of domestic
. . .

...bonds was held in April 1997.

Treasury bonds are issued on a weekly basis with **auctions** each Tuesday for settlement two days' later. Only one maturity is offered at each **auction** with two, five, seven and 10-year maturities rotating **each** week. Primary **dealers** are the same as for treasury bills. Awards are made on a Dutch **auction** basis with all bonds having the same coupon, equivalent to the yield on the highest accepted bid, and issued at par.

In **all** treasury **auctions** , **bids** may be rejected if the government feels that they are too high, resulting in acceptance of less than the amount of securities initially offered or even outright failure at an **auction** .

Commercial paper is the principal form of negotiable debt instrument issued by resident companies.

A...

...spread. The base rate is the weighted average of accepted bids at the past two **auctions** , but it is possible that no bid is accepted at a particular **auction** . In such a case, provisions are made for the base rate to be determined at the **auctions** immediately following the interest rate reset date, in other words the interest rate used is...Mark Boyne, Treasurer, Philippines

    Tel: +63 2 814 5329
    Wick Veloso, Head of Interest Rate **Trading**
    Tel: +63 2 814 5352
    Oslec Lopez, Head of Fixed Income Sales
    Tel: +63 2...

 22/3,K/8   (Item 5 from file: 268)
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00339833 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Improving real estate loan turnaround with the Internet
Schafer, Scott M
Journal of Lending & Credit Risk Management, v80, n11, p36-39, Jul 1998
DOCUMENT TYPE: Journal Article LANGUAGE: English RECORD TYPE: Abstract Fulltext
WORD COUNT: 01196

(USE FORMAT 7 OR 9 FOR FULLTEXT)
...   lender a distinct competitive advantage.
Finding the Problem
Marine Midland Bank examined its process of **bidding** and engaging appraisal and environmental reports to identify opportunities for time savings and speedier commercial...

...the report. However, a fair amount of time (six to seven days) was consumed by **bidding** and engaging the assignment. This segment of the process was scrutinized in detail to identify...

...property address, the RM's name, and the contact name at the property.
4. Once **all** **bids** are received, a winner is selected and property information is transposed onto the summary form...

...developed by vendors were examined and at least one product offered a

viable means of **bidding** , engaging, and tracking assignments. Several thousand dollars in "site" construction costs, as well as maintenance...

...items were in place (a procedure which took a surprisingly small amount of time), the **bidding** and engaging process was altered as follows:
      Type salient property data into the bid solicitation...

...property types, such as hotels.
      Click on the send button to email the request to **each** of the **vendors** contained in the list.
      Vendors respond by electronically "stapling" the fee and turnaround time to...

...winning bidder (see Figure 2).
      This process eliminates most of the labor-intensive aspects of **bidding** and engaging assignments, especially the recopying and typing of information. In addition, postal service delays are removed from the procedure.
      Results
      The streamlining of the **bidding** and engaging process has not only increased the efficiency of the bank's staff, it...

...contacted under the former system, the ease of use of e-mailing allows opening the **bidding** to numerous firms. The additional competition resulted in an 8% savings on environmental fees and...of successful bids.
      Conclusion
      By involving the .Internet and its quick, reliable communication feature, the **bidding** and engaging of commercial appraisals and environmental reports can be improved. The result is faster...

 22/3,K/9   (Item 6 from file: 268)
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00314444   (USE FORMAT 7 OR 9 FOR FULLTEXT)
Will it be different this time?
Gordon, Sally
Mortgage Banking, v57, n10, p42-52, Jul 1997 DOCUMENT TYPE: Journal Article LANGUAGE: English RECORD TYPE: Abstract Fulltext
WORD COUNT: 06071

      (USE FORMAT 7 OR 9 FOR FULLTEXT)
...   competitors or business strategies, openended answers sounded similar themes: "Asset growth is a requirement for **all** ;' and "Real estate **offers** big outstandings:"
      Bankers persistently reported receiving "more pressure for earnings from real estate lending." Several...40 percent expect to engage in more distribution, and 30 percent anticipate some expansion in **trading** and advisory activities (see Figure 13). National/superregional banks are more likely than global banks to expect increased involvement in selected capital market activities, particularly distribution and **trading** .
      (Graph Omitted)
      Captioned as: FIGURE 10
      (Graph Omitted)
      Captioned as: FIGURE ii
      (Table Omitted)
      Captioned...whenever possible. More important, the substantive requirements inherent in this model impose a discipline on **all**   **players** , whereby all participants are forced to recognize changes in the market as they occur and...

22/3,K/10   (Item 7 from file: 268)
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00290894 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Credit derivatives: New financial instruments for controlling credit risk
Neal, Robert S
Economic Review (Federal Reserve Bank of Kansas City), v81, n2, p15-27,
Second Quarter 1996 DOCUMENT TYPE: Journal Article LANGUAGE: English
RECORD TYPE: Abstract Fulltext
WORD COUNT: 06147

   (USE FORMAT 7 OR 9 FOR FULLTEXT)
...   increase the costs of borrowing. For example, the recent disclosure
of a $1.1 billion **trading** loss at Daiwa Bank raised fears of the bank's
default, which increased its cost...some credit risk by lending to
different dealers, cyclical movements in the economy will affect **all**
dealers , thereby limiting the opportunities for diversification.
     Securitization and loan sales. In recent years, the development...risk
on $50 million of its loan portfolio. 7
     (Chart Omitted)
     Relative to loan sales, **total** return swaps **offer** two important
advantages. First, they allow banks to diversify credit risk while
maintaining confidentiality of...any derivative instrument for speculation
instead of hedging. For example, losses from unwarranted
derivatives-related **trading** caused the dissolution of Barings PLC, a
British investment bank, and contributed to the default...different
agencies and under different terms. Swaps, for example, are regulated by
the Commodities Futures **Trading** Commission. Suppose that a firm enters a
credit swap contract. If the regulatory status changes...

...termed non-investment-grade, or junk, bonds.
     3 Two weeks after the disclosure of the **trading** loss, Standard and
Poor's downgraded Daiwa's bonds. The bond market reaction to the...

  22/3,K/11   (Item 8 from file: 268)
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00281664 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Did Merrill bid too low?
Caplen, Brian
Euromoney, v322, p44-50, Feb 1996 DOCUMENT TYPE: Journal Article
LANGUAGE: English RECORD TYPE: Abstract Fulltext
WORD COUNT: 05157

   (USE FORMAT 7 OR 9 FOR FULLTEXT)
...   which had made plain its intentions to win at almost any cost, was
disqualified for **bidding** too low on the fixed-fee part of the mandate.
Since Morgan Stanley's Latin...

...no major grounds for complaint since they were strongly vocal in favour
of the chosen **bidding** procedures. In fact Gros, who did not return
telephone calls for this article, was said to be among the most vociferous
advocates of the CVRD edital, as the **bidding** terms and conditions are
known.
     "Every one [of the bankers involved] called me to say...not to have
control?" he asks.
     Interestingly, however, Banco Bozano Simonsen did not join the
bidding process for the mandate because of the chance that it could be a
trade **auction** . The bank expects to be active on the buy side of the CVRD
privatization and...

December 11, 2001 12 11:57

...the government money for the fixed fee). The aim was to have a totally clean **bidding** process, free from allegations of corruption or nepotism, and to ensure that the final valuation...

...as a percentage of the sale value of shares and counted for 20% of the **total bid** . It was at this stage of the process that Merrill Lynch's I.3I% bid...

...a moderate price bid would have been enough to clinch the deal. Market gossip gives **all players** an idea of the bid range and some members of the Morgan Stanley consortium, say...

...of the results.
Then the bombshell dropped. The Morgan Stanley group had been eliminated for **bidding** too low in the fixed-fee section. According to the edital, "proposals with clearly unexecutable...

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00279233   (USE FORMAT 7 OR 9 FOR FULLTEXT)
Insurers won't succeed doing business as usual
Mayewski, Larry G; Albanese, Michael L; Brey, David A; Pallay, Gary S; et al
Best's Review (Life/Health), v96, n9, p40-52+, Jan 1996   DOCUMENT TYPE: Journal Article LANGUAGE: English RECORD TYPE: Abstract Fulltext
WORD COUNT: 21007

(USE FORMAT 7 OR 9 FOR FULLTEXT)
...   along with many others, were able to improve cash flow testing results and asset-liability **matching** by selling mortgage-backed securities and reinvesting primarily in investment-grade corporate bonds with better call protection.
Another approach to interest rate risk management, asset-liability **matching** and yield enhancement or specific product strategies which saw increased utilization during 1995 was derivative...for the future.
By and large, 1995 was a year of adjustment and retrenchment for **all** health care **players** . In the absence of a national reform program, many health insurers and managed care companies...

...to spur market reforms in the private sector. This has changed the market dynamics for **all** the **players** in the health care arena, especially health insurers.
One notable trend has been the continued...network and utilization will approximate HMO experience. In addition, New York State law now requires **all** HMOs to **offer** POS options, and other states are considering similar requirements.
Market forces are clearly stronger in...M. Best believes these reform efforts will continue to create a fluid regulatory environment, forcing **all** the **players** to react. Already the market forces that have been unleashed led many health insurers to...decrease from 1993's record issuance of $679 billion. Financial institution participation on both the **buy** and **sell** side of the market -- the result of the on-going consolidation of banks and the...

22/3,K/13   (Item 10 from file: 268)
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00273697 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Get the most "spark" from your commercial paper program
Smith, Vaughn
Corporate Cashflow, v16, n11, p57-60, Nov 1995 DOCUMENT TYPE: Journal
Article LANGUAGE: English RECORD TYPE: Abstract Fulltext
WORD COUNT: 02102

(USE FORMAT 7 OR 9 FOR FULLTEXT)
... better reasons for having a dealer group than to fill the seats at
your daily **auction** . You increase the value of a dealer group when you see
that **each** of the **dealers** is working to place your paper advantageously
every day, providing maximum investor distribution.
Winner-takes-all **bidding** also destroys your ability as an issuer to
control the rate at which the paper...

...liquidate its position. In a declining rate environment, the dealer may
opt not to maximize **trading** revenues by once again offering the paper at
too high a rate.
Some issuers say...

...posted, allocated" approach, each day begins with the issuer conferring,
as early as possible, with **each** of its **dealers** , seeking their advice
about what offered rates to post that day for the various maturities...

...must not reflect the dealers' fee the parties negotiate when the program
is established.
Once **all** **dealers** have been consulted, the issuer chooses he
precise rate it wants to post that day...

...post the rates as early as possible, certainly before 9:30 a.m. Without
exception, **every** dealer must **offer** the paper at the same rate.
Around approximately 10:15 a.m., the issuer contacts...competitive
performance over time.
Typically, once a program is seasoned (i.e., it has been **trading** in
the market at least 6-9 months), the issuer can start reviewing the dealers
...

...it expects interest rates to decline in the near future and hopes to
reap some **trading** gains.
Whatever the reasons. the result is the same: The issuer loses control
over the...

...salesperson lows that on any given day an issuer will be selling paper
and that **all** **dealers** will compete on a level playing field, he or she
will make a greater effort...issuer, should be the driver.
Vaughn Smith is director of commercial paper and money market **trading**
for CS First Boston Corporation, New York.

 22/3,K/14 **(Item 11 from file: 268)**
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00253806 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Bonds
Anonymous
Euromoney, v1995, p3-9, Jan 1995 DOCUMENT TYPE: Journal Article
LANGUAGE: English RECORD TYPE: Abstract Fulltext
WORD COUNT: 04590

(USE FORMAT 7 OR 9 FOR FULLTEXT)

...ABSTRACT: the financial system and conducts monetary policy. The

Treasury announces the amount, tenor, coupon, conditions, **auction**
participants, and calendar for each issue. The post- **auction** buying and
selling of government securities takes place in 2 different markets, the
stock exchange...
...   May 16 1988, the secondary market for Italian government securities
was converted to an automatic **trading** system--the Mercato Telematico
Secondario (MTS). With just 300 dealer-members, the MTS is, to...

...and conducts monetary policy. It advises the Treasury on deficit
financing policy, organizes government bond **auctions** and receives bids.
It monitors the secondary market and, when needed intervenes with open
market...

...in the Italian primary market. At the beginning of the year, the
Treasury issues an **auction** calendar, which indicates issue announcement
dates, **auction** dates and settlement dates. The categories of bonds to be
issued and the amounts are defined when the **auctions** are announced.
     PRIMARY MARKET
     The Treasury, through government decree published in the Gazzetta
Ufficiale (Official Law Bulletin) announces the amount, tenor, coupon,
conditions, **auction** participants and calendar for each issue.
     Issue size is determined by the Treasury with the...for more than 50%
of the issue amount.
     Participants in medium- and long-term bond **auctions** submit their
bids directly on an automated basis through the National Interbank Network.
This procedure will shortly come into effect for BOT **auctions** , reducing
auction risks and decreasing the time lag between bids and the
announcement of results.
     SECONDARY MARKET
     The post- **auction** buying and selling of government securities takes
place in two different markets.
     The Stock Exchange...

...traded represents only a small share (less than 3%) of the overall
volume of bond **trading** .
     On-Screen Secondary Market (MTS--Mercato Telematico Secondario)
     The introduction of a screen-based secondary...

...a larger group of about 250 other dealers (banks, insurance companies,
brokers, and corporations).
     During **trading** hours (9:00am to 5:00pm), **all** primary **dealers** are
obliged to display firm bid/offer quotes on standard lots of government
securities. Though...

...to the Bank of Italy which, in turn. reports daily prices (weighted
average of actual **trading** prices) and volumes for each issue listed on
the market. The system guarantees transparent pricing...
...are now three categories of participants in the MTS secondary market.
     * Dealers: participants who can **buy / sell** bonds at the prices and
for the amounts quoted by primary dealers using the MTS computer system.
     * Primary dealers: participants who are required at **all** times to
quote **bids** on the MTS screens and offer prices for principal government
securities. Primary dealers have access...

...certain advantages:
     * they have reserved access to special placements decided by the
Treasury after open **auctions** have taken place. These are currently
limited to medium to long-term government bonds denominated in lira. The
amount offered is 5-10% of the initial volume **auctioned** . Each specialist
has the right to be awarded an amount proportional to his participation in
competitive **bidding** at the three previous **auctions** for the same type of
paper.
     * they can borrow cash and bonds from Bank of...

...rate is the two-week Ribor as of the first day of funding.
 * they can **buy / sell** bonds with the Bank of Italy as counterpart on
their own initiative every morning by...of Issuance
 BOTs are issued twice a month, at mid- and end-month, by competitive
auction on a price basis. There is no base price. Bidders submit one or
more (up...

...lists these bids in decreasing order of price and calculates the
exclusion yield. If the **total** amount of competitive **bids** is less than
the offered amount, the Bank of Italy takes the first half of...

...adds 150 bp to the weighted average yield to get the "exclusion yield".
 If the **total** amount of competitive **bids** is greater than or equal
to the offered amount, the BOI takes **all** the **bids** and applies the same
mechanism as above.
 Bids not excluded by the "exclusion yield" are accepted in decreasing
order of price, beginning with the highest bid. **All** successive lower
bids are accepted down to the price that just covers the amount offered
for competitive tenders...

...traded on total price gross of withholding tax, (the price includes tax
payments due from **trading** up to the maturity date). BOTs are almost
entirely placed with retail investors. Financial institutions...

...them mainly to meet retail demand or to unwind surplus balances not
placed after an **auction** . BOTs are not traded on the stock exchange
market. Since September 1989 they have been traded on the on the
screen-based "Telematico" market. All significant secondary **trading**
occurs in the two or three days following the **auction** results since
dealers make bond sales/purchases according to the positions taken.
 Yield Calculation
 As...

...practice to trade them on the basis of simple yield. While the average
total gross **auction** price is published, BOTs are traded in the MTS market
on the base of total...

...authorities have chosen to fund most of the monthly public sector
borrowing requirements by bond **auctions** , while extending the average bond
tenor and diversifying the categories of bonds in issuance.
 Methods of Issuance
 Medium- and long-term bonds are issued at a Dutch (marginal) **auction**
without a base price. Bids are all settled at the same price, which
corresponds to...

...are allocated. Participants may make up to three bids.
 In order to prevent purely speculative **biddings** , an exclusion price
is determined. Bids at lower prices will not be awarded in any...
...for 31.5%. Total market outstandings are L530.686 billion.
 Since 1992, BTPs have been **auctioned** without a base price, but with
an exclusion price to avoid purely speculative bids, as...issued with a
seven-year maturity. The first semi-annual coupon is set at the **auction**
announcement and the next semi-annual coupons are indexed to the 12-month
BOT rate...

...coupons set by adding a spread to the average BOT rate resulting from
the four **auctions** of the first two months of the quarter preceding the
CCT coupon revision date. Since...

...investors because coupons have been indexed to BOT rates instead of
Libor. However, recent CCT **auctions** have seen very strong demand from
international investors. Investors arbitrage the market by buying CCTs...

...primary dealers and specialists, with new CCTs generally being quoted a few days after the **auction** . Liquidity is very good, as reflected by spreads which are generally 1-2 bp. The...

...in the domestic market.
CTEs are now issued (with no fixed schedule) through the marginal **auction** system without a base price.
The Bank of Italy is trying to develop the CTE market by making more frequent **auctions** and by including the CTEs among the categories of bonds in which specialists are required...

...the Treasury suspended CTO issuance.
CTOs can be issued twice a month, using a marginal **auction** price and with no base price.
Secondary Market
CTOs comprise approximately 4 of outstandings. Market...the underlying bond is called "general collateral" (GC). If it is mainly used as a **trading** instrument, the underlying bond is called special. The GC becomes special when, due to short...

...for GCs. The most highly traded maturities are:
* spot (value date t+2), related to **trading** positions (about 60% of the transactions are made with this maturity).
* One week and one...

 22/3,K/15   (Item 12 from file: 268)
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00245618   (USE FORMAT 7 OR 9 FOR FULLTEXT)
Switzerland
Bischof, Thomas P; Herbez, Jean-Luc
International Financial Law Review, v1994, p64-70, Oct 1994
DOCUMENT TYPE: Journal Article LANGUAGE: English RECORD TYPE: Abstract
Fulltext
WORD COUNT: 04211

    (USE FORMAT 7 OR 9 FOR FULLTEXT)

...ABSTRACT: Switzerland. However, the cantonal laws will be superseded by the Federal Securities Exchanges and Securities **Trading** Act (SEA), which expected to come into force in 1995. The objective of the SEA...

TEXT:
    ...year for Swiss capital markets. On the regulatory side, a Federal Securities Exchanges and Securities **Trading** Act (Securities Exchange Act or SEA) has passed both Houses of the Swiss Parliament and...

...SSE), a private organization, will be the supporting legal entity. Migration from ring to electronic **trading** is expected to start on March 24 1995 and full operation is scheduled for May...

...1995. Technically, this system will allow incorporation of SOFFEX (Swiss Options and Financial Futures Exchange) **trading** at a later stage.
...   tender offers (take-overs). However, the SEA will only cover the secondary markets, that is, **trading** on or off exchange, including secondary grey markets. At present, primary markets are largely regulated
...

...rule-making power. The exchanges will be free to choose their structure and form of **trading** and shall have the power to issue rules for

membership and listing of securities. The...

...and negotiable rights or instruments, whether or not embodied in a document, proper for mass **trading** . Thus, the definition includes, apart from traditional securities, futures, options and other derivatives, but not...

...institution or organized marketplace the purpose of which is to serve as a platform for **trading** (in SEA terms: the simultaneous exchange of offer and demand) of securities among broker-dealers...

...trades. The government may extend the act to any exchange-like institution, such as telephonic **trading** systems, or may exempt exchanges or exchange-like institutions if the purposes of the act...

...persons who render investment services for third parties, but such an investment firm's proprietary **trading** is also included in the Directive's definition of investment services. The SEA does not...

...investment firms or distributors who underwrite and/or place issues.
    The SEA will apply to **all** broker- **dealers** , irrespective whether they have direct access to a stock exchange or whether they act only...

...of foreign exchanges without registered offices or physical installations in Switzerland (such as foreign electronic **trading** systems). It is thus the intention to regulate cross-border **trading** , which will necessitate co-operation with foreign supervisory authorities.
    According to the principle of self...submitted to the FBC for approval. The SEA allows the exchanges to choose freely their **trading** system and only requires that it be transparent and efficient. It imposes a number of...

...no provision requiring officers and directors of listed companies to disclose periodically their holdings and **trading** irrespective of those thresholds (compare US Securities Exchange Act, 1934).
    TAKE OVER REGULATIONS
    General
    In...an independent Takeover Review Commission.
    The take-over provisions of section 5 generally apply to **all** public tender **offers** (takeover bids) for securities of Swiss companies (target companies) the securities of which are at...

...Proposal, the SEA does not apply the requirement to other holders of securities of the **bidding** company.
    Obligation to make a bid
    Whoever acquires, directly, indirectly or in concert with others...
...upon any acquisition of additional shares, his holdings exceed the 50% threshold (art 51). The **bidding** price must be equivalent to the price quoted at the exchange and may not be...

...grant additional exemptions. The voting rights of a person who violates the provision on compulsory **bidding** may be suspended temporarily by court decision upon request by the FBC, the target or...

 22/3,K/16   (Item 13 from file: 268)
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00243703   (USE FORMAT 7 OR 9 FOR FULLTEXT)
Why are we compromising credit standards again?
Nadler, Paul S
Commercial Lending Review, v9, n3, p57-62, Summer 1994   DOCUMENT TYPE:

Journal Article LANGUAGE: English RECORD TYPE: Abstract Fulltext
WORD COUNT: 02296

(USE FORMAT 7 OR 9 FOR FULLTEXT) .
...   January 19, 1994), "Credit demand has been slack. During the buying
binge of the 19808, **all** **players** --governments, businesses,
households--simply acquired too much stuff. It takes time for cars,
clothes, machinery...or we'd part ways," says Coulter. "BankAmerica offers
70 different products and services, virtually **all** of which **offer** far
higher profit margins than loans do."
      Frederick W. Zuckerman, treasurer of IBM, which recently...the
business. But being a smaller bank is far better than being a defunct bank.
 Matching the terms of competitors may not be the best course, even if it
means loss...

 22/3,K/17   (Item 14 from file: 268)
DIALOG(R)File 268:Banking Info Source
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00028874
Stock specialists often keep best quotes to themselves
Steiner, Robert; Salwen, Kevin G.
Wall Street Journal, pC1, May 8, 1992 LANGUAGE: English RECORD TYPE:
Abstract

...ABSTRACT: were not shown on the consolidated tape. The NYSE
specialists are not required to announce **all** the **offers** . **Traders** on
the floor can learn of **all** the **offers** , but those relying on the tape
cannot. The specialists may chose not to announce a...

...a small quantity. The Securities & Exchange Commission is currently
studying the relationships among various stock **trading** venues.

 22/3,K/18   (Item 1 from file: 626)
DIALOG(R)File 626:Bond Buyer Full Text
(c) 2001 Bond Buyer. All rts. reserv.

0219677
Swamped in the Secondary: Heavy Volume Overwhelms MiniAuction
The Bond Buyer   - December 16, 1999; Pg. 1; Vol. 330, No. 30789
Word Count: 695

BYLINE:
By David Hoffman

TEXT:
...handle the crush of
bids that came in at the end of the half-hour **auction** , said company
president Myles Harrington. He said computer problems may have derailed as
many as...

...interest vindicated MuniAuction's
belief that there is sufficient demand for an electronic secondary market
 trading platform.

      "Now **all** of a sudden there's a **whole** lot more **players** than there
ever
were before," Harrington said. " Investors can see what's'for sale, and...
...beta test," and said the firm would upgrade
its equipment and sponsor another secondary market **auction** next month.

December 11, 2001 19 11:57

In the meantime, however, at least one potential competitor sought to capitalize on...

...MunicipalTrade.com yesterday circulated an announcement of its plan to create an Internet secondary-market **trading** platform during the first quarter of 2000.

Nine separate sellers submitted 23 blocks of bonds to be sold in yesterday's **auction** , Harrington said. The bonds that sold were mostly straightforward triple-A rated paper in blocks...

...the securities, Harrington said.

MuniAuction had a total of 125 bidders take part in the **bidding** -- the most it has ever had for an electronic sale, Harrington said. About two-thirds...

...bidders or sellers.
In addition to complaints about the MuniAuction system's failure to accept **all** of the **bids** entered through its Web site, potential buyers said they were upset their efforts to place...

...Henry Fisher, president of Pittsburgh-based Commonwealth Securities and Investments Inc., said he believed electronic **bidding** was still the wave of the future. Fisher said his firm didn't place any...

...the bonds were unsuccessful. The broker, he said, bid with 10 minutes left in the **auction** , but failed to buy any bonds because the server broke down. The broker tried to...

 22/3,K/19   (Item 2 from file: 626)
DIALOG(R)File 626:Bond Buyer Full Text
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0209681
Electronic Bidding : The Smoke Has Yet to Clear as Three Platforms Battle It Out
The Bond Buyer - February 3, 1999; Pg. 30; Vol. 327, No. 30571
Word Count: 1,115

BYLINE:
By Ivan Cintron

Electronic Bidding : The Smoke Has Yet to Clear as Three Platforms Battle It Out

TEXT:
Since September, when Thomson Municipal Group's Dalcomp debuted its PARITY electronic **bidding** platform, the sector's competitive landscape has appeared to remain relatively unchanged.

Although the three major **bidding** platforms - PARITY, Bloomberg LP, and MuniAuction Inc.'s Web site - have worked hard to be...
...bids, no undisputed leader has emerged.

On a few offerings, one of the three electronic **bidding** services has

been the sole vendor. But several municipalities are choosing to use at
least...

...market familiarity with the
Bloomberg and PARITY systems as reasons for using them in electronic
 bidding . Others, such as the state of Wisconsin, have a more inclusive
process, throwing open the **bidding** to **all** three **vendors** in an effort
to
give smaller underwriters a crack at larger deals.

    The largest recent issuer to go the electronic **bidding** route was the
Los Angeles County Metropolitan Transportation Authority. The agency
offered $124.9 million...

...Bloomberg and PARITY is
the MTA's preference to engage in an all-or-none **bidding** process. When it
debuted, MuniAuction was at the center of a debate in the market over
 bidding practices, because it allowed underwriters to bid for individual
maturities, as well as for the...
...deal.

    Myles Harrington, president of MuniAuction, is known as a proponent of
maturity-by-maturity **bidding** , but his system does allow **all** -or-none
 bids .
Still, Matsumoto wanted to stick with the tried-and-true all-or-none method
because...

...price Feb. 17.

    Meanwhile, New Jersey has taken a so-far unique approach to electronic
 bidding . It is alternating between using PARITY and Bloomberg, according
to
Jim Poole, acting director in the state office of public finance.

    Poole said PARITY would be the sole **bidding** platform for an upcoming
$375 million New Jersey GO deal. In late February, Bloomberg will be the
sole electronic **bidding** service for a $130 million New Jersey Sports &
Exposition Authority offering, he said.

    Like Matsumoto...
...it's
going to take to get to where it's supposed to be."

    And **all** three **vendors** will be transmitting electronic bids for a
trio
of upcoming Wisconsin deals, a state known...

...10.

    In spite of the competition and the small number of issuers opting for
electronic **bidding** , officials at the three vendors are heartened by the
growing number of municipalities embracing the...

...by little, issuers and
(financial advisers) are becoming more comfortable with the process of
electronic **bidding** ," said Cheryl Horowitz, senior vice president of sales
and marketing with Thomson Municipal Group.

    Horowitz...

 22/3,K/20   (Item 3 from file: 626)
DIALOG(R)File 626:Bond Buyer Full Text

                    December 11, 2001 21 11:57

0208826
3 Electronic Bidding Vendors Make Pitch for Maryland Deal
The Bond Buyer - January 6, 1999; Pg. 1; Vol. 327, No. 30552
Word Count: 636

BYLINE:
By Robert Whalen

3 Electronic Bidding Vendors Make Pitch for Maryland Deal

TEXT:
While many of the questions and concerns regarding electronic **bidding**
on competitive municipal bond deals have been answered, issuers
contemplating the process for the first...

...provided contact information about Cheryl
Horowitz and David Landes, who developed and launched the PARITY **bidding**
software about four years ago, but did not list other staff members.

    Thomson cites Landes...
...distribution of underwriting documents such as
notices of sale and preliminary official statements. In fact, **all** three
 vendors **offer** to post such documents on their respective systems.

    Interestingly, Bloomberg claims that its top benefit...

...months. Harrington also contends that his Internet-based system - and
specifically the maturity-by-maturity **bidding** option MuniAuction offers
exclusively - will save issuers money by enhanced competition.

    Maturity **bidding** allows underwriters to bid on selected pieces of the
issue as opposed to the traditional...

...would not be able to verify that potential bidders had secured
financial surety bonds - and **all** **vendors** agreed to make themselves
available on a non-exclusive basis.

    Both Bloomberg and Thomson offer...

 22/3,K/21   **(Item 4 from file: 626)**
DIALOG(R)File 626:Bond Buyer Full Text

0185067
Better Interest Rates for Issuers
The Bond Buyer - December 31, 1996; Pg. 38; Vol. 318, No. 30053
Word Count: 361

TEXT:
Electronic **bidding** by underwriters for competitive bond issues is not
new, but an element added to the...

... designed to let broker-dealers bid on parts of a bond issue rather than
the
 whole thing. **Dealers** could **bid** on just the maturities their clients
are
seeking, or even just a single maturity.

    The...

...bankrolled firms.

Larger Wall Street firms have in the past opposed this system of competitive **bidding** . In fact, they sat out last December's New Hampshire $35 million general obligation bond...

22/3,K/22 **(Item 5 from file: 626)**
DIALOG(R)File 626:Bond Buyer Full Text
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0132790
First Leg of Refunding Goes Well, Boosting 30-Year Bond 1/4 Point
The Bond Buyer - May 6, 1992; Pg. 3(659); Vol. 300, No. 28895
Word Count: 967

BYLINE:
By Susan Kelly

TEXT:
...up 3/16 to yield 8%.

The $15.02 billion of three-year notes were **auctioned** at an average rate of 5.96% and will bear a 5 7/8% coupon...

...small investors, totaled
$1.007 billion, up from $839 million in February. The ratio of **total bids**
to the size of the issue was 2.49-to-1, up from 1.96-to-1 in February.

Traders said the **auction** statistics matched market expectations. Once the **auction** results were announced, prices began to improve as buyers showed up at the short end, they said.

A note trader speculated retail investors who were wary of a bad **auction** had decided to buy after seeing the results. But a bill trader said the buying...

...had risen
sufficiently to push the yield down to 5.91% from the 5.96% **auction** average.

Even though the first leg of the quarterly refunding went well, traders and analysts...

...likely to present problems for the market.

"The fact we got an improvement in post- **auction** **trading** today has injected a dose of confidence into the market going into the 10-year...

...trickiest maturity of the
three."

"The specter of Friday's employment report will weigh on **bidding** for the 10s and 30s," Mr. Karydakis added.

The April employment data, which usually set...

...market's direction
for the month, will not be released until Friday, after the refunding **auctions** are **complete** . Many **traders** say retail investors will stay on the
sidelines at today and tomorrow's **auctions** , leaving the Street to hold

the
10-year and 30-year notes going into the...

...77%,
and the year bill two basis points lower at 4.06%.

    In when-issued **trading** , the 10-year note to be sold today was bid at
7.51%, down from...

 22/3,K/23   (Item 6 from file: 626)
DIALOG(R)File 626:Bond Buyer Full Text
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0127085
Did Primary Dealers Shun Note Auction **To Protest the New Treasury Bid**
     Rules?
MuniWeek - November 18, 1991; Pg. 34; Vol. 3, No. 47
Word Count: 316

BYLINE:
By Stephen A. Davies and Susan Kelly

Did Primary Dealers Shun Note Auction **To Protest the New Treasury Bid**
     Rules?

TEXT:
...to find out if
primary dealers on Wall Street colluded to protest the new Treasury
 bidding rules by shunning the three-year note **auction** two weeks ago.

    The House Energy and Commerce Subcommittee on Telecommunications and
Finance, chaired by Rep. Edward Markey, D-Mass., has sent letters to **all**
39 **dealers** asking them to detail their **bidding** strategy and related
activities in connection with the sale. Responses to the panel were due
Friday.

    The three-year **auction** held Nov. 5 went badly, rattling the bond
market. Treasury got only $21.7 billion of bids for the $14 billion
offering, the lowest **total** volume in **bids** for a three-year note
 auction in
13 years. The average yield came out at 6.00%, which was higher than...

...well reflect some type of
'protest' by primary dealers against the Treasury Department's new **auction**
rules," Mr. Markey said in his letter to the dealers.

    The Massachusetts Democrat asked each dealer to state the size of the
firm's bid for the **auction** and to compare that with the firm's average
bid
over the last year in Treasury **auctions** , and with the firm's "market
share
in the government securities market."

    Each dealer was...

...whether the bid was in
any way "a protest, coordinated or otherwise," against the new **auction**
rules.

    Dealers were asked to discuss all contacts with Treasury over the new
 auction procedures.

December 11, 2001 24 11:57

The Treasury adopted the rules after the Salomon Brothers Inc. **bidding** scandal erupted and brought losses to several dealers and complaints in Congress that the old...

...Markey's request, but denied that any collusion or protest had occurred at the note **auction** .

22/3,K/24   (Item 7 from file: 626)
DIALOG(R)File 626:Bond Buyer Full Text
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0127042
Did Primary Dealers Shun Note Auction **To Protest the New Treasury Bid Rules?**
The Bond Buyer   - November 15, 1991; Pg. 1(929); Vol. 298, No. 28777
Word Count: 678

BYLINE:
By Stephen A. Davies and Susan Kelly

Did Primary Dealers Shun Note Auction **To Protest the New Treasury Bid Rules?**

TEXT:
...to find out if primary dealers on Wall Street colluded to protest the new Treasury **bidding** rules by shunning last week's three-year note **auction** .

The House Energy and Commerce Subcommittee on Telecommunications and Finance, chaired by Rep. Edward Markey, D-Mass., has sent letters to **all** 39 **dealers** asking them to detail their **bidding** strategy and related activities in connection with the sale. Responses to the panel are due today.

_ _ The three-year _ **auction** held Nov. 5 went badly, rattling the bond market. Treasury got only $21.7 billion of bids for the $14 billion offering, the lowest **total** volume in **bids** for a three-year note **auction** in 13 years. The average yield came out at 6.00%, which was higher than...

...well reflect some type of 'protest' by primary dealers against the Treasury Department's new **auction** rules," Mr. Markey said in his letter to the dealers.

The Massachusetts Democrat asked each dealer to state the size of the firm's bid for the **auction** and to compare that with the firm's average bid over the last year in Treasury **auctions** , and with the firm's "market share in the government securities market."

Each dealer was...

...whether the bid was in any way "a protest, coordinated or otherwise," against the new **auction** rules.

Dealer were asked to summarize any contacts between employees of the firm with other...

...In addition, the dealers were asked to summarize all contacts with Treasury over the new **auction** procedures.

December 11, 2001 25 11:57

Treasury adopted the new rules after the Salomon Brothers Inc. **bidding** scandal erupted and brought losses to several dealers and complaints in Congress that the old...

...Markey's request, but denied that any collusion or protest had occurred at the note **auction** .

An officer of one primary dealership questioned why Rep. Markey bothered asking dealers.

"The government...
...Tenders are the formal bids for Treasury securities dealers submit to the Federal Reserve at **auctions** .

The officer said it was natural that participants bid cautiously as they tried to assess...

...the rule changes.

On Oct. 25, the Treasury said starting with the three-year sale **all** broker- **dealers** registered with the Securities and Exchange Commission could submit bids for customers at Treasury **auctions** . Previously only primary dealers and depository institutions could do so.

The Treasury also increased the...

...before the three-year sale, it would wait until the week's cycle of refunding **auctions** was over. Instead, the Fed surprised everyone by easing the discount rate and the funds rate the day following the three-year **auction**

.

"There is a third factor, which is that dealers are not talking to each other...

...between dealers increases the uncertainty factor and makes it more difficult to be precise" in **bidding** at **auctions**

.

Mr. Swanborn said his firm felt the rule changes ultimately will benefit the Treasury market.

22/3,K/25   (Item 8 from file: 626)
DIALOG(R)File 626:Bond Buyer Full Text
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0125651
Insurers Approach Technology Creatively To Enhance Both New and Old Businesses
The Bond Buyer   - October 7, 1991; Pg. 6A; Vol. 298, No. 28748
Word Count: 3,277

BYLINE:
By NICHOLAS BOYLE

TEXT:
...The bond insurer deposits a surety bond with the trustee, guaranteeing the issuer funds from **all** **dealers** and underwriters who want to participate.
Behold the Fax

December 11, 2001 26 11:57

As more underwriters sign on by...

...agreement with the bidder, the bond insurer sends out a
weekly calendar of issues with **all** the Sure- **Bid** qualified deals.
Bidders
are also supplied with a prepared request form.
    "All they have to...agreements, ensuring both safety and
liquidity.

    The technology employed is the most up-to-date **trading** screens; the
strategy is simply "plain, simple hard work," said Tom O'Connor, managing
partner...investment loss, either we have to cut services or
raise taxes," she added.

    Beyond the **matching** of up-to-date **trading** techniques with less
sophisticated municipalities, CLASS needed creative legislative thinking
to get off the ground...

...local laws is that the new "cooperative"
must sign off on Gabelli O'Connor's **trading** decisions. This, too, has
been
smoothed out through appointing a representative of the communities' board
...

 22/3,K/26   (Item 9 from file: 626)
DIALOG(R)File 626:Bond Buyer Full Text
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0065830
Hopes for Further Oil Price Drops, Good Inflation News Extend Rally
The Bond Buyer - March 26, 1986; Pg. 3(1215); Vol. 275, No. 27349
Word Count: 854

BYLINE:
By John J. Duffy

TEXT:
...Long-term U.S. government issues wound up about 3/8 point higher after a
 trading session that traders said was brisk in the morning but lackluster
in
the afternoon. Dealers...

...8.43% at the previous four-year sale in December and the lowest on such
 auctions since a 6.84% yield on Sept. 7, 1977.

    Despite the sharply lower yield, investors bid strongly for the new
notes.
Total bids amounted to $26.75 billion, or 3.82 times the amount of
securities
awarded by the Treasury. **Bidding** also was very aggressive, as the
Treasury was
able to sell all of the securities...

...of 7.29%.

    Late in the day, the new issue was quoted in when-issued **trading** at
7.26%.
In advance of today's **auction** of $6.5 billion seven-year notes, that
issue was
quoted yesterday at 7.52...

...on the economy - such as yesterday's consumer price index and
durable goods numbers - are **all** but overlooked by **traders** .

"People have not been **trading** on economic data," said Wayne Wong, a
managing director and bond portfolio manager at the...

...for the
few noncallable corporates available and for U.S. Treasury issues. In
secondary market **trading** , while all current-coupon Treasury issues have
soared
to substantial premiums above par in response...

22/3,K/27   **(Item 10 from file: 626)**
DIALOG(R)File 626:Bond Buyer Full Text
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0065675
Bonds Decline Slightly as Investors Await Clues to Oil Prices, Economy
The Bond Buyer - March 20, 1986; Pg. 3(1115); Vol. 275, No. 27345
Word Count: 648

BYLINE:
By John J. Duffy

TEXT:
U.S. Treasury bond prices slumped in light **trading** yesterday as many
dealers and investors moved to the sidelines to await fresh clues to...

...and personal
consumption spending for February. Analysts are predicting increases of
roughly 0.4% for **each** indicator.

    Government securities **dealers** said investors showed little initial
interest yesterday in the $13.5 billion end-of-quarter...

...next Tuesday and $6.5 billion of seven-year notes next
Thursday. In when-issued **trading** late yesterday, the four-year issue was
quoted at 7.36% while the seven-year issue was quoted at 7.67% - both up
slightly for the day.

    The Treasury yesterday **auctioned** $9.5 billion of two-year notes at
the
lowest yield in more than eight...

... lowest on such issues since November 1977. Investor interest in the
issue was
moderate, with **total** **bids** amounting to $21.8 billion.

    Dealers said that much of the downward pressure on bond...

...from very heavy selling by Japanese investors in Tokyo before the
start of New York **trading** . Much of the selling was reported to be from
Japanese securities firms and banks attempting...

...the federal
funds rate. Funds slipped to a low of 7 1/4% yesterday, after **trading** at
an
average of 7.55% on Tuesday.
    In the Treasury bill market yesterday, traders...

22/3,K/28   **(Item 11 from file: 626)**

December 11, 2001 28 11:57

0060004
Tax Bill Leads Banks To Bid for Municipals At Taxable Note Rates
The Bond Buyer   - January 16, 1986, Thursday; Pg. 1
Word Count: 841

BYLINE:
By Joe Mysak

TEXT:
... it can sell easily and will have to position in its portfolio, we've
been **bidding** a corporate rate, something approaching the federal funds
rate," said Howard J. Salend, vice president...

... Investment Bank and chairman of the Public Securities Association's
municipal securities division, said the **bidding** was "indicative of the
mess Washington has made of the market."

   "A lot of people...

... would end their deductions for costs incurred to purchase or carry
tax-exempt bonds.
   Not **all** the banks who **bid** the premium rates have been successful,
and many bankers who said they recently bid at a corporate rate found they
were losing business to other dealers who were **bidding** at lower rates.
Several bankers said they were willing to bid at lower rates "and...

... have been sweeping the market that certain large banks or investment
banking firms were not **bidding** at all on new issues, or **bidding**
 selectively because of inadequate disclosure by issuers.

   Richard A. Schober, head of the public finance...

...of the uncertainties surrounding the tax bill.

   Jon L. Van Valkenburg, senior vice president of **trading** and
underwriting at Norwest Investment Services Inc. in Minneapolis, said
Norwest bid for several note...

... counsel, we no longer arbitrarily bid at the higher rate, or just not
bid at **all** , as some **dealers** have apparently decided," Mr. Van
Valkenburg said. "If we feel notes will meet the existing...

 22/3,K/29   (Item 12 from file: 626)

0049076
Investor Demand for New Notes Fails to Stabilize Interest Rates
The Bond Buyer   - March 28, 1985, Thursday; Pg. 3
Word Count: 619

BYLINE:
By John J. Duffy

TEXT:
... government securities dealers reacted cautiously to a new Treasury
7-year note offering. In late **trading** , most short-term rates were slighly
lower for the day while yields for most long-term bonds were higher.

   The government's **auction** of $5.75 billion 7-year notes, due April 15, 1992, produced an average yield...

...was up from a 11.67% average at the Treasury's previous 7-year note **auction** in early January, and slightly higher than where some traders were estimating the issue might...

... moderately good, but not quite as strong as for Tuesday's 4-year note issue. **Total** **bids** submitted amounted to $16 billion, up from 12.13 billion in January. In late **trading** yesterday, the notes improved enough in price to reduce their yield to 11.82%.

   Yesterday's **auction** was the second leg of the $16.25 billion three-part financing package that the...

... 25 billion 20-year, 1-month bonds. That issue was being quoted in when-issued **trading** at a yield of 12.08%, up from 12.06% a day earlier.

   Traders said...

...on six-month bills were bid at 8.76%, down from 8.82% in late **trading** Tuesday.

   Short-term rates also reacted positively yesterday to comments from Federal Reserve Board Governor...

... an increase of roughly $500 million. Although such an increase would leave the M1 monetary **aggregate** far above target, **dealers** said it would add credence to the view that its growth rate is slowing.
   Treasury...

 22/3,K/30   (Item 1 from file: 267)
DIALOG(R)File 267:Finance & Banking Newsletters
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04562111
 Special Feature - Nasdaq's Supermontage Joins the Great Game: No longer just a scorekeeper, a transformed Nasdaq could be a star player in theSuper Bowl of trading
Peter Chapman
Traders
February 1,2000   DOCUMENT TYPE: NEWSLETTER
PUBLISHER: SECURITIES DATA PUBLISHING
LANGUAGE: ENGLISH   WORD COUNT: 2293   RECORD TYPE: FULLTEXT

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... **just a scorekeeper, a transformed Nasdaq could be a star player in theSuper Bowl of** trading

...communications network (ECN). Nasdaq will cease to be a mere facilitator of dealer-to-dealer **trading** and become an execution mechanism in its own right.
   Currently, Nasdaq operates mostly on the...

TEXT:
...communications network (ECN). Nasdaq will cease to be a mere facilitator of dealer-to-dealer **trading** and become an execution mechanism in its own right.
   Currently, Nasdaq operates mostly on the...

...maker or ECN."

At the heart of the Nasdaq proposal is an ECN-like order **matching** mechanism called the "Order Collector Facility." The OCF will do two things. Firstly, it will...

...another. Now, Nasdaq is in the middle. And unlike the present machine gun method of **trading** whereby orders randomly "sweep the Street," all orders will be matched on the basis of...

...ability of market makers to display agency and anonymous orders.
   Much of Nasdaq's new **trading** philosophy can be attributed to its adoption in 1997 of the SEC's Order Handling...not want their quotes to compete with those of "outsiders" for order flow on a **trading** system sanctioned by Nasdaq.
   Nasdaq has apparently shelved this plan to install a CLOB alongside...
...principal at Bernard L. Madoff Investment Securities and head of the Security Industry Association's **Trading** Committee. "It gives you the convenience and efficiency of executing orders by sending them to...

...market participants is created or even perceived."
   As a practical matter, the essence of Nasdaq **trading** will not change much, say market makers. Dealers and ECNs will still trade with dealers... automatically against a central limit order file operated by Nasdaq. You can take out the **entire** **bid** . It will be easier and faster."
   Nasdaq decided to limit the montage display to three...This feature would put Nasdaq in direct competition with ECNs that specialize in anonymously representing **trading** interest[s]," Smith protested.
   ECNs also accuse Nasdaq of attempting to relegate them to the...

...said.
   Still, Brooks is optimistic the supermontage will help find liquidity spread out over several **trading** venues. Finding these pools of liquidity is an ongoing problem for institutional traders.
   Small Step at the firm's **trading** desk. Bokach wants to see all orders enter into the new system so he will...

...Book
   Whether for or against the supermontage, many traders are still leery of a solitary **trading** site for Nasdaq stocks. American Century advised the SEC in its comment letter to see...

...The STA chairman King notes that the system is voluntary. "They can't create a **complete** monopoly," he said. " **Traders** are free to use other exchanges like Island if it achieves exchange status."
   Aldo Parcesepe...

...COMPANY NAMES (DIALOG GENERATED): Stock Exchange ; ECNs ; Knight/Trimark Group ; Robinson Humphrey Company ; Security Industry Association ; Security Traders Association ; **Trading** Committee ; UTP

 22/3,K/31   (Item 2 from file: 267)
DIALOG(R)File 267:Finance & Banking Newsletters
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04547167
 SWAPS, AT&T super jumbo opens the floodgates as issuers return to dollar market in force
Euroweek
March 26, 1999   PAGE: 067 DOCUMENT TYPE: NEWSLETTER
PUBLISHER: EUROMONEY ELECTRONIC PUBLICATIONS
LANGUAGE: ENGLISH   WORD COUNT: 1518   RECORD TYPE: FULLTEXT

TEXT:
...swap spreads were offered
at 80bp once more - at the top end of their recent **trading** range.
The mid-market of five year swaps was about 65bp, while three
years was...

...against Serbian armed forces firmed up the bid once more.

The 10 year Treasury was **trading** at 3.5% in overnight repo at the
beginning of the week, said swap dealers...
...swap into floating at Libor plus 26-29bp, or
somewhere in the 30s over Libor **all** -in.

Once again, **dealers** thought it likely that Citi had swapped the
proceeds into floating in-house, but a...dealers thought it very likely
that the bonds
had been swapped. "The market has been **trading** like it was
swapped. The two year has continued to soften up," said a New...for the
borrower. The bonds yield 12bp to the 6% 2006 Bund, or 17bp over
all -in.

With swap **bids** around 30bp, this suggests about Euribor less one
eighth. The spokesman declined to confirm the...

 22/3,K/32   (Item 3 from file: 267)
DIALOG(R)File 267:Finance & Banking Newsletters
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 Macho Men
Investment Dealers' Digest
June 16, 1997 VOL: 63 ISSUE: 24 DOCUMENT TYPE: NEWSLETTER
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Block **trading** has never been bigger, or scarier, as insiders acknowledge
that some firm may well become...

TEXT:
Block **trading** has never been bigger, or scarier, as insiders acknowledge
that some firm may well become "the last fool"
        by Gregg Wirth
        It is early afternoon, May 21, and a **bidding** drama is rapidly reaching a
conclusion on syndicate desks at a handful of Wall Street's biggest
trading firms. Hours earlier, **each** submitted a **bid** to win the right to
execute one of the biggest block trades of recent years...

...what has become the most macho game on Wall Street.
        At the desk of one **trading** chief (who insists on anonymity for himself
and his firm), the atmosphere is loaded with...

...Sachs has a lot to brag about these days in the insular world of block
trading . Just one week prior to the USAir deal, it nailed the biggest
block trade of...

...Petroleum Co. stock for the government of Kuwait. That deal, in one
stroke, pushed block **trading** into the limelight once again, raised the
stakes for everyone, and underlined just how risky...
...s courage to do blocks," explains David Rogers, a managing director and

                    December 11, 2001 32 11:57

head of equities **trading** at Goldman Sachs. "You will definitely see some more of these."

Though Goldman and Salomon...market conditions and capital inflow levels are ideal for big block deals. And, because block **trading** can be the most efficient, speedy, and lucrative method of transferring ownership of stock for...

...enormous amounts of risk," says Michael Clark, managing director and head of U.S. equity **trading** at Credit Suisse First Boston. "You better be right eight out of ten times, because...

...just better not be wrong."

Because the margin for error is so wafer-thin, virtually **all** block **traders** concede that it is but a matter of time before a **trading** firm either misjudges the institutional appetite for a stock, or picks a day when the...

...become less prevalent or disappear.

How does it work?

On the face of it, block **trading** seems relatively easy. An investment bank buys a block of stock from ...trade can raise havoc with a company's shares, especially if it occurs during the **trading** day (most big blocks are executed after hours). That's what happened with the USAir...

...trade swamped its shares, dropping them more than 10% in the first few hours of **trading** . Although Goldman will not ...USAir shares at $34.50, a discount to the $37 range in which USAir was **trading** when the block was purchased. The resale price is unknown, but many observers speculate that ...

...nature of the underlying stock," says Tim Heekin, managing director and head of global cash **trading** at Salomon. "In [the case of] USAir, the airline industry has been tricky and volatile...

...of the block compared to the company's overall public float, and the amount of **trading** a stock normally does.

"There is actually more risk in a $100 million block trade...

...of the day, it's about risk tolerance-that's the true definition of block **trading** ."

Some players believe that block **trading** is slowly assuming a new role: permanent fixture in the markets. "Like universal shelf registrations in debt **trading** , block **trading** may become a permanent part of the equity landscape," says Solly's Fitzgerald. That may...

...for investors and issuers, but a mixed blessing for investment banks, which fret that block **trading** could cannibalize their more lucrative underwriting roles.

In Salomon's $250 million block trade in...

...a more traditional equity offering.

"It already is happening," says Louis Bellucci, head of block **trading** at Jefferies & Co. "Some deals are roadshowed, but don't make it to the finish ...large third-party deals are usually done by people who have a keen interest in **trading** quickly," says Rogers. "It's the Carl Icahns selling RJ Reynolds, or British Airways selling...

...be done with it."

A history built on contradiction

Though most observers contend that block **trading** , in some form, has always existed alongside traditional equity **trading** , the size and volume of block trades have always been tied to the strength of...

...into mutual funds and other institutional buyers inflate assets under management, the buyers' desire to **buy** and **sell** larger portions of their portfolios grows. However, because the liquidity of the secondary market isn...

...by Goldman, Salomon, and-to a lesser extent-Shearson Lehman Brothers and Merrill Lynch, block **trading** began increasingly in the 1960s and 1970s to provide liquidity to mutual funds and other...

...s Gus Levy and Bob Mnuchin, regarded even by rivals as the fathers of block **trading** , created and refined a system in which the investment bank would buy the blocks of...

...block traders Jay Perry and, later, Stanley Shopkorn. Under Shopkorn, Solly grew to dominate block **trading** , several sources explain. "Shopkorn had this tactic of accumulating big blocks of stock, shelving them ground to Goldman on the block **trading** circuit, several industry insiders say. Indeed, of the big megablock trades of this past year...

...Reportedly, the shake-up was at least partially caused by the firm's lackluster block **trading** year. Both Heekin and Fitzgerald deny this.]
By the late 1970s and early 1980s, derivative **trading** entered the picture. That created another need for block **trading** : moving the large underlying stock holdings used by hedge funds and institutions for indexes, options...

...and equity options all expire on the same day-often see a surge in block **trading** .
"Prior to these dates, [there is] a huge volume of stock trades as people unwind their positions, **trading** the stock underlying the options or indexes," explains Doug Myers, head of block **trading** at Interstate/Johnson Lane.
However, the most attention-getting and risky of the block **trading** gambits-the third-party sales-are also the most cyclical. In times of a rabid...

...Icahn, were done before 1997.
Slippery stats
There are a variety of definitions of block **trading** , and diverse opinions on what size and risk parameters actually constitute a block-trade transaction (see sidebar).
That makes it difficult to gather meaningful data on how much block **trading** is done on exchanges, and by which investment banks. Using its own definitions, the New...

...for 1996-or an average of more than 45,000 block trades per week.
Block **trading** has been growing on the NYSE every year since 1990, when there were 843,365...

...1990-1996 period, block trades grew in number by about 178%. As a percent of **trading** volume, block **trading** has grown to 55.9% in 1996 from 49.6% in 1990.
At the Nasdaq, meanwhile, block **trading** volume nearly tripled in the 1990-1996 period. In 1996 alone, the Nasdaq featured 2...

...Merrill's Time Warner trade, for instance. Indeed, an Autex listing of the top block **trading** firms for this year places Salomon and Goldman in fifth and sixth place, respectively.
Both firms strongly dispute the rankings.
There are other statistical headaches. Because block **trading** is used to describe such a wide variety of transactions, it's hard to get "the right benchmark of how much block **trading** accounts for," says one trader. And while the blockbuster trades get the headlines, they represent only a

relatively small percentage of overall block **trading** volume-much of which is done in the form of agency **trading** , or providing liquidity for mutual funds' portfolio restructuring-often called facilitation **trading** .
Looking ahead
Goldman's Silfen contends-though others are less supportive of the theory-that globalization of the equity markets is and will continue to be a key to block **trading** .
"Globalization is the big story," Silfen says. "Buyers and sellers are coming from the U.K., Europe, Asia, Japan and Latin America. The business is not just **matching** up American buyers and sellers anymore." The BP block trade, for example, was sold in...

...assets under management industry-wide has quintupled, generating the need for a tremendous amount of **trading** volume," says CSFB's Clark. "Block **trading** is much more important now."
Where does it go from here? According to many insiders...

...depend on the market. If capital inflows remain high and keep driving investor appetite, block **trading** -including the highly risky third-party **trading** -will remain a fixture on the equity scene.
If that environment changes, sources predict, the...
?

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00830745
MAPP'S INTERNET TRADING SYSTEM AIMS TO INCLUDE TRANSMISSION & POWER DEALS
Power Markets Week January 20, 1997; Pg 5; Vol. 24, No. 3
Journal Code: PMW   ISSN: 1078-9820
Section Heading: MARKETPLACE
Word Count: 653   *Full text available in Formats 5, 7 and 9*

TEXT:
... optimal buyers and sellers. It then registers all transactions created
in the matching process.
    The ``auction market'' is for sellers willing to spend the time to
extract a higher price for their energy products. The bids are visible to
all   traders who may subsequently place counteroffers. The **auctioneer**
can **view** the bids as they come in, selecting the best one or ignoring
them all.
    All...

 2/3,K/4   (Item 1 from file: 757)
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00443098 698125849 (USE FORMAT 7 FOR FULLTEXT)
Survivor Nick wins.
South Wales Echo
Wednesday, August 29, 2001
JOURNAL CODE: MSW LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT
DOCUMENT TYPE: NEWSPAPER
WORD COUNT: 147

...Mike Laird, from TV's Castaway, who successfully bid for a
sofa provided by Furnitureland.

 All   traders on the retail park donated prizes for the **auction** ,
including a
microwave, **shower** unit and pet hampers.

Paul Clargo, general branch manager of Furnitureland, said:

"Everyone got involved...
?

Set	Items	Description
S1	482193	(STACK? OR AGGREGAT? OR BULK? OR COMBIN? OR MULTIPL?)(2N)(-BID? ? OR BIDD? OR OFFER? OR TRADE? OR TRADING? OR ORDER? OR - BUY? OR BOUGHT OR DEAL? OR TRANSACT? OR EXCHANG? OR SELL? OR - SOLD OR SALE? OR PURCHAS?)
S2	13696	S1(2N)(VOLUM? OR LEVEL? OR AMOUNT? OR QUANTIT? OR MEASUR? - OR RANG? OR DEGREE? OR MAGNITUD?)
S3	240	S2(5N)(PICTOR? OR PICTUR? OR TOKEN? OR ILLUSTRA? OR REPRES-ENT? OR DEPICT? OR PORTRAY? OR SYMBOLI? OR EXPRESS? OR TABLE? OR TABULAR? OR VISUAL? OR MNEMONIC? OR GLYPH?)
S4	254	S2(5N)(AXIS OR ICON? OR IKON? OR GRAPHIC? OR CHART? OR DIA-GRAM? OR DRAW? OR PICTOR? OR PICTUR? OR TOKEN? OR ILLUSTRA? OR REPRESENT? OR DEPICT? OR PORTRAY? OR SYMBOLI? OR TABLE? OR T-ABULAR? OR VISUAL?)
S5	0	(S3 OR S4)(10N)(GUI OR GUIS OR (GRAPHICAL()USER OR MENU?()-DRIVEN)()INTERFACE?)
S6	0	(S3 OR S4)(S)(GUI OR GUIS OR (GRAPHICAL()USER OR MENU?()DR-IVEN)()INTERFACE?)
S7	2	(S3 OR S4) AND (GUI OR GUIS OR ((GRAPHICAL()USER OR MENU?(-)DRIVEN)()INTERFACE?))

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S1      480542   (STACK? OR AGGREGAT? OR BULK? OR COMBIN? OR MULTIPL?)(2N)(-
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                 BUY? OR BOUGHT OR DEAL? OR TRANSACT? OR EXCHANG? OR SELL? OR -
                 SOLD OR SALE? OR PURCHAS?)
S2       13665   S1(2N)(VOLUM? OR LEVEL? OR AMOUNT? OR QUANTIT? OR MEASUR? -
                 OR RANG? OR DEGREE? OR MAGNITUD?)
S3          10   S2(S)(GUI OR GUIS OR ((GRAPHICAL()USER OR MENU?() DRIVEN)(-
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S4           6   RD (unique items)
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Set	Items	Description
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S2	13665	S1(2N)(VOLUM? OR LEVEL? OR AMOUNT? OR QUANTIT? OR MEASUR? - OR RANG? OR DEGREE? OR MAGNITUD?)
S3	10	S2(S)(GUI OR GUIS OR ((GRAPHICAL()USER OR MENU?() DRIVEN)(-)INTERFACE?))
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S5	392	S2(10N)(PICTOR? OR PICTUR? OR TOKEN? OR ILLUSTRA? OR REPRE- SENT? OR DEPICT? OR PORTRAY? OR SYMBOLI? OR EXPRESS? OR TABLE? OR TABULAR? OR VISUAL? OR MNEMONIC? OR GLYPH?)
S6	445	S2(10N)(AXIS OR ICON? OR IKON? OR GRAPHIC? OR CHART? OR DI- AGRAM? OR DRAW? OR PICTOR? OR PICTUR? OR TOKEN? OR ILLUSTRA? - OR REPRESENT? OR DEPICT? OR PORTRAY? OR SYMBOLI? OR TABLE? OR TABULAR? OR VISUAL?)
S7	56	(S5 OR S6)(S)(REALTIME? OR REAL()TIME? OR CURRENT? OR NOW - OR PRESENT? OR IMMEDIA? OR ACTUAL? OR UPTODATE OR (UP()'TO'()- DATE) OR UPTOTHEMINUTE OR (UP()'TO'()'THE'()MINUTE) OR INSTAN- T? OR PROMPT? OR DYNAMIC? OR LIVE?)
S8	39	RD (unique items)

Set	Items	Description
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S2	13665	S1(2N)(VOLUM? OR LEVEL? OR AMOUNT? OR QUANTIT? OR MEASUR? -OR RANG? OR DEGREE? OR MAGNITUD?)
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S7	56	(S5 OR S6)(S)(REALTIME? OR REAL()TIME? OR CURRENT? OR NOW -OR PRESENT? OR IMMEDIA? OR ACTUAL? OR UPTODATE OR (UP()'TO'()-DATE) OR UPTOTHEMINUTE OR (UP()'TO'()'THE'()MINUTE) OR INSTAN-T? OR PROMPT? OR DYNAMIC? OR LIVE?)
S8	39	RD (unique items)
S9	1220944	(STACK? OR AGGREGAT? OR BULK? OR COMBIN? OR MULTIPL?)(10N)-(BID? ? OR BIDD? OR OFFER? OR TRADE? OR TRADING? OR ORDER? OR BUY? OR BOUGHT OR DEAL? OR TRANSACT? OR EXCHANG? OR SELL? OR -SOLD OR SALE? OR PURCHAS?)
S10	101131	S9(10N)(VOLUM? OR LEVEL? OR AMOUNT? OR QUANTIT? OR MEASUR? OR RANG? OR DEGREE? OR MAGNITUD?)
S11	4346	S10(10N)(PICTOR? OR PICTUR? OR TOKEN? OR ILLUSTRA? OR REPR-ESENT? OR DEPICT? OR PORTRAY? OR SYMBOLI? OR EXPRESS? OR TABL-E? OR TABULAR? OR VISUAL? OR MNEMONIC? OR GLYPH?)
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IAGRAM? OR DRAW? OR PICTOR? OR PICTUR? OR TOKEN? OR ILLUSTRA?
OR REPRESENT? OR DEPICT? OR PORTRAY? OR SYMBOLI? OR TABLE? OR
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S14   24   S13(S)(GUI OR GUIS OR ((GRAPHICAL()USER OR MENU?() DRIVEN)-
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S15   13   RD (unique items)

Set	Items	Description
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S7	56	(S5 OR S6)(S)(REALTIME? OR REAL()TIME? OR CURRENT? OR NOW - OR PRESENT? OR IMMEDIA? OR ACTUAL? OR UPTODATE OR (UP()'TO'()-DATE) OR UPTOTHEMINUTE OR (UP()'TO'()'THE'()MINUTE) OR INSTAN-T? OR PROMPT? OR DYNAMIC? OR LIVE?)
S8	39	RD (unique items)
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S11	4346	S10(10N)(PICTOR? OR PICTUR? OR TOKEN? OR ILLUSTRA? OR REPR-ESENT? OR DEPICT? OR PORTRAY? OR SYMBOLI? OR EXPRESS? OR TABL-E? OR TABULAR? OR VISUAL? OR MNEMONIC? OR GLYPH?)
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S14     24   S13(S)(GUI OR GUIS OR ((GRAPHICAL()USER OR MENU?() DRIVEN)-
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S15     13   RD (unique items)
S16    280   (S11 OR S12)(S)(REALTIME? OR REAL()TIME? OR DYNAMIC? OR LI-
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S17    137   S16 AND PY<1999
S18     65   RD (unique items)
S19    123   (S11 OR S12)(20N)(REALTIME? OR REAL()TIME? OR DYNAMIC? OR -
          LIVE) AND PY<=1998
S20     56   (S11 OR S12)(20N)(GUI OR GUIS OR ((GRAPHICAL()USER OR MENU-
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S21     30   RD (unique items)
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S2	13665	S1(2N)(VOLUM? OR LEVEL? OR AMOUNT? OR QUANTIT? OR MEASUR? -OR RANG? OR DEGREE? OR MAGNITUD?)
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S4	6	RD (unique items)
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S7	56	(S5 OR S6)(S)(REALTIME? OR REAL()TIME? OR CURRENT? OR NOW -OR PRESENT? OR IMMEDIA? OR ACTUAL? OR UPTODATE OR (UP()'TO'()-DATE) OR UPTOTHEMINUTE OR (UP()'TO'()'THE'()MINUTE) OR INSTAN-T? OR PROMPT? OR DYNAMIC? OR LIVE?)
S8	39	RD (unique items)
S9	1220944	(STACK? OR AGGREGAT? OR BULK? OR COMBIN? OR MULTIPL?)(10N)-(BID? ? OR BIDD? OR OFFER? OR TRADE? OR TRADING? OR ORDER? OR BUY? OR BOUGHT OR DEAL? OR TRANSACT? OR EXCHANG? OR SELL? OR -SOLD OR SALE? OR PURCHAS?)
S10	101131	S9(10N)(VOLUM? OR LEVEL? OR AMOUNT? OR QUANTIT? OR MEASUR? OR RANG? OR DEGREE? OR MAGNITUD?)
S11	4346	S10(10N)(PICTOR? OR PICTUR? OR TOKEN? OR ILLUSTRA? OR REPR-ESENT? OR DEPICT? OR PORTRAY? OR SYMBOLI? OR EXPRESS? OR TABL-E? OR TABULAR? OR VISUAL? OR MNEMONIC? OR GLYPH?)
S12	5105	S10(10N)(AXIS OR ICON? OR IKON? OR GRAPHIC? OR CHART? OR D-

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               IAGRAM? OR DRAW? OR PICTOR? OR PICTUR? OR TOKEN? OR ILLUSTRA?
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S13     1216   (S11 OR S12)(S)(REALTIME? OR REAL()TIME? OR CURRENT? OR NOW
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S14       24   S13(S)(GUI OR GUIS OR ((GRAPHICAL()USER OR MENU?() DRIVEN)-
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S2	193847	S1(2N)(VOLUM? OR LEVEL? OR AMOUNT? OR QUANTIT? OR MEASUR? - OR SCALE? OR EXTENT? OR SCOP? OR RANG? OR DEGREE? OR SPECTRUM? OR MAGNITUD?)
S3	1912201	PICTOR? OR PICTUR? OR SUBGRAPH? OR SUBDIAGRAM? OR TOKEN? OR ILLUSTRA? OR REPRESENT? OR DEPICT? OR PORTRAY? OR SYMBOLI? OR EXPRESS? OR TABLE? OR TABULAR? OR PICTOGRAPH? OR PICTOGRAM? - OR VISUAL? OR MNEMONIC? OR GLYPH?
S4	15820	(GUI OR GUIS OR GRAPHICAL()USER()INTERFACE? OR MENU?()DRIV-EN()INTERFACE?)(10N)(AXIS OR ICON? OR IKON? OR PIT()(CENTER? - OR CENTRE?) OR GRAPHIC? OR CHART? OR DIAGRAM? OR DRAW? OR S3)
S5	44	S2(S)S4
S6	44	RD (unique items)
S7	94	S2 AND S4
S8	50	S7 NOT S6
S9	50	RD (unique items)

Set	Items	Description
S1	3893714	BID? ? OR BIDD? OR OFFER? OR TRADE? OR TRADING? OR PROFFER? OR ORDER? OR BUY? OR BOUGHT OR DEAL? OR TRANSACT? OR EXCHANG? OR SELL? OR SOLD OR SALE? OR PURCHAS?
S2	193847	S1(2N)(VOLUM? OR LEVEL? OR AMOUNT? OR QUANTIT? OR MEASUR? - OR SCALE? OR EXTENT? OR SCOP? OR RANG? OR DEGREE? OR SPECTRUM? OR MAGNITUD?)
S3	1912201	PICTOR? OR PICTUR? OR SUBGRAPH? OR SUBDIAGRAM? OR TOKEN? OR ILLUSTRA? OR REPRESENT? OR DEPICT? OR PORTRAY? OR SYMBOLI? OR EXPRESS? OR TABLE? OR TABULAR? OR PICTOGRAPH? OR PICTOGRAM? - OR VISUAL? OR MNEMONIC? OR GLYPH?
S4	15820	(GUI OR GUIS OR GRAPHICAL()USER()INTERFACE? OR MENU?()DRIV-EN()INTERFACE?)(10N)(AXIS OR ICON? OR IKON? OR PIT()(CENTER? - OR CENTRE?) OR GRAPHIC? OR CHART? OR DIAGRAM? OR DRAW? OR S3)
S5	44	S2(S)S4
S6	44	RD (unique items)

Set	Items	Description
S1	42204	BID? ? OR BIDD? OR OFFER? OR TRADE? OR TRADING? OR PROFFER? OR ORDER? OR BUY? OR BOUGHT OR DEAL? OR TRANSACT? OR EXCHANG? OR SELL? OR SOLD OR SALE? OR PURCHAS?
S2	1260	S1(2N)(VOLUM? OR LEVEL? OR AMOUNT? OR QUANTIT? OR MEASUR? - OR SCALE? OR EXTENT? OR SCOP? OR RANG? OR DEGREE? OR SPECTRUM? OR MAGNITUD?)
S3	19003	PICTOR? OR PICTUR? OR SUBGRAPH? OR SUBDIAGRAM? OR TOKEN? OR ILLUSTRA? OR REPRESENT? OR DEPICT? OR PORTRAY? OR SYMBOLI? OR EXPRESS? OR TABLE? OR TABULAR? OR PICTOGRAPH? OR PICTOGRAM? - OR VISUAL? OR MNEMONIC? OR GLYPH?
S4	2142	(GUI OR GUIS OR GRAPHICAL()USER()INTERFACE? OR MENU?()DRIV-EN()INTERFACE?) AND (AXIS OR ICON? OR IKON? OR PIT()(CENTER? - OR CENTRE?) OR GRAPHIC? OR CHART? OR DIAGRAM? OR DRAW? OR S3)
S5	16	S2(S)S4
S6	11	RD (unique items)

Set	Items	Description
S1	773199	BID? ? OR BIDD? OR OFFER? OR TRADE? OR TRADING? OR PROFFER? OR ORDER? OR BUY? OR BOUGHT OR DEAL? OR TRANSACT? OR EXCHANG? OR SELL? OR SOLD OR SALE? OR PURCHAS?
S2	74064	S1(2N)(VOLUM? OR LEVEL? OR AMOUNT? OR QUANTIT? OR MEASUR? - OR SCALE? OR EXTENT? OR SCOP? OR RANG? OR DEGREE? OR SPECTRUM? OR MAGNITUD?)
S3	1038123	PICTOR? OR PICTUR? OR SUBGRAPH? OR SUBDIAGRAM? OR TOKEN? OR ILLUSTRA? OR REPRESENT? OR DEPICT? OR PORTRAY? OR SYMBOLI? OR EXPRESS? OR TABLE? OR TABULAR? OR PICTOGRAPH? OR PICTOGRAM? - OR VISUAL? OR MNEMONIC? OR GLYPH?
S4	8111	(GUI OR GUIS OR GRAPHICAL()USER()INTERFACE? OR MENU?()DRIV-EN()INTERFACE?) AND (AXIS OR ICON? OR IKON? OR PIT()(CENTER? - OR CENTRE?) OR GRAPHIC? OR CHART? OR DIAGRAM? OR DRAW? OR S3)
S5	529	S2(S)S4
S6	6603	(GUI OR GUIS OR GRAPHICAL()USER()INTERFACE? OR MENU?()DRIV-EN()INTERFACE?)(10N)(AXIS OR ICON? OR IKON? OR PIT()(CENTER? - OR CENTRE?) OR GRAPHIC? OR CHART? OR DIAGRAM? OR DRAW? OR S3)
S7	15	S2(20N)S6

Set	Items	Description
S1	88526	(STACK? OR AGGREGAT? OR BULK? OR COMBIN? OR MULTIPL?)(5N)(-DATA OR STATISTIC? OR VOLUM? OR LEVEL? OR AMOUNT? OR QUANTIT? OR MEASUR? OR RANG? OR DEGREE? OR MAGNITUD?)
S2	523973	REALTIME? OR REAL()TIME? OR IMMEDIA? OR ACTUAL? OR UPTODATE OR (UP()'TO'()DATE) OR UPTOTHEMINUTE OR (UP()'TO'()'THE'()MI-NUTE) OR INSTANT? OR PROMPT? OR DYNAMIC? OR LIVE
S3	8961	S2(5N)(PICTOR? OR PICTUR? DISPLAY? OR TOKEN? OR ILLUSTRA? -OR DEPICT? OR PORTRAY? OR SYMBOLI? OR EXPRESS? OR TABLE? OR T-ABULAR? OR VISUAL? OR MNEMONIC? OR GLYPH? AXIS OR ICON? OR IK-ON? OR GRAPHIC? OR CHART? OR DIAGRAM? OR DRAW?)
S4	61	S1(S)S3
S5	1	S4 AND IC=G06F-017/00
S6	1	S1 AND S3 AND IC=G06F-017/00
S7	6092	S1(S)(PICTOR? OR PICTUR? DISPLAY? OR TOKEN? OR ILLUSTRA? OR DEPICT? OR PORTRAY? OR SYMBOLI? OR EXPRESS? OR TABLE? OR TAB-ULAR? OR VISUAL? OR MNEMONIC? OR GLYPH? AXIS OR ICON? OR IKON? OR GRAPHIC? OR CHART? OR DIAGRAM? OR DRAW?)
S8	39	S7 AND IC=G06F-017/00

Set	Items	Description
S1	1079995	BID? ? OR BIDD? OR OFFER? OR TRADE? OR TRADING? OR PROFFER? OR ORDER? OR BUY? OR BOUGHT OR DEAL? OR TRANSACT? OR EXCHANG? OR SELL? OR SOLD OR SALE? OR PURCHAS?
S2	48766	S1(5N)(VOLUM? OR LEVEL? OR AMOUNT? OR QUANTIT? OR MEASUR? - OR SCALE? OR EXTENT? OR SCOP? OR RANG? OR DEGREE? OR SPECTRUM? OR MAGNITUD?)
S3	2100955	GUI OR GUIS OR GRAPHICAL()USER()INTERFACE? OR MENU?()DRIVE-N()INTERFACE? OR AXIS OR ICON? OR IKON? OR PIT()(CENTER? OR C-ENTRE?) OR GRAPHIC? OR CHART? OR DIAGRAM? OR DRAW?
S4	1114914	PICTOR? OR PICTUR? OR SUBGRAPH? OR SUBDIAGRAM? OR TOKEN? OR ILLUSTRA? OR REPRESENT? OR DEPICT? OR PORTRAY? OR SYMBOLI? OR EXPRESS? OR TABLE? OR TABULAR? OR PICTOGRAPH? OR PICTOGRAM? - OR VISUAL? OR MNEMONIC? OR GLYPH?
S5	4855940	STACK? OR TOTAL? OR AGGREGAT? OR BULK? OR COMBIN? OR MULTI-PL? OR UNIF? OR MATCH? OR COLLECTIV? OR MERG? OR CONNECT? OR - TIE? OR TYE? OR CORRELAT? OR EQUAT?
S6	4283	S2 AND (S3 OR S4) AND S5
S7	790	S6(S)(REALTIME? OR REAL()TIME? OR CURRENT? OR NOW OR PRESE-NT? OR IMMEDIA? OR ACTUAL? OR UPTODATE OR (UP()'TO'()DATE) OR UPTOTHEMINUTE OR (UP()'TO'()'THE'()MINUTE) OR INSTANT? OR PRO-MPT? OR DYNAMIC? OR LIVE?)
S8	28	S7 AND (IC=G06F-017/00 OR MC=T01-H07C5 OR MC=T01-J05A1 OR - MC=T01-J12D OR MC=T05-L01D)

Set	Items	Description
S1	1079995	BID? ? OR BIDD? OR OFFER? OR TRADE? OR TRADING? OR PROFFER? OR ORDER? OR BUY? OR BOUGHT OR DEAL? OR TRANSACT? OR EXCHANG? OR SELL? OR SOLD OR SALE? OR PURCHAS?
S2	48766	S1(5N)(VOLUM? OR LEVEL? OR AMOUNT? OR QUANTIT? OR MEASUR? - OR SCALE? OR EXTENT? OR SCOP? OR RANG? OR DEGREE? OR SPECTRUM? OR MAGNITUD?)
S3	2100955	GUI OR GUIS OR GRAPHICAL()USER()INTERFACE? OR MENU?()DRIVE-N()INTERFACE? OR AXIS OR ICON? OR IKON? OR PIT()(CENTER? OR C-ENTRE?) OR GRAPHIC? OR CHART? OR DIAGRAM? OR DRAW?
S4	1114914	PICTOR? OR PICTUR? OR SUBGRAPH? OR SUBDIAGRAM? OR TOKEN? OR ILLUSTRA? OR REPRESENT? OR DEPICT? OR PORTRAY? OR SYMBOLI? OR EXPRESS? OR TABLE? OR TABULAR? OR PICTOGRAPH? OR PICTOGRAM? - OR VISUAL? OR MNEMONIC? OR GLYPH?
S5	4855940	STACK? OR TOTAL? OR AGGREGAT? OR BULK? OR COMBIN? OR MULTI-PL? OR UNIF? OR MATCH? OR COLLECTIV? OR MERG? OR CONNECT? OR - TIE? OR TYE? OR CORRELAT? OR EQUAT?
S6	4283	S2 AND (S3 OR S4) AND S5
S7	790	S6(S)(REALTIME? OR REAL()TIME? OR CURRENT? OR NOW OR PRESE-NT? OR IMMEDIA? OR ACTUAL? OR UPTODATE OR (UP()'TO'()DATE) OR UPTOTHEMINUTE OR (UP()'TO'()'THE'()MINUTE) OR INSTANT? OR PRO-MPT? OR DYNAMIC? OR LIVE?)
S8	28	S7 AND (IC=G06F-017/00 OR MC=T01-H07C5 OR MC=T01-J05A1 OR - MC=T01-J12D OR MC=T05-L01D)
S9	32817	S1(3N)(VOLUM? OR LEVEL? OR AMOUNT? OR QUANTIT? OR MEASUR? - OR SCALE? OR EXTENT? OR SCOP? OR RANG? OR DEGREE? OR SPECTRUM? OR MAGNITUD?)
S10	0	S9(S)((GUI OR GUIS OR GRAPHICAL()USER()INTERFACE? OR MENU?-()DRIVEN()INTERFACE?)(20N)(AXIS OR ICON? OR IKON? OR PIT()(CE-NTER? OR CENTRE?) OR GRAPHIC? OR CHART? OR DIAGRAM? OR DRAW? - OR S4))
S11	6	S9(S)((GUI OR GUIS OR GRAPHICAL()USER()INTERFACE? OR MENU?-()DRIVEN()INTERFACE?) AND (AXIS OR ICON? OR IKON? OR PIT()(CE-NTER? OR CENTRE?) OR GRAPHIC? OR CHART? OR DIAGRAM? OR DRAW? - OR S4))

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Set      Items    Description
S1          28    AU="FRIESEN RICHARD":AU="FRIESEN RICK"
S2           4    S1 AND TRAD? AND INTERFACE?
S3          21    AU="HART P" OR AU="HART P S" OR AU="HART PETER"
S4           3    S3 AND USER()INTERFACE?
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